



ACCOUNT	237-882999									
<b>06/2021</b>										
<b>Account Positions</b>										
	Symbol	Current Market Price	Quantity	Purchase Date	Purchase Cost	Average Cost	Unrealized Gain (Loss)	Estimated Income	Yield	
Stocks - Margin	AMC ENTERTAINMENT HOLDINGS INC COM CL A	\$56.68	4,300	05/26/21	\$205,281.11	\$47.74	\$38,442.89	\$0.00	0.00%	
	META MATERIALS INC COM	\$7.49	500	06/22/21	\$3,740.00	\$17.48	\$1,995.00	\$0.00	0.00%	
Total Stocks					\$214,021.11		\$33,447.89	\$0.00	0.00%	
Options - Margin	GAMESTOP CORPORATION GME Jul 23 21 230.0 C	\$19.48	1	06/21/21	\$3,137.66	\$31.38	-\$1,189.41	\$0.00	0.00%	
	PROSHARES TRUST II UVXY Sep 17 21 32.0 C	\$5.95	10	06/14/21	\$7,656.64	\$7.66	-\$1,710.44	\$0.00	0.00%	
Total Options					\$10,794.30		-\$2,899.85	\$0.00	0.00%	
Total Margin Account					\$224,815.41		\$30,548.04	\$0.00	0.00%	
<b>07/2021</b>										
<b>Account Positions</b>										
	Symbol	Current Market Price	Quantity	Purchase Date	Purchase Cost	Average Cost	Unrealized Gain (Loss)	Estimated Income	Yield	
Stocks - Margin	GAMESTOP CORPORATION COM CL A	\$161.12	300	07/14/21	\$53,906.97	\$179.69	-\$5,570.97	\$0.00	0.00%	
	META MATERIALS INC COM	\$3.50	25,900	06/22/21	\$106,916.33	\$4.13	\$16,266.33	\$0.00	0.00%	
	META MATERIALS INC COM (CUSIP: 59134N203)	NA	1,000					\$0.00	0.00%	
	PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF	\$28.54	300	07/26/21	\$8,585.94	\$28.62	-\$23.94	\$0.00	0.00%	
Total Stocks					\$147,548.00		-\$21,861.24	\$0.00	0.00%	
Options - Margin	AMC ENTERTAINMENT HOLDINGS INC	\$0.39	1	07/08/21	\$555.66	\$5.56	-\$516.66	\$0.00	0.00%	
	AMC ENTERTAINMENT HOLDINGS INC	\$1.12	8	07/08/21	\$6,165.31	\$7.71	-\$6,268.59	\$0.00	0.00%	
	META MATERIALS INC	\$0.34	14	07/29/21	\$485.29	\$0.35	-\$13.63	\$0.00	0.00%	
	META MATERIALS INC	\$0.13	16	07/07/21	\$2,298.62	\$1.44	-\$2,098.62	\$0.00	0.00%	
	META MATERIALS INC	\$0.90	20	07/26/21	\$1,953.28	\$0.98	-\$153.28	\$0.00	0.00%	
Total Options					\$3,407.38		\$8,050.78	\$0.00	0.00%	
Total Margin Account					\$150,955.38		-\$29,912.02	\$0.00	0.00%	
<b>08/2021</b>										
<b>Account Positions</b>										
	Symbol	Current Market Price	Quantity	Purchase Date	Purchase Cost	Average Cost	Unrealized Gain (Loss)	Estimated Income	Yield	
Stocks - Margin	GAMESTOP CORPORATION COM CL A	\$218.24	300	07/14/21	\$53,906.97	\$179.69	\$11,565.03	\$0.00	0.00%	
	META MATERIALS INC COM	\$4.65	25,900	06/22/21	\$106,916.33	\$4.13	\$13,518.87	\$0.00	0.00%	
	META MATERIALS INC PFD (CUSIP: 59134N203)	NA	1,000					\$0.00	0.00%	
Total Stocks					\$160,823.30		\$25,083.70	\$0.00	0.00%	
Options - Margin	META MATERIALS INC MMAT Jan 21 22 3.0 C	\$2.35	76	08/19/21	\$8,258.26	\$1.09	\$9,575.14	\$0.00	0.00%	
	META MATERIALS INC MMAT Jan 21 22 15.0 C	\$0.75	14	07/29/21	\$485.29	\$0.35	\$583.17	\$0.00	0.00%	
	META MATERIALS INC MMAT Oct 21 21 4.0 C	\$1.35	20	07/26/21	\$1,953.28	\$0.98	\$749.92	\$0.00	0.00%	
Total Options					\$10,696.83		\$10,888.23	\$0.00	0.00%	
Total Margin Account					\$171,520.13		\$35,971.93	\$0.00	0.00%	
<b>09/2021</b>										
<b>Account Positions</b>										
	Symbol	Current Market Price	Quantity	Purchase Date	Purchase Cost	Average Cost	Unrealized Gain (Loss)	Estimated Income	Yield	
Stocks - Margin	GAMESTOP CORPORATION COM CL A	\$175.47	200	07/14/21	\$35,937.98	\$179.69	-\$843.98	\$0.00	0.00%	
	META MATERIALS INC COM	\$5.76	27,800	06/22/21	\$117,174.33	\$4.20	\$44,087.67	\$0.00	0.00%	
	META MATERIALS INC PFD (CUSIP: 59134N203)	NA	1,000					\$0.00	0.00%	
	PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF	\$24.55	300	09/21/21	\$7,802.97	\$26.01	-\$437.97	\$0.00	0.00%	
Total Stocks					\$160,915.28		\$42,805.72	\$0.00	0.00%	
Options - Margin	META MATERIALS INC MMAT Jan 21 22 3.0 C	\$2.95	76	08/19/21	\$8,258.26	\$1.09	\$14,138.18	\$0.00	0.00%	

	META MATERIALS INC MMAT Jan 21 22 5.0 C	\$1.83	9	09/15/21	\$1,535.95	\$1.71	\$110.15	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 21 22 6.0 C	\$1.53	15	09/24/21	\$1,922.92	\$1.28	\$364.58	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 21 22 15.0 C	\$0.49	14	07/29/21	\$485.29	\$0.35	\$204.94	\$0.00	0.00%
	META MATERIALS INC MMAT Oct 21 21 4.0 C	\$1.82	20	07/26/21	\$1,953.28	\$0.98	\$1,693.92	\$0.00	0.00%
<b>Total Options</b>					<b>\$14,155.70</b>		<b>\$16,511.74</b>	<b>\$0.00</b>	<b>0.00%</b>
Short Options - Margin	GAMESTOP CORPORATION GME Oct 08 21 200.0 C	\$2.56	1	09/24/21	-\$489.34	\$4.89	\$233.72	\$0.00	0.00%
	META MATERIALS INC MMAT Oct 15 21 18.0 C	\$0.00	100	09/10/21	-\$1,443.67	\$0.14	\$1,435.67	\$0.00	0.00%
<b>Total Short Options</b>					<b>-\$1,933.01</b>		<b>\$1,669.39</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>Total Margin Account</b>					<b>\$173,137.97</b>		<b>\$60,986.85</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>10/2021</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>urchase Cost Bal</b>	<b>Average Cost</b>	<b>Unrealized Gain (Loss)</b>	<b>Estimated Incom</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$4.70	30,543	06/22/21	\$128,496.32	\$4.21	\$15,065.18	\$0.00	0.00%
	META MATERIALS INC PFD (MMTLP)	\$1.28	3,840					\$0.00	0.00%
<b>Total Stocks</b>					<b>\$128,496.32</b>		<b>\$15,065.18</b>	<b>\$0.00</b>	<b>0.00%</b>
Options - Margin	META MATERIALS INC MMAT Jan 21 22 3.0 C	\$1.95	73	08/19/21	\$7,932.28	\$1.09	\$6,302.72	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 21 22 4.0 C	\$1.35	80	10/22/21	\$7,732.83	\$0.97	\$3,067.17	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 21 22 5.0 C	\$0.98	86	09/15/21	\$12,713.12	\$1.44	\$9,413.12	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 21 22 6.0 C	\$0.70	15	09/24/21	\$1,922.92	\$1.28	\$872.92	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 21 22 15.0 C	\$0.20	14	07/29/21	\$485.29	\$0.35	\$204.94	\$0.00	0.00%
<b>Total Options</b>					<b>\$30,786.44</b>		<b>\$4,162.90</b>	<b>\$0.00</b>	<b>0.00%</b>
Short Options - Margin	META MATERIALS INC MMAT Dec 17 21 9.0 C	\$0.20	50	10/18/21	-\$1,166.87	\$0.23	\$166.87	\$0.00	0.00%
<b>Total Short Options</b>					<b>-\$1,166.87</b>		<b>\$166.87</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>Total Margin Account</b>					<b>\$158,115.89</b>		<b>\$19,394.95</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>11/2021</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>urchase Cost Bal</b>	<b>Average Cost</b>	<b>Unrealized Gain (Loss)</b>	<b>Estimated Incom</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$3.67	30,560	06/22/21	\$127,709.72	\$4.19	\$15,774.72	\$0.00	0.00%
	META MATERIALS INC PFD (MMTLP)	\$1.87	3,840					\$0.00	0.00%
<b>Total Stocks</b>					<b>\$127,709.72</b>		<b>\$15,774.72</b>	<b>\$0.00</b>	<b>0.00%</b>
Options - Margin	META MATERIALS INC MMAT Jan 21 22 3.0 C	\$1.00	73	08/19/21	\$7,932.28	\$1.09	\$618.41	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 21 22 4.0 C	\$0.60	80	10/22/21	\$7,732.83	\$0.97	-\$2,932.83	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 21 22 5.0 C	\$0.58	86	09/15/21	\$12,713.12	\$1.44	\$9,413.12	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 21 22 6.0 C	\$0.26	15	09/24/21	\$1,922.92	\$1.28	\$1,517.92	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 21 22 15.0 C	\$0.07	14	07/29/21	\$485.29	\$0.35	\$388.97	\$0.00	0.00%
<b>Total Options</b>					<b>\$30,786.44</b>		<b>-\$14,901.25</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>Total Margin Account</b>					<b>\$158,496.16</b>		<b>-\$30,675.97</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>12/2021</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>urchase Cost Bal</b>	<b>Average Cost</b>	<b>Unrealized Gain (Loss)</b>	<b>Estimated Incom</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$2.46	36,765	07/14/21	\$152,995.86	\$4.16	-\$62,553.96	\$0.00	0.00%
	META MATERIALS INC PFD (MMTLP)	\$1.61	4,040					\$0.00	0.00%
<b>Total Stocks</b>					<b>\$152,995.86</b>		<b>-\$62,553.96</b>	<b>\$0.00</b>	<b>0.00%</b>
Options - Margin	META MATERIALS INC MMAT Jan 21 22 6.0 C	\$0.02	15	09/24/21	\$1,922.92	\$1.28	-\$1,888.57	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 21 22 15.0 C	\$0.00	14	07/29/21	\$485.29	\$0.35	-\$485.15	\$0.00	0.00%
	META MATERIALS INC MMAT Apr 14 22 4.0 C	\$0.40	70	12/09/21	\$5,506.16	\$0.79	\$2,706.16	\$0.00	0.00%
	META MATERIALS INC MMAT Apr 14 22 5.0 C	\$0.30	120	12/09/21	\$6,979.12	\$0.58	\$3,379.12	\$0.00	0.00%

	META MATERIALS INC MMAT Apr 14 22 6.0 C	\$0.25	101	12/09/21	\$456.59	\$0.46	-\$206.59	\$0.00	0.00%
Total Options					\$15,350.08	-	-\$8,665.59	\$0.00	0.00%
Total Margin Account					\$168,345.94	-	-\$71,219.55	\$0.00	0.00%
<b>01/2022</b>									
Account Positions	Symbol	Current Market Price	Quantity	Purchase Date	chase Cost Ba	Average Cost	Unrealized Gain (Loss)	Estimated Income	Yield
Stocks - Margin	META MATERIALS INC COM	\$1.67	24,000	11/29/21	\$96,929.14	\$4.04	-\$56,849.14	\$0.00	0.00%
	META MATERIALS INC PFD SER A *CLBL (MMTLP)	\$1.30	20,590					\$0.00	0.00%
Total Stocks					\$96,929.14	-	-\$56,849.14	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Apr 14 22 3.0 C	\$0.14	70	01/25/22	\$1,586.16	\$0.23	-\$606.16	\$0.00	0.00%
	META MATERIALS INC MMAT Apr 14 22 4.0 C	\$0.09	70	12/09/21	\$8,506.16	\$0.79	-\$4,911.16	\$0.00	0.00%
	META MATERIALS INC MMAT Apr 14 22 5.0 C	\$0.07	120	12/09/21	\$6,979.12	\$0.58	-\$6,092.32	\$0.00	0.00%
	META MATERIALS INC MMAT Apr 14 22 6.0 C	\$0.06	10	12/09/21	\$456.59	\$0.46	-\$393.79	\$0.00	0.00%
Total Options					\$14,528.03	-	-\$12,003.43	\$0.00	0.00%
Total Margin Account					\$111,457.17	-	-\$68,852.57	\$0.00	0.00%
<b>02/2022</b>									
Account Positions	Symbol	Current Market Price	Quantity	Purchase Date	chase Cost Ba	Average Cost	Unrealized Gain (Loss)	Estimated Income	Yield
Stocks - Margin	META MATERIALS INC COM	\$2.15	20,240	12/20/21	\$77,978.54	\$3.85	-\$34,462.54	\$0.00	0.00%
	META MATERIALS INC PFD SER A *CLBL (MMTLP)	\$1.94	23,000	10/19/21	\$30,318.92	\$1.32	-\$14,301.08	\$0.00	0.00%
Total Stocks					\$108,297.46	-	-\$20,161.46	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Apr 14 22 3.0 C	\$0.23	155	01/25/22	\$4,022.26	\$0.26	-\$534.76	\$0.00	0.00%
	META MATERIALS INC MMAT Apr 14 22 4.0 C	\$0.14	370	12/09/21	\$8,104.14	\$0.22	-\$3,108.14	\$0.00	0.00%
	META MATERIALS INC MMAT Apr 14 22 5.0 C	\$0.09	120	12/09/21	\$6,979.12	\$0.58	-\$5,899.12	\$0.00	0.00%
	META MATERIALS INC MMAT Apr 14 22 6.0 C	\$0.07	10	12/09/21	\$456.59	\$0.46	-\$384.29	\$0.00	0.00%
Total Options					\$19,562.11	-	-\$9,927.31	\$0.00	0.00%
Total Margin Account					\$127,859.57	-	-\$30,088.77	\$0.00	0.00%
<b>03/2022</b>									
Account Positions	Symbol	Current Market Price	Quantity	Purchase Date	chase Cost Ba	Average Cost	Unrealized Gain (Loss)	Estimated Income	Yield
Stocks - Margin	META MATERIALS INC COM	\$1.67	20,000	12/20/21	\$77,335.34	\$3.87	-\$43,935.34	\$0.00	0.00%
	META MATERIALS INC PFD SER A *CLBL (MMTLP)	\$1.22	23,255	10/19/21	\$30,810.37	\$1.32	-\$24,439.27	\$0.00	0.00%
Total Stocks					\$108,145.71	-	-\$46,374.61	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Apr 14 22 3.0 C	\$0.03	155	01/25/22	\$4,022.26	\$0.26	-\$3,634.76	\$0.00	0.00%
	META MATERIALS INC MMAT Apr 14 22 4.0 C	\$0.01	370	12/09/21	\$8,104.14	\$0.22	-\$7,711.94	\$0.00	0.00%
	META MATERIALS INC MMAT Apr 14 22 5.0 C	\$0.00	120	12/09/21	\$6,979.12	\$0.58	-\$6,944.32	\$0.00	0.00%
	META MATERIALS INC MMAT Apr 14 22 6.0 C	\$0.00	10	12/09/21	\$456.59	\$0.46	-\$455.79	\$0.00	0.00%
Total Options					\$19,562.11	-	-\$18,746.81	\$0.00	0.00%
Total Margin Account					\$127,707.82	-	-\$65,121.42	\$0.00	0.00%
<b>04/2022</b>									
Account Positions	Symbol	Current Market Price	Quantity	Purchase Date	chase Cost Ba	Average Cost	Unrealized Gain (Loss)	Estimated Income	Yield
Stocks - Margin	META MATERIALS INC COM	\$1.20	20,000	12/20/21	\$77,335.34	\$3.87	-\$53,335.34	\$0.00	0.00%
	META MATERIALS INC PFD SER A *CLBL (MMTLP)	\$1.26	23,255	10/19/21	\$30,810.37	\$1.32	-\$1,509.07	\$0.00	0.00%
Total Stocks					\$108,145.71	-	-\$54,844.41	\$0.00	0.00%
Total Margin Account					\$108,145.71	-	-\$54,844.41	\$0.00	0.00%

5/2022									
Account Positions	Symbol	Current Market Price	Quantity	Purchase Date	chase Cost Ba	Average Cost	Unrealized Gain (Loss)	Estimated Incom	Yield
Stocks - Margin	META MATERIALS INC COM (MMAT)	\$1.92	18,300	12/20/21	\$72,197.79	\$3.95	-\$37,061.79	\$0.00	0.00%
	META MATERIALS INC PFD SER A *CLBL (MMTLP)	\$1.28	23,905	10/19/21	\$31,655.82	\$1.32	-\$1,057.42	\$0.00	0.00%
	VINCO VENTURES INC COM (BBIG)	\$2.30	600	05/16/22	\$1,541.52	\$2.57	-\$161.52	\$0.00	0.00%
Total Stocks					\$105,395.13		-\$38,280.73	\$0.00	0.00%
Options - Margin	VINCO VENTURES INC BBIG Jun 10 22 4.0 C	\$0.02	13	05/18/22	\$541.58	\$0.42	-\$515.45	\$0.00	0.00%
Total Options					\$541.58		-\$515.45	\$0.00	0.00%
Total Margin Account					\$105,936.71		-\$38,796.18	\$0.00	0.00%
6/2022									
Account Positions	Symbol	Current Market Price	Quantity	Purchase Date	chase Cost Ba	Average Cost	Unrealized Gain (Loss)	Estimated Incom	Yield
Stocks - Margin	CRYPTYDE INC TYDE	\$2.03	60	05/16/22	\$493.60	\$8.23	-\$371.80	\$0.00	0.00%
	META MATERIALS INC COM (MMAT)	\$1.03	18,300	06/24/22	\$72,198.87	\$3.95	-\$53,349.87	\$0.00	0.00%
	META MATERIALS INC PFD SER A *CLBL (MMTLP)	\$1.56	23,905	10/19/21	\$31,655.82	\$1.32	\$5,277.41	\$0.00	0.00%
	VINCO VENTURES INC COM (BBIG)	\$1.38	600	05/16/22	\$1,047.92	\$1.75	-\$219.92	\$0.00	0.00%
Total Stocks					\$105,396.21		-\$48,664.18	\$0.00	0.00%
Total Margin Account					\$105,396.21		-\$48,664.18	\$0.00	0.00%
7/2022									
Account Positions	Symbol	Current Market Price	Quantity	Purchase Date	chase Cost Ba	Average Cost	Unrealized Gain (Loss)	Estimated Incom	Yield
Stocks - Margin	META MATERIALS INC COM (MMAT)	\$0.90	18,300	06/24/22	\$72,198.87	\$3.95	-\$55,728.87	\$0.00	0.00%
	META MATERIALS INC PFD SER A *CLBL (MMTLP)	\$1.38	23,905	10/19/21	\$31,655.82	\$1.32	\$1,333.06	\$0.00	0.00%
Total Stocks					\$103,854.69		-\$54,395.79	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 20 23 2.0 C	\$0.11	50	07/15/22	\$683.00	\$0.14	-\$132.50	\$0.00	0.00%
Total Options					\$683.00		-\$132.50	\$0.00	0.00%
Total Margin Account					\$104,537.69		-\$54,528.29	\$0.00	0.00%
8/2022									
Account Positions	Symbol	Current Market Price	Quantity	Purchase Date	chase Cost Ba	Average Cost	Unrealized Gain (Loss)	Estimated Incom	Yield
Stocks - Margin	META MATERIALS INC COM (MMAT)	\$0.87	18,300	06/24/22	\$72,198.87	\$3.95	-\$56,369.37	\$0.00	0.00%
	META MATERIALS INC PFD SER A *CLBL (MMTLP)	\$1.45	23,905	10/19/21	\$31,655.82	\$1.32	\$3,006.43	\$0.00	0.00%
Total Stocks					\$103,854.69		-\$53,362.94	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 20 23 2.0 C	\$0.08	50	07/15/22	\$683.00	\$0.14	-\$282.00	\$0.00	0.00%
Total Options					\$683.00		-\$282.00	\$0.00	0.00%
Total Margin Account					\$104,537.69		-\$53,644.94	\$0.00	0.00%
9/2022									
Account Positions	Symbol	Current Market Price	Quantity	Purchase Date	chase Cost Ba	Average Cost	Unrealized Gain (Loss)	Estimated Incom	Yield
Stocks - Margin	META MATERIALS INC COM (MMAT)	\$0.65	20,000	09/26/22	\$73,344.19	\$3.67	-\$60,362.19	\$0.00	0.00%
	META MATERIALS INC PFD SER A *CLBL (MMTLP)	\$1.54	8,905	01/25/22	\$11,476.03	\$1.29	\$2,237.67	\$0.00	0.00%
Total Stocks					\$84,820.22		-\$56,124.52	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 20 23 1.0 C	\$0.11	100	09/26/22	\$1,165.99	\$0.12	-\$115.99	\$0.00	0.00%

	META MATERIALS INC MMAT Jan 20 23 2.0 C	\$0.05	50	07/15/22	\$683.00	\$0.14	\$457.50	\$0.00	0.00%
Total Options					\$1,848.99	-	-\$573.49	\$0.00	0.00%
Total Margin Account					\$86,669.21	-	\$58,698.01	\$0.00	0.00%
<b>10/2022</b>									
Account Positions	Symbol	Current Market Price	Quantity	Purchase Date	urchase Cost Ba	Average Cost	lnrealized Gain (Loss)	Estimated Income	Yield
Stocks - Margin	META MATERIALS INC PFD SER A *CLBL (MMTLP)	\$6.85	9,960	01/25/22	\$15,808.40	\$1.59	\$52,417.60	\$0.00	0.00%
Total Stocks					\$15,808.40	-	\$52,417.60	\$0.00	0.00%
Total Margin Account					\$15,808.40	-	\$52,417.60	\$0.00	0.00%
<b>11/2022</b>									
Account Positions	Symbol	Current Market Price	Quantity	Purchase Date	urchase Cost Ba	Average Cost	lnrealized Gain (Loss)	Estimated Income	Yield
Stocks - Margin	META MATERIALS INC PFD SER A *CLBL (MMTLP)	\$8.17	9,501	11/02/22	\$68,872.34	\$7.25	\$8,750.83	\$0.00	0.00%
Total Stocks					\$68,872.34	-	\$8,750.83	\$0.00	0.00%
Total Margin Account					\$68,872.34	-	\$8,750.83	\$0.00	0.00%
<b>12/2022</b>									
Investment Description	Symbol/CUSIP	Quantity	urrent Market Pri	Value	Purchase Date	Cost Basis	Average Cost	realized Gain(Loss)	Estimated Income
NEXT BRIDGE HYDR	6DA993019	9,501	NA	NA	-	-	-	-	0.00%
Total Stocks				\$0.00		\$0.00		\$0.00	0.00%
Total Margin Account				\$0.00		\$0.00		\$0.00	0.00%
<b>01/2023</b>									
Investment Description	Symbol/CUSIP	Quantity	urrent Market Pri	Value	Purchase Date	Cost Basis	Average Cost	realized Gain(Loss)	Estimated Income
NEXT BRIDGE HYDR	6DA993019	9,501	NA	NA	11/02/22	\$68,872.34	\$7.25	-\$68,872.34	0.00%
Total Stocks				\$0.00		\$68,872.34		-\$68,872.34	0.00%
Total Cash Account				\$0.00		\$68,872.34		-\$68,872.34	0.00%

Let me know if additional changes or data are needed!

Account: 425-007235 MMAT only

ACCOUNT	425-007235										
<b>07/2021</b>											
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>urchase Cost</b>	<b>Average Cost</b>	<b>realized Gain (Lc)</b>	<b>estimated Income</b>	<b>Yield</b>		
Stocks - Margin	AMC ENTERTAINMENT HOLDINGS INC COM CL A	\$37.02	6,000	03/04/21	\$74,284.39	\$12.38	\$147,835.61			-	
	META MATERIALS INC COM	\$3.50	56,500	06/22/21	\$223,702.64	\$3.96	\$25,952.64			-	
	META MATERIALS INC COM	NA	2,000	NA	NA	NA	NA	NA		-	
Total Stocks							\$297,987.03			\$121,882.97	\$0.00 0.00%
Options - Margin	META MATERIALS INC MMAT Jan 21 22 4.0 C	\$1.25	200	07/19/21	\$27,966.77	\$1.40	\$2,986.77			-	
	META MATERIALS INC MMAT Jan 21 22 15.0 C	\$0.34	100	07/29/21	\$3,566.39	\$0.36	\$197.39			-	
	META MATERIALS INC MMAT Aug 20 21 5.0 C	\$0.25	15	07/13/21	\$909.96	\$0.61	\$534.96			-	
	META MATERIALS INC MMAT Aug 20 21 6.0 C	\$0.18	15	07/09/21	\$1,659.96	\$1.11	\$1,397.46			-	
Total Options							\$34,103.08			-\$5,096.58	\$0.00 0.00%
Total Margin Account							\$332,090.11			\$116,786.39	\$0.00 0.00%
<b>08/2021</b>											
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>urchase Cost</b>	<b>Average Cost</b>	<b>realized Gain (Lc)</b>	<b>estimated Income</b>	<b>Yield</b>		
Stocks - Margin	GAMESTOP CORPORATION COM CL A	\$218.24	200	08/04/21	\$29,825.99	\$149.13	\$13,822.01			-	
	META MATERIALS INC COM	\$4.65	100,000	06/22/21	\$366,326.81	\$3.65	\$96,673.19			-	
	META MATERIALS INC PFD	NA	2,000	NA	NA	NA	NA	NA		-	
Total Stocks							\$398,152.80			\$110,495.20	\$0.00 0.00%
Options - Margin	GAMESTOP CORPORATION GME Jan 21 22 230.0 C	\$58.48	31	08/02/21	\$9,601.98	\$32.01	\$7,940.52			-	
	META MATERIALS INC MMAT Jan 21 22 3.0 C	\$2.35	269	08/16/21	\$31,193.88	\$1.16	\$31,926.97			-	
	META MATERIALS INC MMAT Jan 21 22 4.0 C	\$1.94	200	07/19/21	\$27,966.77	\$1.40	\$10,843.23			-	
	META MATERIALS INC MMAT Jan 21 22 13.0 C	\$0.81	100	07/30/21	\$4,066.39	\$0.41	\$4,013.61			-	
	META MATERIALS INC MMAT Jan 21 22 15.0 C	\$0.75	100	07/29/21	\$3,566.39	\$0.36	\$3,922.61			-	
Total Options							\$76,395.41			-\$58,646.94	\$0.00 0.00%
Total Margin Account							\$474,548.21			\$169,142.14	\$0.00 0.00%
<b>09/2021</b>											
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>urchase Cost</b>	<b>Average Cost</b>	<b>realized Gain (Lc)</b>	<b>estimated Income</b>	<b>Yield</b>		
Stocks - Margin	GAMESTOP CORPORATION COM CL A	\$175.47	100	08/04/21	\$14,600.00	\$146.00	\$2,947.00			-	
	META MATERIALS INC COM	\$5.78	90,000	07/13/21	\$339,855.15	\$3.78	\$180,344.85			-	
	META MATERIALS INC PFD	NA	2,000	NA	NA	NA	NA	NA		-	
	PROSHARES TRUST II ULTRA VIX SHT TRM FUTR	\$24.55	500	09/21/21	\$13,509.95	\$27.02	-\$1,234.95			-	
Total Stocks							\$367,965.10			\$182,056.80	\$0.00 0.00%
Options - Margin	GAMESTOP CORPORATION GME Jan 21 22 230.0 C	\$21.00	3	08/02/21	\$9,601.98	\$32.01	\$3,301.56			-	
	GAMESTOP CORPORATION GME Nov 19 21 220.0 C	\$10.16	1	09/03/21	\$3,206.66	\$32.07	-\$2,190.62			-	
	META MATERIALS INC MMAT Jan 21 22 3.0 C	\$2.95	269	08/16/21	\$31,193.88	\$1.16	\$48,077.73			-	
	META MATERIALS INC MMAT Jan 21 22 4.0 C	\$2.27	200	07/19/21	\$27,966.77	\$1.40	\$17,427.23			-	

	META MATERIALS INC MMAT Jan 21 22 5.0 C	\$1.83	212	09/15/21	\$31,168.19	\$1.47	\$7,606.61	-	-
	META MATERIALS INC MMAT Jan 21 22 6.0 C	\$1.53	80	09/24/21	\$9,972.90	\$1.25	\$2,227.10	-	-
	META MATERIALS INC MMAT Jan 21 22 13.0 C	\$0.59	100	07/30/21	\$4,066.39	\$0.41	\$1,849.61	-	-
	META MATERIALS INC MMAT Jan 21 22 15.0 C	\$0.49	100	07/29/21	\$3,566.39	\$0.36	\$1,363.61	-	-
	PROSHARES TRUST II UVXY Dec 17 21 25.0 C	\$6.67	16	09/01/21	\$9,274.58	\$5.80	\$1,401.26	-	-
<b>Total Options</b>					<b>\$130,017.74</b>		<b>\$74,460.97</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>Short Options - Margin</b>	GAMESTOP CORPORATION GME Oct 01 21 230.0 C	\$0.28	1	09/17/21	-\$566.34	\$5.66	\$538.10	-	-
	META MATERIALS INC MMAT Jan 21 22 7.0 C	\$1.25	100	09/01/21	\$12,453.61	\$1.25	-\$46.39	-	-
	META MATERIALS INC MMAT Oct 15 21 18.0 C	\$0.00	100	09/10/21	-\$1,933.66	\$0.19	\$1,925.66	-	-
<b>Total Short Options</b>					<b>-\$14,953.61</b>		<b>\$2,417.37</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>Total Margin Account</b>					<b>\$483,029.23</b>		<b>\$258,935.24</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>10/2021</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>urchase Cost Ba</b>	<b>Average Cost</b>	<b>realized Gain (Lc)</b>	<b>Estimated Incom</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$4.70	93,245	07/13/21	\$353,412.69	\$3.79	\$84,838.81	-	-
	META MATERIALS INC PFD	\$1.28	4,800	-	-	-	-	-	-
<b>Total Stocks</b>					<b>\$444,395.50</b>		<b>\$84,838.81</b>	<b>\$0.00</b>	<b>0.00%</b>
Options - Margin	GAMESTOP CORPORATION GME Jan 21 22 230.0 C	\$16.74	3	08/02/21	\$9,601.98	\$32.01	-\$4,579.68	-	-
	GAMESTOP CORPORATION GME Nov 19 21 220.0 C	\$4.09	1	09/03/21	\$3,206.66	\$32.07	-\$2,797.51	-	-
	META MATERIALS INC MMAT Jan 21 22 3.0 C	\$1.95	266	08/16/21	\$30,831.90	\$1.16	\$21,038.10	-	-
	META MATERIALS INC MMAT Jan 21 22 4.0 C	\$1.35	200	07/19/21	\$27,966.77	\$1.40	-\$966.77	-	-
	META MATERIALS INC MMAT Jan 21 22 5.0 C	\$0.98	373	09/15/21	\$53,669.52	\$1.44	\$17,302.02	-	-
	META MATERIALS INC MMAT Jan 21 22 6.0 C	\$0.70	83	09/24/21	\$10,409.88	\$1.25	-\$4,599.88	-	-
	META MATERIALS INC MMAT Jan 21 22 13.0 C	\$0.25	50	07/30/21	\$2,033.19	\$0.41	-\$795.69	-	-
	META MATERIALS INC MMAT Jan 21 22 15.0 C	\$0.20	49	07/29/21	\$1,747.53	\$0.36	-\$752.34	-	-
<b>Total Options</b>					<b>\$128,711.64</b>		<b>-\$10,755.79</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>Short Options - Margin</b>	META MATERIALS INC MMAT Jan 21 22 7.0 C	\$0.56	100	09/01/21	\$5,581.00	\$1.25	\$6,872.61	-	-
	META MATERIALS INC MMAT Dec 17 21 9.0 C	\$0.20	140	10/18/21	\$2,800.00	\$0.19	\$92.75	-	-
	META MATERIALS INC MMAT Dec 17 21 10.0 C	\$0.14	10	10/18/21	\$140.50	\$0.19	\$52.88	-	-
<b>Total Short Options</b>					<b>-\$8,521.50</b>		<b>\$6,832.74</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>Total Margin Account</b>					<b>\$564,585.64</b>		<b>\$80,915.76</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>11/2021</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>urchase Cost Ba</b>	<b>Average Cost</b>	<b>realized Gain (Lc)</b>	<b>Estimated Incom</b>	<b>Yield</b>
Stocks - Margin	AMC ENTERTAINMENT HOLDINGS INC COM CL A	\$33.94	100	11/24/21	\$3,899.00	\$38.99	-\$505.00	-	-
	META MATERIALS INC COM	\$3.67	93,815	07/13/21	\$356,368.19	\$3.80	\$12,067.14	-	-
	META MATERIALS INC PFD	\$1.87	6,462	-	-	-	-	-	-
<b>Total Stocks</b>					<b>\$359,778.99</b>		<b>-\$12,572.14</b>	<b>\$0.00</b>	<b>0.00%</b>
Options - Margin	META MATERIALS INC MMAT Jan 21 22 3.0 C	\$1.00	266	08/16/21	\$30,831.90	\$1.16	\$4,181.36	-	-
	META MATERIALS INC MMAT Jan 21 22 4.0 C	\$0.60	200	07/19/21	\$27,966.77	\$1.40	\$15,966.77	-	-
	META MATERIALS INC MMAT Jan 21 22 5.0 C	\$0.38	382	09/15/21	\$54,575.45	\$1.43	\$40,250.45	-	-
	META MATERIALS INC MMAT Jan 21 22 6.0 C	\$0.25	83	09/24/21	\$10,409.88	\$1.25	-\$8,334.88	-	-

	META MATERIALS INC MMAT Jan 21 22 13.0 C	\$0.08	50	07/30/21	\$2,033.19	\$0.41	\$1,633.19	-	-
	META MATERIALS INC MMAT Jan 21 22 15.0 C	\$0.07	49	07/29/21	\$1,747.53	\$0.36	\$1,410.41	-	-
Total Options					\$55,787.66		-\$71,777.06	\$0.00	0.00%
Short Options - Margin	AMC ENTERTAINMENT HOLDINGS INC AMC Dec 0	\$0.12	1	11/24/21	-\$103.34	\$1.03	\$91.34	-	-
Total Short Options					-\$12.00		\$91.34	\$0.00	0.00%
Total Margin Account					\$415,554.65		-\$84,257.86	\$0.00	0.00%
<b>12/2021</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>Purchase Cost Bas</b>	<b>Average Cost</b>	<b>Realized Gain (Loss)</b>	<b>Estimated Income</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$2.46	112,274	07/13/21	\$433,666.97	\$3.86	-\$157,472.93	-	-
	META MATERIALS INC PFD	\$1.51	12,777						
Total Stocks					\$295,487.31		-\$157,472.93	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 21 22 5.0 C	\$0.05	182	09/22/21	\$26,553.10	\$1.46	-\$25,659.48	-	-
	META MATERIALS INC MMAT Jan 21 22 6.0 C	\$0.02	83	09/24/21	\$10,409.88	\$1.25	-\$10,219.81	-	-
	META MATERIALS INC MMAT Jan 21 22 13.0 C	\$0.00	50	07/30/21	\$2,033.19	\$0.41	\$2,032.19	-	-
	META MATERIALS INC MMAT Jan 21 22 15.0 C	\$0.00	49	07/29/21	\$1,747.53	\$0.36	-\$1,747.04	-	-
	META MATERIALS INC MMAT Apr 14 22 4.0 C	\$0.40	200	12/09/21	\$15,931.88	\$0.80	-\$7,931.88	-	-
	META MATERIALS INC MMAT Apr 14 22 6.0 C	\$0.25	215	12/09/21	\$9,816.77	\$0.46	-\$4,441.77	-	-
Total Options					\$14,460.18		-\$52,032.17	\$0.00	0.00%
Total Margin Account					\$309,947.49		-\$209,505.10	\$0.00	0.00%
<b>01/2022</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>Purchase Cost Bas</b>	<b>Average Cost</b>	<b>Realized Gain (Loss)</b>	<b>Estimated Income</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$1.67	85,000	07/13/21	\$294,596.70	\$3.47	-\$152,646.70	-	-
	META MATERIALS INC PFD SER A "CLBL	\$1.30	20,457						
Total Stocks					\$168,544.10		-\$152,646.70	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Apr 14 22 3.0 C	\$0.14	100	01/12/22	\$4,765.94	\$0.48	-\$3,365.94	-	-
	META MATERIALS INC MMAT Apr 14 22 4.0 C	\$0.09	200	12/09/21	\$15,931.88	\$0.80	-\$14,231.88	-	-
	META MATERIALS INC MMAT Apr 14 22 6.0 C	\$0.06	215	12/09/21	\$9,816.77	\$0.46	-\$8,466.57	-	-
Total Options					\$4,450.20		-\$26,064.39	\$0.00	0.00%
Total Margin Account					\$172,994.30		-\$178,711.09	\$0.00	0.00%
<b>02/2022</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>Purchase Cost Bas</b>	<b>Average Cost</b>	<b>Realized Gain (Loss)</b>	<b>Estimated Income</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$2.15	80,000	07/13/21	\$274,220.38	\$3.43	-\$102,220.38	-	-
	META MATERIALS INC PFD SER A "CLBL	\$1.94	23,457						
Total Stocks					\$217,506.58		-\$102,220.38	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 20 23 4.0 C	\$0.68	67	02/17/22	\$3,528.22	\$0.53	\$1,016.39	-	-
	META MATERIALS INC MMAT Apr 14 22 3.0 C	\$0.23	245	01/12/22	\$8,303.63	\$0.34	-\$2,791.13	-	-
	META MATERIALS INC MMAT Apr 14 22 4.0 C	\$0.14	200	12/09/21	\$15,931.88	\$0.80	-\$13,231.88	-	-
	META MATERIALS INC MMAT Apr 14 22 6.0 C	\$0.07	215	12/09/21	\$9,816.77	\$0.46	-\$8,262.32	-	-

Total Options					\$14,311.56		-\$23,268.94	\$0.00	0.00%
Total Margin Account					\$231,818.14		-\$125,489.32	\$0.00	0.00%
<b>03/2022</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>Purchase Cost Bas</b>	<b>Average Cost</b>	<b>Realized Gain (Loss)</b>	<b>Estimated Income</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$1.67	60,000	07/19/21	\$200,111.37	\$3.34	-\$99,911.37		-
	META MATERIALS INC PFD	\$1.22	29,340						-
Total Stocks					\$135,994.80		-\$99,911.37	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 20 23 4.0 C	\$0.37	433	02/17/22	\$20,645.76	\$0.48	-\$4,841.26		-
	META MATERIALS INC MMAT Apr 14 22 3.0 C	\$0.03	216	01/12/22	\$6,921.51	\$0.32	-\$6,381.51		-
	META MATERIALS INC MMAT Apr 14 22 4.0 C	\$0.01	200	12/09/21	\$15,931.88	\$0.80	-\$15,719.88		-
	META MATERIALS INC MMAT Apr 14 22 6.0 C	\$0.00	204	12/09/21	\$9,314.52	\$0.46	-\$9,298.20		-
Total Options					\$16,572.82		-\$36,240.85	\$0.00	0.00%
Total Margin Account					\$152,567.62		-\$136,152.22	\$0.00	0.00%
<b>04/2022</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>Purchase Cost Bas</b>	<b>Average Cost</b>	<b>Realized Gain (Loss)</b>	<b>Estimated Income</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$1.20	50,000	07/19/21	\$164,311.37	\$3.29	-\$104,311.37		-
	META MATERIALS INC PFD	\$1.26	37,000						-
Total Stocks					\$106,620.00		-\$104,311.37	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 20 23 4.0 C	\$0.22	608	02/17/22	\$25,361.25	\$0.42	-\$11,997.41		-
Total Options					\$13,363.84		-\$11,997.41	\$0.00	0.00%
Total Margin Account					\$119,983.84		-\$116,308.78	\$0.00	0.00%
<b>05/2022</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>Purchase Cost Bas</b>	<b>Average Cost</b>	<b>Realized Gain (Loss)</b>	<b>Estimated Income</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$1.20	50,000	07/19/21	\$164,311.37	\$3.29	-\$104,311.37		-
	META MATERIALS INC PFD	\$1.26	37,000						-
Total Stocks					\$106,620.00		-\$104,311.37	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 20 23 4.0 C	\$0.22	608	02/17/22	\$25,361.25	\$0.42	-\$11,997.41		-
Total Options					\$13,363.84		-\$11,997.41	\$0.00	0.00%
Total Margin Account					\$119,983.84		-\$116,308.78	\$0.00	0.00%
<b>06/2022</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>Purchase Cost Bas</b>	<b>Average Cost</b>	<b>Realized Gain (Loss)</b>	<b>Estimated Income</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$1.03	50,100	07/19/21	\$160,512.73	\$3.20	-\$108,909.73		0.00%
	META MATERIALS INC PFD SER A "CLBL"	\$1.55	74,281						0.00%
Total Stocks					\$166,367.15		-\$108,909.73	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 20 23 2.0 C	\$0.18	95	06/24/22	\$2,437.69	\$0.26	-\$775.19		0.00%
	META MATERIALS INC MMAT Jan 20 23 4.0 C	\$0.11	608	02/17/22	\$25,361.25	\$0.42	-\$18,648.93		0.00%

Total Options					\$8,374.82	-	-\$19,424.12	\$0.00	0.00%
Total Margin Account					\$174,741.97	-	-\$128,333.85	\$0.00	0.00%
<b>07/2022</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>Purchase Cost Basis</b>	<b>Average Cost</b>	<b>Realized Gain (Loss)</b>	<b>Estimated Income</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$0.90	60,100	07/19/21	\$160,512.73	\$3.20	-\$115,422.73	\$0.00	0.00%
	META MATERIALS INC PFD SER A *CLBL	\$1.38	74,281					\$0.00	0.00%
Total Stocks					\$147,597.78	-	-\$115,422.73	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 2023 2.0 C	\$0.11	95	06/24/22	\$2,437.69	\$0.26	-\$1,391.74	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 2023 4.0 C	\$0.07	608	02/17/22	\$25,361.25	\$0.42	-\$21,014.05	\$0.00	0.00%
Total Options					\$5,393.15	-	-\$22,405.79	\$0.00	0.00%
Total Margin Account					\$152,990.93	-	-\$137,828.52	\$0.00	0.00%
<b>08/2022</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>Purchase Cost Basis</b>	<b>Average Cost</b>	<b>Realized Gain (Loss)</b>	<b>Estimated Income</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$0.87	50,300	07/19/21	\$160,695.99	\$3.19	-\$117,186.49	\$0.00	0.00%
	META MATERIALS INC PFD SER A *CLBL	\$1.45	74,831					\$0.00	0.00%
Total Stocks					\$152,014.45	-	-\$117,186.49	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 2023 2.0 C	\$0.08	175	06/24/22	\$3,370.49	\$0.19	-\$1,966.99	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 2023 4.0 C	\$0.06	608	02/17/22	\$25,361.25	\$0.42	-\$21,938.21	\$0.00	0.00%
Total Options					\$4,826.54	-	-\$23,905.20	\$0.00	0.00%
Total Margin Account					\$156,840.99	-	-\$141,091.69	\$0.00	0.00%
<b>09/2022</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>Purchase Cost Basis</b>	<b>Average Cost</b>	<b>Realized Gain (Loss)</b>	<b>Estimated Income</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC COM	\$0.65	50,000	07/19/21	\$160,343.22	\$3.21	-\$127,888.22	\$0.00	0.00%
	META MATERIALS INC PFD SER A *CLBL	\$1.54	44,631	10/08/21	\$55,796.17	\$1.24	-\$13,243.57	\$0.00	0.00%
Total Stocks					\$101,494.74	-	-\$114,644.65	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 2023 1.0 C	\$0.11	100	09/26/22	\$1,165.99	\$0.12	-\$115.99	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 2023 2.0 C	\$0.05	275	06/24/22	\$4,036.48	\$0.15	-\$2,796.23	\$0.00	0.00%
	META MATERIALS INC MMAT Jan 2023 4.0 C	\$0.03	608	02/17/22	\$25,361.25	\$0.42	-\$23,500.77	\$0.00	0.00%
Total Options					\$4,150.73	-	-\$26,412.99	\$0.00	0.00%
Total Margin Account					\$105,645.47	-	-\$141,057.64	\$0.00	0.00%
<b>10/2022</b>									
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>Purchase Cost Basis</b>	<b>Average Cost</b>	<b>Realized Gain (Loss)</b>	<b>Estimated Income</b>	<b>Yield</b>
Stocks - Margin	META MATERIALS INC PFD SER A *CLBL	\$6.85	62,386	10/08/21	\$136,534.17	\$2.19	-\$290,809.93	\$0.00	0.00%
Total Stocks					\$427,344.10	-	-\$290,809.93	\$0.00	0.00%
Options - Margin	META MATERIALS INC MMAT Jan 2023 4.0 C	\$0.07	550	02/17/22	\$22,306.97	\$0.41	-\$18,256.97	\$0.00	0.00%
Total Options					\$4,048.00	-	-\$18,256.97	\$0.00	0.00%

Total Margin Account						\$431,392.10	-	\$272,550.96	\$0.00	0.00%
<b>11/2022</b>										
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>urchase Cost Ba</b>	<b>Average Cost</b>	<b>realized Gain (Lcestimated Incom</b>		<b>Yield</b>	
Stocks - Margin	META MATERIALS INC COM	\$1.90	10,909	11/28/22	\$42,303.26	\$3.88	-\$21,576.16	\$0.00	0.00%	
	META MATERIALS INC PFD SER'A :CLBL	\$8.17	63,728	02/09/22	\$356,062.53	\$5.59	\$164,595.23	\$0.00	0.00%	
Total Stocks					\$541,384.86	-	\$143,019.07	\$0.00	0.00%	
Options - Margin	META MATERIALS INC MMAT Jan 20 23 2.0 C	\$0.39	100	11/28/22	\$4,365.98	\$0.44	-\$515.98	\$0.00	0.00%	
	META MATERIALS INC MMAT Jan 20 23 4.0 C	\$0.14	550	02/17/22	\$22,306.97	\$0.41	-\$14,876.47	\$0.00	0.00%	
	META MATERIALS INC MMAT Dec 02 22 2.5 C	\$0.02	10	11/17/22	\$106.60	\$0.11	-\$91.60	\$0.00	0.00%	
Total Options					\$11,295.50	-	-\$15,484.05	\$0.00	0.00%	
Total Margin Account					\$552,680.36	-	\$127,535.02	\$0.00	0.00%	
<b>12/2022</b>										
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>urchase Cost Ba</b>	<b>Average Cost</b>	<b>realized Gain (Lcestimated Incom</b>		<b>Yield</b>	
Stocks - Margin	NEXT BRIDGE HYDROCARBONS COM	NA	65,728					\$0.00	0.00%	
Total Stocks							\$0.00	-	\$0.00	0.00%
Total Margin Account							\$0.00	-	\$0.00	0.00%
<b>01/2023</b>										
<b>Account Positions</b>	<b>Symbol</b>	<b>Current Market Price</b>	<b>Quantity</b>	<b>Purchase Date</b>	<b>urchase Cost Ba</b>	<b>Average Cost</b>	<b>realized Gain (Lcestimated Incom</b>		<b>Yield</b>	
Stocks - Cash	NEXT BRIDGE HYDROCARBONS COM	NA	65,728	02/09/22	\$372,929.48	\$5.67	-\$372,929.48	\$0.00	0.00%	
Total Stocks							\$0.00	-	-\$372,929.48	0.00%
Total Cash Account							\$0.00	-	-\$372,929.48	\$0.00

Let me know if you need any further updates!



07/2024

JAE JIN LEE  
4660 NE 3RD CT UNIT J1  
RENTON, WA 98059-5277

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount on any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSE; Charles Schwab Premier Bank, SSE; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London Insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit Investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI"):** also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party lender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

**A Distributions on Direct Participation Programs and/or REITs:** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its disbursements, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE 6-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. The reports made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail Investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/prips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



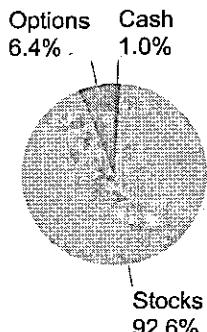
Statement Reporting Period:  
07/01/21 - 07/31/21

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 425-007235

JAE JIN LEE  
4660 NE 3RD CT UNIT J1  
RENTON, WA 98059-5277

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$4,664.51	\$12,674.51	(\$8,010.00)	-	\$ -	-	
Insr'd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	419,870.00	691,395.00	(271,525.00)	(39.3)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	29,006.50	17,370.94	11,635.56	67.0%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$453,541.01</b>	<b>\$721,440.45</b>	<b>(\$267,899.44)</b>	<b>(37.1)%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary		
	Current	YTD		Reportable	Non Reportable	YTD	
<b>Opening Balance</b>	\$12,674.51	\$1,106.10	<b>Income</b>				<b>Cost Basis As Of - 07/31/21 **</b>
Securities Purchased	(236,443.00)	(1,039,506.47)	Dividends	\$ -	\$ -	\$ -	\$332,090.11
Securities Sold	236,433.61	943,128.34	Interest	0.13	-	1.44	Unrealized Gains 147,835.61
Funds Deposited	-	125,000.00	Other	-	-	-	Unrealized Losses (31,049.22)
Funds Disbursed	(8,000.00)	(25,000.00)	<b>Expense</b>				Funds Deposited/(Disbursed) <sup>YTD</sup> 100,000.00
Income	0.13	1.44	Interest	(0.74)	-	(1.90)	Income/(Expense) <sup>YTD</sup> (63.46)
Expense	(0.74)	(64.90)	Fees	-	-	(63.00)	Securities Received/(Delivered) <sup>YTD</sup> (42,090.00)
Other	-	-	Other	-	-	-	
<b>Closing Balance</b>	<b>\$4,664.51</b>	<b>\$4,664.51</b>	<b>Net</b>	<b>(\$0.61)</b>	<b>\$0.00</b>	<b>(\$63.46)</b>	

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

## Statement for Account # 425-007235

07/01/21 - 07/31/21

TD Ameritrade Online Cash Services Summary		
Description	Current	Year To Date
<b>CREDITS</b>		
Electronic Transfer	\$ -	\$ 125,000.00
<b>Subtotal</b>	0.00	125,000.00
<b>DEBITS</b>		
Electronic Transfer	\$ (8,000.00)	\$ (25,000.00)
<b>Subtotal</b>	(8,000.00)	(25,000.00)
<b>TOTAL</b>	<b>(8,000.00)</b>	100,000.00

TD Ameritrade Income Summary Detail		
Description	Current	Year to Date
Interest Income Credit Balance	\$ 0.13	\$ 1.44
Margin Interest Charged	(0.74)	(1.90)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Stocks - Margin</b>										
AMC ENTERTAINMENT HOLDINGS INC COM CL A	AMC	6,000	\$ 37.02	\$ 222,120.00	03/04/21	\$ 74,284.39	\$ 12.38	\$ 147,835.61	\$ -	-
META MATERIALS INC COM	MMAT	56,500	3.50	197,750.00	06/22/21	223,702.64	3.96	(25,952.64)	-	-
META MATERIALS INC COM	59134N203	2,000	NA	NA	-	-	-	-	-	-
<b>Total Stocks</b>				<b>\$419,870.00</b>				<b>\$121,882.97</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 21 22 4.0 C	-	200	\$ 1.25	\$ 25,000.00	07/19/21	\$ 27,966.77	\$ 1.40	\$ (2,966.77)	\$ -	-
META MATERIALS INC MMAT Jan 21 22 15.0 C	-	100	0.3369	3,369.00	07/29/21	3,566.39	0.36	(197.39)	-	-

## Statement for Account # 425-007235

07/01/21 - 07/31/21

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Options - Margin</b>										
META MATERIALS INC MMAT Aug 21 5.0 C	-	15	0.25	375.00	07/13/21	909.96	0.61	(534.96)	-	-
META MATERIALS INC MMAT Aug 20 21 6.0 C	-	15	0.175	262.50	07/09/21	1,659.96	1.11	(1,397.46)	-	-
<b>Total Options</b>				<b>\$29,006.50</b>		<b>\$34,103.08</b>		<b>\$(-5,096.58)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$448,876.50</b>		<b>\$332,090.11</b>		<b>\$116,786.39</b>	<b>\$0.00</b>	<b>0.0%</b>

Online Cash Services Transaction Detail				
Category	Transaction Date	Description	Amount	
<b>DEBITS</b>				
Electronic Transfer	07/27/2021	ACH OUT - 07/26/2021 06:40PM	\$	(8,000.00)
<b>Subtotal</b>				(8,000.00)
<b>TOTAL</b>				<b>(8,000.00)</b>

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									\$12,674.51
07/06/21	07/06/21	Margin	Delivered - Other	GAMESTOP CORPORATION GME Jul 02 21 350.0 C EXPIRATION	-	1-	\$ 0.00	\$ -	12,674.51
07/08/21	07/08/21	Margin	Received - Other	META MATERIALS INC COM Stock Div\Split on 2000 TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104 SPINOFF FROM CUSIP 89102U103 Payable: 06/25/2021	59134N203	2,000	0.00	-	12,674.51

## Statement for Account # 425-007235

07/01/21 - 07/31/21

Account/Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/09/21	07/12/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Aug 20 21 6.0 C TO OPEN Commission/Fee 9.75 Regulatory Fee 0.21	-	15	1.10	(1,659.96)	11,014.55
07/12/21	07/12/21	Margin	Delivered - Other	GAMESTOP CORPORATION GME Jul 09 21 250.0 C EXPIRATION	-	1-	0.00	-	11,014.55
07/12/21	07/12/21	Margin	Delivered - Other	AMC ENTERTAINMENT HOLDINGS INC AMC Jul 09 21 55.0 C EXPIRATION	-	5-	0.00	-	11,014.55
07/13/21	07/14/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Aug 20 21 5.0 C TO OPEN Commission/Fee 9.75 Regulatory Fee 0.21	-	15	0.60	(909.96)	10,104.59
07/13/21	07/15/21	Margin	Sell - Securities Sold	AMC ENTERTAINMENT HOLDINGS INC AMC COM CL A Regulatory Fee 0.32	AMC	1,000-	39.85	39,849.68	49,954.27
07/13/21	07/15/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	3,000	3.60	(10,800.00)	39,154.27
07/14/21	07/16/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	4,000	3.95	(15,800.00)	23,354.27
07/14/21	07/16/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	2,000	3.96	(7,920.00)	15,434.27
07/14/21	07/16/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	3,100	3.7499	(11,624.69)	3,809.58
07/14/21	07/16/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	400	3.745	(1,498.00)	2,311.58
07/14/21	07/16/21	Margin	Sell - Securities Sold	AMC ENTERTAINMENT HOLDINGS INC AMC COM CL A Regulatory Fee 0.30	AMC	1,000-	35.9301	35,929.80	38,241.38
07/14/21	07/16/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	2,300	3.8899	(8,946.77)	29,294.61
07/14/21	07/16/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	6,300	3.8897	(24,505.11)	4,789.50

## Statement for Account # 425-007235

07/01/21 - 07/31/21

Account/Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
07/14/21	07/16/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	400	3.885	(1,554.00)	3,235.50
07/15/21	07/19/21	Margin	Sell - Securities Sold	AMC ENTERTAINMENT HOLDINGS INC COM CL A Regulatory Fee 0.30	AMC	1,000-	35.4301	35,429.80	38,665.30
07/15/21	07/19/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	5,000	3.9699	(19,849.50)	18,815.80
07/15/21	07/19/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	1,045	3.89	(4,065.05)	14,750.75
07/15/21	07/19/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	3,455	3.8899	(13,439.60)	1,311.15
07/19/21	07/20/21	Margin	Sell - Securities Sold	PROSHARES TRUST II UVXY Sep 17 21 33.0 C TO CLOSE Commission/Fee 3.25 Regulatory Fee 0.10	-	5-	8.70	4,346.65	5,657.80
07/19/21	07/20/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 4.0 C TO OPEN Commission/Fee 18.20 Regulatory Fee 0.39	-	28	1.34	(3,770.59)	1,887.21
07/19/21	07/20/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 4.0 C TO OPEN Commission/Fee 32.50 Regulatory Fee 0.69	-	50	1.40	(7,033.19)	(5,145.98)
07/19/21	07/20/21	Margin	Sell - Securities Sold	PROSHARES TRUST II UVXY Jul 30 21 32.0 C TO CLOSE Commission/Fee 6.50 Regulatory Fee 0.19	-	10-	6.30	6,293.31	1,147.33
07/19/21	07/20/21	Margin	Sell - Securities Sold	PROSHARES TRUST II UVXY Sep 17 21 35.0 C TO CLOSE Commission/Fee 3.25 Regulatory Fee 0.10	-	5-	9.10	4,546.65	5,693.98
07/19/21	07/20/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 4.0 C TO OPEN Commission/Fee 21.45 Regulatory Fee 0.46	-	33	1.45	(4,806.91)	887.07

## Statement for Account # 425-007235

07/01/21 - 07/31/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/19/21	07/20/21	Margin	Sell - Securities Sold	PROSHARES TRUST II UVXY Sep 17 21 35.0 C TO CLOSE Commission/Fee 3.25 Regulatory Fee 0.10	-	5-	8.25	4,121.65	5,008.72
07/19/21	07/20/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 4.0 C TO OPEN Commission/Fee 21.45 Regulatory Fee 0.46	-	33	1.37	(4,542.91)	465.81
07/19/21	07/20/21	Margin	Sell - Securities Sold	PROSHARES TRUST II UVXY Sep 17 21 33.0 C TO CLOSE Commission/Fee 3.25 Regulatory Fee 0.10	-	5-	8.80	4,396.65	4,862.46
07/19/21	07/20/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 4.0 C TO OPEN Commission/Fee 20.80 Regulatory Fee 0.44	-	32	1.38	(4,437.24)	425.22
07/19/21	07/20/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 4.0 C TO OPEN Commission/Fee 15.60 Regulatory Fee 0.33	-	24	1.40	(3,375.93)	(2,950.71)
07/19/21	07/21/21	Margin	Sell - Securities Sold	AMC ENTERTAINMENT HOLDINGS INC AMC COM CL A Regulatory Fee 0.88	AMC	3,000-	33.8401	101,519.42	98,568.71
07/19/21	07/21/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	14,000	3.5199	(49,278.60)	49,290.11
07/20/21	07/22/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	3,000	3.21	(9,630.00)	39,660.11
07/22/21	07/26/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	3,000	3.43	(10,290.00)	29,370.11
07/26/21	07/27/21	Margin	- Funds Disbursed	ACH OUT - 07/26/2021 06:40PM	-	-	0.00	(8,000.00)	21,370.11
07/27/21	07/29/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	2,000	3.3194	(6,638.80)	14,731.31
07/27/21	07/29/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	2,000	3.2499	(6,499.80)	8,231.51

## Statement for Account # 425-007235

07/01/21 - 07/31/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/29/21	07/30/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 15.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 1.39	-	100	0.35	(3,566.39)	4,665.12
07/30/21	07/30/21	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 07/30/2021	-	-	0.00	(0.74)	4,664.38
07/30/21	07/30/21	Margin	Div/Int - Income	INTEREST CREDIT Payable: 07/30/2021	-	-	0.00	0.13	4,664.51
<b>Closing Balance</b>									<b>\$4,664.51</b>

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
07/01/21	\$ -	\$ 12,674.51	11	0.01	\$ -	\$ 0.04
07/12/21	-	11,014.55	2	0.01	-	0.01
07/15/21	-	39,154.27	1	0.01	-	0.01
07/20/21	(2,950.71)	-	1	9.00	0.74	-
07/21/21	-	49,290.11	1	0.01	-	0.01
07/22/21	-	39,660.11	4	0.01	-	0.04
07/26/21	-	29,370.11	1	0.01	-	0.01
07/27/21	-	21,370.11	2	0.01	-	0.01
<b>Total Interest Income/(Expense)</b>						<b>\$0.74</b>
						<b>\$0.13</b>

Trades Pending Settlement								
Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount	
BUY META MATERIALS INC MMAT Jan 21 22 13.0 C	Margin	-	100	\$ 0.40	07/30/21	08/02/21	\$ (4,066.39)	
BUY META MATERIALS INC COM	Margin	MMAT	10,000	3.58	07/30/21	08/03/21	(35,800.00)	
SELL AMC ENTERTAINMENT HOLDINGS INC COM CL A	Margin	AMC	4,000-	38.50	07/30/21	08/03/21	153,998.73	
BUY META MATERIALS INC COM	Margin	MMAT	10,000	3.58	07/30/21	08/03/21	(35,800.00)	

## Statement for Account # 425-007235

07/01/21 - 07/31/21

Trades Pending Settlement							
Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY META MATERIALS INC COM	Margin	MMAT	4,500	3.4599	07/30/21	08/03/21	(15,569.55)
BUY PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF	Margin	UVXY	500	28.35	07/30/21	08/03/21	(14,175.00)

## Important Information

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issuances/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.



08/2024

JAE JIN LEE  
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RENTON, WA 98059-5277

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-Insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London Insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London Insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI")**, also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically liquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the value may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

**A Distributions on Direct Participation Programs and/or REITs** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-8999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE 6-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/prips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

(1) Tell us your name and account number.  
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.  
(3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



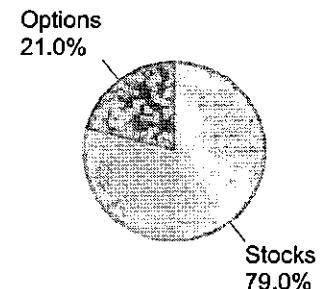
Statement Reporting Period:  
08/01/21 - 08/31/21

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

**Statement for Account # 425-007235**

JAE JIN LEE  
4660 NE 3RD CT UNIT J1  
RENTON, WA 98059-5277

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$97.87	\$4,664.51	(\$4,566.64)	-	\$ -	-	
Insr'd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	508,648.00	419,870.00	88,778.00	21.1%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	135,042.35	29,006.50	106,035.85	365.6%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$643,788.22</b>	<b>\$453,541.01</b>	<b>\$190,247.21</b>	<b>41.9%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary		
	Current	YTD	Reportable	Non Reportable	YTD		
<b>Opening Balance</b>	\$4,664.51	\$1,106.10	<b>Income</b>			<b>Cost Basis As Of - 08/31/21 **</b>	\$474,548.21
Securities Purchased	(233,487.41)	(1,272,993.88)	Dividends	\$ -	\$ -	Unrealized Gains	169,142.14
Securities Sold	239,936.49	1,183,064.83	Interest	0.04	-	Unrealized Losses	-
Funds Deposited	-	125,000.00	Other	-	-	Funds Deposited/(Disbursed) <sup>YTD</sup>	89,000.00
Funds Disbursed	(11,000.00)	(36,000.00)	<b>Expense</b>			Income/(Expense) <sup>YTD</sup>	(79.18)
Income	0.04	1.48	Interest	(15.76)	-	Securities Received/(Delivered) <sup>YTD</sup>	(42,090.00)
Expense	(15.76)	(80.66)	Fees	-	(17.66)		
Other	-	-	Other	-	(63.00)		
<b>Closing Balance</b>	<b>\$97.87</b>	<b>\$97.87</b>	<b>Net</b>	<b>(\$15.72)</b>	<b>\$0.00</b>	<b>(\$79.18)</b>	

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

## Statement for Account # 425-007235

08/01/21 - 08/31/21

Online Cash Services Summary		
Description	Current	Year To Date
<b>CREDITS</b>		
Electronic Transfer	\$ -	\$ 125,000.00
<i>Subtotal</i>	0.00	125,000.00
<b>DEBITS</b>		
Electronic Transfer	\$ (11,000.00)	\$ (36,000.00)
<i>Subtotal</i>	(11,000.00)	(36,000.00)
<b>TOTAL</b>	<b>(11,000.00)</b>	89,000.00

Income Summary Detail		
Description	Current	Year to Date
Interest Income Credit Balance	\$ 0.04	\$ 1.48
Margin Interest Charged	(15.76)	(17.66)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Stocks - Margin</b>										
GAMESTOP CORPORATION COM CL A	GME	200	\$ 218.24	\$ 43,648.00	08/04/21	\$ 29,825.99	\$ 149.13	\$ 13,822.01	\$ -	-
META MATERIALS INC COM	MMAT	100,000	4.65	465,000.00	06/22/21	368,326.81	3.68	96,673.19	-	-
META MATERIALS INC PFD	59134N203	2,000	NA	NA	-	-	-	-	-	-
<b>Total Stocks</b>				<b>\$508,648.00</b>		<b>\$398,152.80</b>		<b>\$110,495.20</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
GAMESTOP CORPORATION GME Jan 21 22 230.0 C	-	3	\$ 58.475	\$ 17,542.50	08/02/21	\$ 9,601.98	\$ 32.01	\$ 7,940.52	\$ -	-
META MATERIALS INC MMAT Jan 21 22 3.0 C	-	269	2.3465	63,120.85	08/16/21	31,193.88	1.16	31,926.97	-	-

## Statement for Account # 425-007235

08/01/21 - 08/31/21

		Account Positions									
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield	
<b>Options - Margin</b>											
META MATERIALS INC MMAT Jan 21 22 4.0 C	-	200	1.9405	38,810.00	07/19/21	27,966.77	1.40	10,843.23	-	-	
META MATERIALS INC MMAT Jan 21 22 13.0 C	-	100	0.808	8,080.00	07/30/21	4,066.39	0.41	4,013.61	-	-	
META MATERIALS INC MMAT Jan 21 22 15.0 C	-	100	0.7489	7,489.00	07/29/21	3,566.39	0.36	3,922.61	-	-	
<b>Total Options</b>				<b>\$135,042.35</b>			<b>\$76,395.41</b>		<b>\$58,646.94</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$643,690.35</b>			<b>\$474,548.21</b>		<b>\$169,142.14</b>	<b>\$0.00</b>	<b>0.0%</b>

Online Cash Services Transaction Detail				Amount
Category	Transaction Date	Description	Amount	
<b>DEBITS</b>				
Electronic Transfer	08/16/2021	ACH OUT - 08/13/2021 06:30PM	\$ (3,000.00)	
	08/18/2021	ACH OUT - 08/17/2021 06:27PM	(8,000.00)	
<b>Subtotal</b>			<b>(11,000.00)</b>	
<b>TOTAL</b>			<b>(11,000.00)</b>	

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									<b>\$4,664.51</b>
07/30/21	08/02/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 13.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 1.39	-	100	\$ 0.40	\$ (4,066.39)	598.12
07/30/21	08/03/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	10,000	3.58	(35,800.00)	(35,201.88)
07/30/21	08/03/21	Margin	Sell - Securities Sold	AMC ENTERTAINMENT HOLDINGS INC COM CL A Regulatory Fee 1.27	AMC	4,000-	38.50	153,998.73	118,796.85

## Statement for Account # 425-007235

08/01/21 - 08/31/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/30/21	08/03/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	10,000	3.58	(35,800.00)	82,996.85
07/30/21	08/03/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	4,500	3.4599	(15,569.55)	67,427.30
07/30/21	08/03/21	Margin	Buy - Securities Purchased	PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF	UVXY	500	28.35	(14,175.00)	53,252.30
08/02/21	08/03/21	Margin	Buy - Securities Purchased	GAMESTOP CORPORATION GME Jan 21 22 230.0 C TO OPEN Commission/Fee 1.95 Regulatory Fee 0.03	-	3	32.00	(9,601.98)	43,650.32
08/04/21	08/06/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	2,000	3.2099	(6,419.80)	37,230.52
08/04/21	08/06/21	Margin	Buy - Securities Purchased	GAMESTOP CORPORATION COM CL A	GME	100	152.2599	(15,225.99)	22,004.53
08/04/21	08/06/21	Margin	Buy - Securities Purchased	GAMESTOP CORPORATION COM CL A	GME	100	146.00	(14,600.00)	7,404.53
08/04/21	08/06/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	2,300	3.0899	(7,106.77)	297.76
08/13/21	08/16/21	Margin	- Funds Disbursed	ACH OUT - 08/13/2021 06:30PM	-	-	0.00	(3,000.00)	(2,702.24)
08/13/21	08/17/21	Margin	Sell - Securities Sold	AMC ENTERTAINMENT HOLDINGS INC COM CL A Regulatory Fee 0.03	AMC	100-	33.8402	3,383.99	681.75
08/13/21	08/17/21	Margin	Sell - Securities Sold	AMC ENTERTAINMENT HOLDINGS INC COM CL A Regulatory Fee 0.12	AMC	400-	33.8401	13,535.92	14,217.67
08/13/21	08/17/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	3,000	3.2099	(9,629.70)	4,587.97
08/13/21	08/17/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	1,000	3.1899	(3,189.90)	1,398.07
08/16/21	08/17/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 3.0 C TO OPEN Commission/Fee 97.50 Regulatory Fee 1.69	-	150	1.20	(18,099.19)	(16,701.12)

## Statement for Account # 425-007235

08/01/21 - 08/31/21

Account Activity										
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance	
08/16/21	08/18/21	Margin	Sell - Securities Sold		AMC ENTERTAINMENT HOLDINGS INC	AMC	500-	35.92	17,959.85	1,258.73
					COM CL A					
					Regulatory Fee 0.15					
08/17/21	08/18/21	Margin	- Funds Disbursed		ACH OUT - 08/17/2021 06:27PM	-	-	0.00	(8,000.00)	(6,741.27)
08/17/21	08/19/21	Margin	Sell - Securities Sold		AMC ENTERTAINMENT HOLDINGS INC	AMC	1,000-	36.9201	36,919.79	30,178.52
					COM CL A					
					Regulatory Fee 0.31					
08/17/21	08/19/21	Margin	Buy - Securities Purchased		META MATERIALS INC COM	MMAT	10,000	2.8996	(28,996.00)	1,182.52
08/18/21	08/20/21	Margin	Buy - Securities Purchased		META MATERIALS INC COM	MMAT	50	3.0299	(151.50)	1,031.02
08/18/21	08/20/21	Margin	Buy - Securities Purchased		META MATERIALS INC COM	MMAT	250	3.0297	(757.43)	273.59
08/18/21	08/20/21	Margin	Sell - Securities Sold		PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF	UVXY	46-	26.59	1,223.12	1,496.71
					Regulatory Fee 0.02					
08/18/21	08/20/21	Margin	Buy - Securities Purchased		META MATERIALS INC COM	MMAT	400	3.0088	(1,203.52)	293.19
08/19/21	08/20/21	Margin	Buy - Securities Purchased		META MATERIALS INC MMAT Jan 21 22 3.0 C TO OPEN	-	45	1.10	(4,979.76)	(4,686.57)
					Commission/Fee 29.25					
					Regulatory Fee 0.51					
08/19/21	08/20/21	Margin	Buy - Securities Purchased		META MATERIALS INC MMAT Jan 21 22 3.0 C TO OPEN	-	74	1.09	(8,114.93)	(12,801.50)
					Commission/Fee 48.10					
					Regulatory Fee 0.83					
08/19/21	08/23/21	Margin	Sell - Securities Sold		PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF	UVXY	200-	28.3301	5,665.97	(7,135.53)
					Regulatory Fee 0.05					
08/19/21	08/23/21	Margin	Sell - Securities Sold		PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF	UVXY	254-	28.5401	7,249.12	113.59
					Regulatory Fee 0.07					
08/23/21	08/23/21	Margin	Delivered - Other		META MATERIALS INC MMAT Aug 20 21 5.0 C EXPIRATION	-	15-	0.00	-	113.59

## Statement for Account # 425-007235

08/01/21 - 08/31/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/23/21	08/23/21	Margin	Delivered - Other	META MATERIALS INC MMAT Aug 20 21 6.0 C EXPIRATION	-	15-	0.00	-	113.59
08/31/21	08/31/21	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 08/31/2021	-	-	0.00	(15.76)	97.83
08/31/21	08/31/21	Margin	Div/Int - Income	INTEREST CREDIT Payable: 08/31/2021	-	-	0.00	0.04	97.87

## Closing Balance

\$97.87

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
08/03/21	\$ -	\$ 43,650.32	3	0.01	\$ -	\$ 0.04
08/16/21	(2,702.24)	-	1	9.00	0.68	-
08/17/21	(16,701.12)	-	1	8.75	4.06	-
08/18/21	(6,741.27)	-	1	9.00	1.69	-
08/20/21	(12,801.50)	-	3	8.75	9.33	-

Total Interest Income/(Expense)

\$15.76

\$0.04

## Important Information

BREAKPOINTS

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issuances/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.



69/2024

JAE JIN LEE  
4660 NE 3RD CT UNIT J1  
RENTON, WA 98059-5277

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits and per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London Insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI")**, also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

**A Distributions on Direct Participation Programs and/or REITs:** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.htm>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share fee per contract cash payment. The potential for receipt of order flow is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/priips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



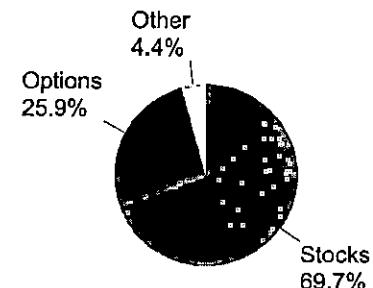
Statement Reporting Period:  
09/01/21 - 09/30/21

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 425-007235

JAE JIN LEE  
4660 NE 3RD CT UNIT J1  
RENTON, WA 98059-5277

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$22,449.99	\$97.87	\$22,352.12	-	\$ -	-	
Insr'd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	550,022.00	508,648.00	41,374.00	8.1%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	204,478.71	135,042.35	69,436.36	51.4%	-	-	
Short Options	(12,536.24)	-	(12,536.24)	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$764,414.46</b>	<b>\$643,788.22</b>	<b>\$120,626.24</b>	<b>18.7%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary			Income & Expense Summary			Performance Summary		
	Current	YTD		Reportable	Non Reportable		YTD	
Opening Balance	\$97.87	\$1,106.10	Income					Cost Basis As Of - 09/30/21 **
Securities Purchased	(151,794.63)	(1,424,788.51)	Dividends	\$ -	\$ -	\$ -		\$483,029.23
Securities Sold	164,146.61	1,347,211.44	Interest	0.14	-	1.62	Unrealized Gains	265,708.76
Funds Deposited	20,000.00	145,000.00	Other	-	-	-	Unrealized Losses	(6,773.52)
Funds Disbursed	(10,000.00)	(46,000.00)	Expense				Funds Deposited/(Disbursed) YTD	99,000.00
Income	0.14	1.62	Interest	-	-	(17.66)	Income/(Expense) YTD	(79.04)
Expense	-	(80.66)	Fees	-	-	(63.00)	Securities Received/(Delivered) YTD	(42,090.00)
Other	-	-	Other	-	-	-		
<b>Closing Balance</b>	<b>\$22,449.99</b>	<b>\$22,449.99</b>	<b>Net</b>	<b>\$0.14</b>	<b>\$0.00</b>	<b>(\$79.04)</b>		

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

## Statement for Account # 425-007235

09/01/21 - 09/30/21

Online Cash Services Summary		
Description	Current	Year To Date
<b>CREDITS</b>		
Electronic Transfer	\$ 20,000.00	\$ 145,000.00
<b>Subtotal</b>	20,000.00	145,000.00
<b>DEBITS</b>		
Electronic Transfer	\$ (10,000.00)	\$ (46,000.00)
<b>Subtotal</b>	(10,000.00)	(46,000.00)
<b>TOTAL</b>	<b>10,000.00</b>	<b>99,000.00</b>

Income Summary Detail		
Description	Current	Year to Date
Interest Income Credit Balance	\$ 0.14	\$ 1.62
Margin Interest Charged	0.00	(17.66)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Stocks - Margin</b>										
GAMESTOP CORPORATION COM CL A	GME	100	\$ 175.47	\$ 17,547.00	08/04/21	\$ 14,600.00	\$ 146.00	\$ 2,947.00	\$ -	-
META MATERIALS INC COM	MMAT	90,000	5.78	520,200.00	07/13/21	339,855.15	3.78	180,344.85	-	-
META MATERIALS INC PFD	59134N203	2,000	NA	NA	-	-	-	-	-	-
PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF	UVXY	500	24.55	12,275.00	09/21/21	13,509.95	27.02	(1,234.95)	-	-
<b>Total Stocks</b>				<b>\$550,022.00</b>				<b>\$182,056.90</b>	<b>\$0.00</b>	<b>0.0%</b>

## Statement for Account # 425-007235

09/01/21 - 09/30/21

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Options - Margin</b>										
GAMESTOP CORPORATION GME Jan 21 22 230.0 C	-	3	\$ 21.0014	\$ 6,300.42	08/02/21	\$ 9,601.98	\$ 32.01	\$ (3,301.56)	\$ -	-
GAMESTOP CORPORATION GME Nov 19 21 220.0 C	-	1	10.1604	1,016.04	09/03/21	3,206.66	32.07	(2,190.62)	-	-
META MATERIALS INC MMAT Jan 21 22 3.0 C	-	269	2.9469	79,271.61	08/16/21	31,193.88	1.16	48,077.73	-	-
META MATERIALS INC MMAT Jan 21 22 4.0 C	-	200	2.2697	45,394.00	07/19/21	27,966.77	1.40	17,427.23	-	-
META MATERIALS INC MMAT Jan 21 22 5.0 C	-	212	1.829	38,774.80	09/15/21	31,168.19	1.47	7,606.61	-	-
META MATERIALS INC MMAT Jan 21 22 6.0 C	-	80	1.525	12,200.00	09/24/21	9,972.90	1.25	2,227.10	-	-
META MATERIALS INC MMAT Jan 21 22 13.0 C	-	100	0.5916	5,916.00	07/30/21	4,066.39	0.41	1,849.61	-	-
META MATERIALS INC MMAT Jan 21 22 15.0 C	-	100	0.493	4,930.00	07/29/21	3,566.39	0.36	1,363.61	-	-
PROSHARES TRUST II UVXY Dec 17 21 25.0 C	-	16	6.6724	10,675.84	09/01/21	9,274.58	5.80	1,401.26	-	-
<b>Total Options</b>				<b>\$204,478.71</b>		<b>\$130,017.74</b>		<b>\$74,460.97</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Short Options - Margin</b>										
GAMESTOP CORPORATION GME Oct 01 21 230.0 C	-	1-	\$ 0.2824	\$ (28.24)	09/17/21	\$ (566.34)	\$ 5.66	\$ 538.10	\$ -	-
META MATERIALS INC MMAT Jan 21 22 7.0 C	-	100-	1.25	(12,500.00)	09/01/21	(12,453.61)	1.25	(46.39)	-	-
META MATERIALS INC MMAT Oct 15 21 18.0 C	-	100-	0.0008	(8.00)	09/10/21	(1,933.66)	0.19	1,925.66	-	-
<b>Total Short Options</b>				<b>\$(12,536.24)</b>		<b>\$(14,953.61)</b>		<b>\$2,417.37</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$741,964.47</b>		<b>\$483,029.23</b>		<b>\$258,935.24</b>	<b>\$0.00</b>	<b>0.0%</b>

## Statement for Account # 425-007235

09/01/21 - 09/30/21

Category	Transaction Date	Description	Online Cash Services Transaction Detail	
				Amount
<b>CREDITS</b>				
Electronic Transfer	09/08/2021	ACH IN		\$ 20,000.00
<i>Subtotal</i>				20,000.00
<b>DEBITS</b>				
Electronic Transfer	09/24/2021	ACH OUT - 09/23/2021 06:24PM		\$ (10,000.00)
<i>Subtotal</i>				(10,000.00)
<b>TOTAL</b>				<b>10,000.00</b>

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									
09/01/21	09/02/21	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 21 22 7.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 0.29	-	20-	\$ 1.26	\$ 2,454.71	\$97.87 2,552.58
09/01/21	09/02/21	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 21 22 7.0 C TO OPEN Regulatory Fee 1.10	-	80-	1.25	9,998.90	12,551.48
09/01/21	09/02/21	Margin	Buy - Securities Purchased	PROSHARES TRUST II UVXY Dec 17 21 25.0 C TO OPEN Commission/Fee 10.40 Regulatory Fee 0.18	-	16	5.79	(9,274.58)	3,276.90
09/03/21	09/07/21	Margin	Buy - Securities Purchased	GAMESTOP CORPORATION GME Nov 19 21 220.0 C TO OPEN Commission/Fee 0.65 Regulatory Fee 0.01	-	1	32.06	(3,206.66)	70.24
09/07/21	09/08/21	Margin	- Funds Deposited	ACH IN	-	-	0.00	20,000.00	20,070.24
09/07/21	09/09/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	3,387	5.1597	(17,475.90)	2,594.34
09/07/21	09/09/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	500	5.155	(2,577.50)	16.84
09/10/21	09/13/21	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Oct 15 21 18.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 1.34	-	100-	0.20	1,933.66	1,950.50

## Statement for Account # 425-007235

09/01/21 - 09/30/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
09/10/21	09/13/21	Margin	Sell - Securities Sold	GAMESTOP CORPORATION GME Sep 17 21 220.0 C TO OPEN Commission/Fee 0.65 Regulatory Fee 0.01	-	1-	2.54	253.34	2,203.84
09/15/21	09/16/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 5.0 C TO OPEN Commission/Fee 7.80 Regulatory Fee 0.14	-	12	1.69	(2,035.94)	167.90
09/17/21	09/20/21	Margin	Sell - Securities Sold	GAMESTOP CORPORATION GME Oct 01 21 230.0 C TO OPEN Commission/Fee 0.65 Regulatory Fee 0.01	-	1-	5.67	566.34	734.24
09/20/21	09/20/21	Margin	Received - Other	GAMESTOP CORPORATION GME Sep 17 21 220.0 C EXPIRATION	-	1	0.00	-	734.24
09/20/21	09/22/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.44	MMAT	10,000-	4.99	49,898.56	50,632.80
09/20/21	09/22/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.56	MMAT	3,869-	4.95	19,150.99	69,783.79
09/20/21	09/22/21	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	18-	4.96	89.28	69,873.07
09/21/21	09/23/21	Margin	Buy - Securities Purchased	PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF	UVXY	1,000	27.0199	(27,019.90)	42,853.17
09/21/21	09/23/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.44	MMAT	10,000-	4.91	49,098.56	91,951.73
09/21/21	09/23/21	Margin	Sell - Securities Sold	GAMESTOP CORPORATION COM CL A Regulatory Fee 0.11	GME	100-	187.00	18,699.89	110,651.62
09/22/21	09/23/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 5.0 C TO OPEN Commission/Fee 130.00 Regulatory Fee 2.25	-	200	1.45	(29,132.25)	81,519.37
09/22/21	09/24/21	Margin	Sell - Securities Sold	PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF Regulatory Fee 0.12	UVXY	500-	24.005	12,002.38	93,521.75

## Statement for Account # 425-007235

09/01/21 - 09/30/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
09/23/21	09/24/21	Margin	- Funds Disbursed	ACH OUT - 09/23/2021 06:24PM	-	-	0.00	(10,000.00)	83,521.75
09/23/21	09/27/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	10,000	5.1099	(51,099.00)	32,422.75
09/24/21	09/27/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 6.0 C TO OPEN Commission/Fee 52.00 Regulatory Fee 0.90	-	80	1.24	(9,972.90)	22,449.85
09/30/21	09/30/21	Margin	Div/Int - Income	INTEREST CREDIT Payable: 09/30/2021	-	-	0.00	0.14	22,449.99

## Closing Balance

\$22,449.99

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest (Credit/Expense)						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
09/08/21	\$ -	\$ 20,070.24	1	0.01	\$ -	\$ 0.01
09/22/21	-	69,873.07	1	0.01	-	0.02
09/23/21	-	81,519.37	1	0.01	-	0.02
09/24/21	-	83,521.75	3	0.01	-	0.07
09/27/21	-	22,449.85	4	0.01	-	0.02
<b>Total Interest Income/(Expense)</b>						<b>\$ 0.00</b>
						<b>\$ 0.14</b>

## Important Information

BREAKPOINTS

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issuances/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.



10/2024

JAE JIN LEE  
4660 NE 3RD CT UNIT J1  
RENTON, WA 98059-5277

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup> Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup> Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposit ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI")**, also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. All transaction fees are applicable to purchases, capital call processing, and redemptions.

**△ Distributions on Direct Participation Programs and/or REITs:** Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing/determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/priips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



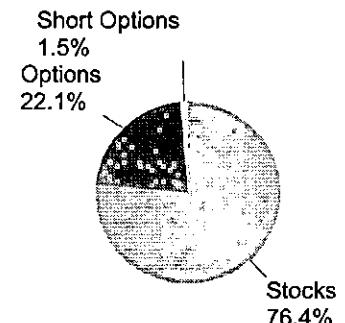
Statement Reporting Period:  
10/01/21 - 10/31/21

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

**Statement for Account # 425-007235**

JAE JIN LEE  
4660 NE 3RD CT UNIT J1  
RENTON, WA 98059-5277

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$41.53	\$22,449.99	(\$22,408.46)	-	\$ -	-	
Insr'd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	444,395.50	550,022.00	(105,626.50)	(19.2)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	128,711.64	204,478.71	(75,767.07)	(37.1)%	-	-	
Short Options	(8,521.50)	(12,536.24)	4,014.74	32.0%	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$564,627.17</b>	<b>\$764,414.46</b>	<b>(\$199,787.29)</b>	<b>(26.1)%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary		
	Current	YTD	Reportable	Non Reportable		YTD	
Opening Balance	\$22,449.99	\$1,106.10	<b>Income</b>				<b>Cost Basis As Of - 10/31/21 **</b>
Securities Purchased	(41,533.95)	(1,466,322.46)	Dividends	\$ -	\$ -	\$ -	\$477,525.88
Securities Sold	46,129.35	1,393,340.79	Interest	0.04	-	1.66	Unrealized Gains 112,802.40
Funds Deposited	-	145,000.00	Other	-	-	-	Unrealized Losses (31,886.64)
Funds Disbursed	(27,000.00)	(73,000.00)	<b>Expense</b>				Funds Deposited/(Disbursed) <sup>YTD</sup> 72,000.00
Income	0.04	1.66	Interest	(3.90)	-	(21.56)	Income/(Expense) <sup>YTD</sup> (82.90)
Expense	(3.90)	(84.56)	Fees	-	-	(63.00)	Securities Received/(Delivered) <sup>YTD</sup> (42,090.00)
Other	-	-	Other	-	-	-	
<b>Closing Balance</b>	<b>\$41.53</b>	<b>\$41.53</b>	<b>Net</b>	<b>(\$3.86)</b>	<b>\$0.00</b>	<b>(\$82.90)</b>	

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

## Statement for Account # 425-007235

10/01/21 - 10/31/21

Description	Online Cash Services Summary		Year To Date
	Current		
<b>CREDITS</b>			
Electronic Transfer	\$ -		\$ 145,000.00
<b>Subtotal</b>	0.00		145,000.00
<b>DEBITS</b>			
Electronic Transfer	\$ (27,000.00)		\$ (73,000.00)
<b>Subtotal</b>	(27,000.00)		(73,000.00)
<b>TOTAL</b>	<b>(27,000.00)</b>		72,000.00

Description	Income Summary Detail		Year to Date
	Current		
Interest Income Credit Balance	\$ 0.04		\$ 1.66
Margin Interest Charged	(3.90)		(21.56)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Investment Description	Symbol/ CUSIP	Quantity	Account Positions						Estimated Income	Yield	
			Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)			
<b>Stocks - Margin</b>											
META MATERIALS INC COM	MMAT	93,245	\$ 4.70	\$ 438,251.50	07/13/21	\$ 353,412.69	\$ 3.79	\$ 84,838.81	\$ -	-	-
META MATERIALS INC PFD	MMTLP	4,800	1.28	6,144.00	-	-	-	-	-	-	-
<b>Total Stocks</b>				<b>\$444,395.50</b>		<b>\$353,412.69</b>		<b>\$84,838.81</b>	<b>\$0.00</b>	<b>0.00%</b>	
<b>Options - Margin</b>											
GAMESTOP CORPORATION GME Jan 21 22 230.0 C	-	3	\$ 16.741	\$ 5,022.30	08/02/21	\$ 9,601.98	\$ 32.01	\$ (4,579.68)	\$ -	-	-
GAMESTOP CORPORATION GME Nov 19 21 220.0 C	-	1	4.0915	409.15	09/03/21	3,206.66	32.07	(2,797.51)	-	-	-
META MATERIALS INC MMAT Jan 21 22 3.0 C	-	266	1.95	51,870.00	08/16/21	30,831.90	1.16	21,038.10	-	-	-

**Statement for Account # 425-007235**

10/01/21 - 10/31/21

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 21 22 4.0 C	-	200	1.35	27,000.00	07/19/21	27,966.77	1.40	(966.77)	-	-
META MATERIALS INC MMAT Jan 21 22 5.0 C	-	373	0.975	36,367.50	09/15/21	53,669.52	1.44	(17,302.02)	-	-
META MATERIALS INC MMAT Jan 21 22 6.0 C	-	83	0.70	5,810.00	09/24/21	10,409.88	1.25	(4,599.88)	-	-
META MATERIALS INC MMAT Jan 21 22 13.0 C	-	50	0.2475	1,237.50	07/30/21	2,033.19	0.41	(795.69)	-	-
META MATERIALS INC MMAT Jan 21 22 15.0 C	-	49	0.2031	995.19	07/29/21	1,747.53	0.36	(752.34)	-	-
<b>Total Options</b>				<b>\$128,711.64</b>		<b>\$139,467.43</b>		<b>(\$10,755.79)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Short Options - Margin</b>										
META MATERIALS INC MMAT Jan 21 22 7.0 C	-	100-	\$ 0.5581	\$(5,581.00)	09/01/21	\$(12,453.61)	\$ 1.25	\$ 6,872.61	\$ -	-
META MATERIALS INC MMAT Dec 17 21 9.0 C	-	140-	0.20	(2,800.00)	10/18/21	(2,707.25)	0.19	(92.75)	-	-
META MATERIALS INC MMAT Dec 17 21 10.0 C	-	10-	0.1405	(140.50)	10/18/21	(193.38)	0.19	52.88	-	-
<b>Total Short Options</b>				<b>\$(8,521.50)</b>		<b>\$(15,354.24)</b>		<b>\$6,832.74</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$564,585.64</b>		<b>\$477,525.88</b>		<b>\$80,915.76</b>	<b>\$0.00</b>	<b>0.0%</b>

#### Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
<b>DEBITS</b>			
Electronic Transfer	10/07/2021	ACH OUT - 10/06/2021 06:25PM	\$ (21,000.00)
	10/21/2021	ACH OUT - 10/20/2021 06:32PM	(2,000.00)
	10/25/2021	ACH OUT - 10/22/2021 06:37PM	(4,000.00)
<b><i>Subtotal</i></b>			<b>(27,000.00)</b>
<b>TOTAL</b>			<b>(27,000.00)</b>

## Statement for Account # 425-007235

10/01/21 - 10/31/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									<b>\$22,449.99</b>
10/01/21	10/04/21	Margin	Sell - Securities Sold	GAMESTOP CORPORATION GME Oct 15 21 200.0 C TO OPEN Commission/Fee 0.65 Regulatory Fee 0.01	-	1-	\$ 3.98	\$ 397.34	22,847.33
10/01/21	10/04/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 6.0 C TO OPEN Commission/Fee 1.95 Regulatory Fee 0.03	-	3	1.45	(436.98)	22,410.35
10/04/21	10/04/21	Margin	Received - Other	GAMESTOP CORPORATION GME Oct 01 21 230.0 C EXPIRATION	-	1	0.00	-	22,410.35
10/06/21	10/07/21	Margin	Sell - Securities Sold	PROSHARES TRUST II UVXY Dec 17 21 25.0 C TO CLOSE Commission/Fee 10.40 Regulatory Fee 0.25	-	16-	5.80	9,269.35	31,679.70
10/06/21	10/07/21	Margin	- Funds Disbursed	ACH OUT - 10/06/2021 06:25PM	-	-	0.00	(21,000.00)	10,679.70
10/06/21	10/07/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 5.0 C TO OPEN Commission/Fee 42.25 Regulatory Fee 0.68	-	65	1.43	(9,337.93)	1,341.77
10/06/21	10/07/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 5.0 C TO OPEN Commission/Fee 33.80 Regulatory Fee 0.54	-	52	1.36	(7,106.34)	(5,764.57)
10/06/21	10/07/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 5.0 C TO OPEN Commission/Fee 28.60 Regulatory Fee 0.46	-	44	1.37	(6,057.06)	(11,821.63)
10/06/21	10/07/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Oct 15 21 18.0 C TO CLOSE Regulatory Fee 0.05	-	5	0.05	(25.05)	(11,846.68)
10/06/21	10/07/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Oct 15 21 18.0 C TO CLOSE Regulatory Fee 0.99	-	95	0.02	(190.99)	(12,037.67)
10/06/21	10/08/21	Margin	Sell - Securities Sold	PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF Regulatory Fee 0.06	UVXY	250-	24.3001	6,074.97	(5,962.70)

## Statement for Account # 425-007235

10/01/21 - 10/31/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
10/06/21	10/08/21	Margin	Sell - Securities Sold	PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF Regulatory Fee 0.06	UVXY	250-	23.98	5,994.94	32.24
10/06/21	10/08/21	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	20-	4.9922	99.84	132.08
10/06/21	10/08/21	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	35-	4.9801	174.30	306.38
10/08/21	10/12/21	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 21 22 15.0 C TO CLOSE Commission/Fee 32.50 Regulatory Fee 0.63	-	50-	0.35	1,716.87	2,023.25
10/08/21	10/12/21	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 21 22 13.0 C TO CLOSE Commission/Fee 32.50 Regulatory Fee 0.63	-	50-	0.42	2,066.87	4,090.12
10/08/21	10/12/21	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 21 22 15.0 C TO CLOSE Commission/Fee 0.65 Regulatory Fee 0.01	-	1-	0.35	34.34	4,124.46
10/08/21	10/13/21	Margin	Buy - Securities Purchased	META MATERIALS INC PFD Commission/Fee 6.95	MMTLP	10	0.995	(16.90)	4,107.56
10/08/21	10/13/21	Margin	Buy - Securities Purchased	META MATERIALS INC PFD	MMTLP	190	0.99	(188.10)	3,919.46
10/08/21	10/13/21	Margin	Buy - Securities Purchased	META MATERIALS INC PFD Commission/Fee 6.95	MMTLP	1,900	1.99	(3,787.95)	131.51
10/18/21	10/18/21	Margin	Received - Other	GAMESTOP CORPORATION GME Oct 15 21 200.0 C EXPIRATION	-	1	0.00	-	131.51
10/18/21	10/18/21	Margin	Delivered - Other	META MATERIALS INC MMAT Jan 21 22 3.0 C EXERCISED OPTION	-	3-	0.00	-	131.51
10/18/21	10/19/21	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Dec 17 21 9.0 C TO OPEN Commission/Fee 91.00 Regulatory Fee 1.75	-	140-	0.20	2,707.25	2,838.76

## Statement for Account # 425-007235

10/01/21 - 10/31/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/18/21	10/19/21	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Dec 17 21 10.0 C TO OPEN Commission/Fee 6.50 Regulatory Fee 0.12	-	10-	0.20	193.38	3,032.14
10/18/21	10/20/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	300	3.00	(900.00)	2,132.14
10/20/21	10/21/21	Margin	- Funds Disbursed	ACH OUT - 10/20/2021 06:32PM	-	-	0.00	(2,000.00)	132.14
10/22/21	10/25/21	Margin	- Funds Disbursed	ACH OUT - 10/22/2021 06:37PM	-	-	0.00	(4,000.00)	(3,867.86)
10/22/21	10/26/21	Margin	Sell - Securities Sold	GAMESTOP CORPORATION COM CL A Regulatory Fee 0.10	GME	100-	174.00	17,399.90	13,532.04
10/22/21	10/26/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	3,000	4.1899	(12,569.70)	962.34
10/22/21	10/26/21	Margin	Buy - Securities Purchased	META MATERIALS INC PFD	MMTLP	700	1.30	(916.95)	45.39
10/29/21	10/29/21	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 10/29/2021	-	-	0.00	(3.90)	41.49
10/29/21	10/29/21	Margin	Div/Int - Income	INTEREST CREDIT Payable: 10/29/2021	-	-	0.00	0.04	41.53
<b>Closing Balance</b>									<b>\$41.53</b>
*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.									
TD Ameritrade Cash Interest Credit/Expense									
Begin Date	Margin Balance		Credit Balance	Number of Days		Interest Rate		Interest Debited	Interest Credited
10/01/21	\$ -		\$ 22,449.99	3		0.01		\$ -	\$ 0.02
10/04/21	-		22,410.35	3		0.01		-	0.02
10/07/21	(12,037.67)		-	1		8.75		2.93	-
10/25/21	(3,867.86)		-	1		9.00		0.97	-
<b>Total Interest Income/(Expense)</b>								<b>\$3.90</b>	<b>\$0.04</b>

## Statement for Account # 425-007235

10/01/21 - 10/31/21

		Trades		Pending	Settlement			
Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL	GAMESTOP CORPORATION GME Nov 19 21 220.0 C	Margin	-	1-	\$ 3.60	10/29/21	11/01/21	\$ 359.34
BUY	META MATERIALS INC PFD	Margin	MMTLP	330	1.18	10/29/21	11/02/21	(396.35)
BUY	META MATERIALS INC COM	Margin	MMAT	1,200	4.6191	10/29/21	11/02/21	(5,542.92)

## Important Information

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issuances/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.

A handwritten signature in black ink, appearing to read '11/2021'.

JAE JIN LEE  
4660 NE 3RD CT UNIT J1  
RENTON, WA 98059-5277

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month. The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>2</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-qualified investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup> Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup> Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fees represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to set your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI")**, also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. All transaction fees are applicable to purchases, capital call processing, and redemptions.

**Δ Distributors on Direct Participation Programs and/or REITs** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.mrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/prips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

(1) Tell us your name and account number.  
(2) Describe the error or transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.  
(3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



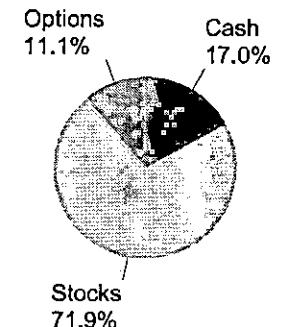
Statement Reporting Period:  
11/01/21 - 11/30/21

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 425-007235

JAE JIN LEE  
4660 NE 3RD CT UNIT J1  
RENTON, WA 98059-5277

Investment	Portfolio Summary				Portfolio Allocation	
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	\$85,007.83	\$41.53	\$84,966.30	-	\$ -	-
Insrd Dep Acct (IDA)	-	-	-	-	-	-
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	359,778.99	444,395.50	(84,616.51)	(19.0)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	55,787.66	128,711.64	(72,923.98)	(56.7)%	-	-
Short Options	(12.00)	(8,521.50)	8,509.50	99.9%	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
<b>Total</b>	<b>\$500,562.48</b>	<b>\$564,627.17</b>	<b>(\$64,064.69)</b>	<b>(11.3)%</b>	<b>\$ 0.00</b>	<b>0.0%</b>
<b>Margin Equity</b>	<b>100.0%</b>					



Cash Activity Summary		Income & Expense Summary			Performance Summary		
	Current	YTD	Reportable	Non Reportable	YTD		
<b>Opening Balance</b>	\$41.53	\$1,106.10	<b>Income</b>			<b>Cost Basis As Of - 11/30/21 **</b>	<b>\$487,728.57</b>
Securities Purchased	(16,529.41)	(1,482,851.87)	Dividends	\$ -	\$ -	Unrealized Gains	91.34
Securities Sold	13,995.68	1,407,336.47	Interest	0.03	-	Unrealized Losses	(84,349.20)
Funds Deposited	95,000.00	240,000.00	Other	-	-	Funds Deposited/(Disbursed) <sup>YTD</sup>	159,500.00
Funds Disbursed	(7,500.00)	(80,500.00)	<b>Expense</b>			Income/(Expense) <sup>YTD</sup>	(82.87)
Income	0.03	1.69	Interest	-	(21.56)	Securities Received/(Delivered) <sup>YTD</sup>	(42,090.00)
Expense	-	(84.56)	Fees	-	(63.00)		
Other	-	-	Other	-	-		
<b>Closing Balance</b>	<b>\$85,007.83</b>	<b>\$85,007.83</b>	<b>Net</b>	<b>\$0.03</b>	<b>\$0.00</b>	<b>**To view realized gains and losses for your account, login at</b>	<b>www.tdameritrade.com and visit My Account &gt; Cost Basis.</b>

## Statement for Account # 425-007235

11/01/21 - 11/30/21

Description		Online Cash Services Summary	Current	Year To Date
<b>CREDITS</b>				
Electronic Transfer		\$ 95,000.00		\$ 240,000.00
<b>Subtotal</b>		95,000.00		240,000.00
<b>DEBITS</b>				
Electronic Transfer		\$ (7,500.00)		\$ (80,500.00)
<b>Subtotal</b>		(7,500.00)		(80,500.00)
<b>TOTAL</b>		<b>87,500.00</b>		<b>159,500.00</b>

Description		Income Summary - Detail	Current	Year to Date
Interest Income Credit Balance		\$ 0.03		\$ 1.69
Margin Interest Charged		0.00		(21.56)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
<b>Stocks - Margin</b>										
AMC ENTERTAINMENT HOLDINGS INC COM CL A	AMC	100	\$ 33.94	\$ 3,394.00	11/24/21	\$ 3,899.00	\$ 38.99	\$ (505.00)	\$ -	-
META MATERIALS INC COM	MMAT	93,815	3.67	344,301.05	07/13/21	356,368.19	3.80	(12,067.14)	-	-
META MATERIALS INC PFD	MMTLP	6,462	1.87	12,083.94	-	-	-	-	-	-
<b>Total Stocks</b>				<b>\$359,778.99</b>		<b>\$360,267.19</b>		<b>\$(12,572.14)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 21 22 3.0 C	-	266	\$ 1.0019	\$ 26,650.54	08/16/21	\$ 30,831.90	\$ 1.16	\$ (4,181.36)	\$ -	-
META MATERIALS INC MMAT Jan 21 22 4.0 C	-	200	0.60	12,000.00	07/19/21	27,966.77	1.40	(15,966.77)	-	-

## Statement for Account # 425-007235

11/01/21 - 11/30/21

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 21 22 5.0 C	-	382	0.375	14,325.00	09/15/21	54,575.45	1.43	(40,250.45)	-	-
META MATERIALS INC MMAT Jan 21 22 6.0 C	-	83	0.25	2,075.00	09/24/21	10,409.88	1.25	(8,334.88)	-	-
META MATERIALS INC MMAT Jan 21 22 13.0 C	-	50	0.08	400.00	07/30/21	2,033.19	0.41	(1,633.19)	-	-
META MATERIALS INC MMAT Jan 21 22 15.0 C	-	49	0.0688	337.12	07/29/21	1,747.53	0.36	(1,410.41)	-	-
<b>Total Options</b>				<b>\$55,787.66</b>		<b>\$127,564.72</b>		<b>\$(71,777.06)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Short Options - Margin</b>										
AMC ENTERTAINMENT HOLDINGS INC AMC Dec 03 21 43.0 C	-	1-	\$ 0.12	\$ (12.00)	11/24/21	\$ (103.34)	\$ 1.03	\$ 91.34	\$ -	-
<b>Total Short Options</b>				<b>\$(12.00)</b>		<b>\$(103.34)</b>		<b>\$91.34</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$415,554.65</b>		<b>\$487,728.57</b>		<b>\$(84,257.86)</b>	<b>\$0.00</b>	<b>0.0%</b>

Online Cash Services Transaction Detail			
Category	Transaction Date	Description	Amount
<b>CRÉDITS</b>			
Electronic Transfer	11/01/2021	ACH IN	\$ 6,000.00
	11/26/2021	ACH IN	4,000.00
	11/30/2021	ACH IN	85,000.00
<i>Subtotal</i>			95,000.00
<b>DEBITS</b>			
Electronic Transfer	11/05/2021	ACH OUT - 11/04/2021 06:32PM	\$ (3,500.00)
	11/08/2021	ACH OUT - 11/05/2021 06:43PM	(4,000.00)
<i>Subtotal</i>			(7,500.00)
<b>TOTAL</b>			<b>87,500.00</b>

## Statement for Account # 425-007235

11/01/21 - 11/30/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									\$41.53
10/29/21	11/01/21	Margin	Sell - Securities Sold	GAMESTOP CORPORATION GME Nov 19 21 220.0 C TO CLOSE Commission/Fee 0.65 Regulatory Fee 0.01	-	1-	\$ 3.60	\$ 359.34	400.87
10/29/21	11/01/21	Margin	- Funds Deposited	ACH IN	-	-	0.00	6,000.00	6,400.87
10/29/21	11/02/21	Margin	Buy - Securities Purchased	META MATERIALS INC PFD Commission/Fee 6.95	MMTLP	330	1.18	(396.35)	6,004.52
10/29/21	11/02/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	1,200	4.6191	(5,542.92)	461.60
11/04/21	11/05/21	Margin	Sell - Securities Sold	GAMESTOP CORPORATION GME Jan 21 22 230.0 C TO CLOSE Commission/Fee 0.65 Regulatory Fee 0.03	-	1-	36.65	3,664.32	4,125.92
11/04/21	11/05/21	Margin	Sell - Securities Sold	GAMESTOP CORPORATION GME Jan 21 22 230.0 C TO CLOSE Commission/Fee 0.65 Regulatory Fee 0.03	-	1-	33.00	3,299.32	7,425.24
11/04/21	11/05/21	Margin	- Funds Disbursed	ACH OUT - 11/04/2021 06:32PM	-	-	0.00	(3,500.00)	3,925.24
11/04/21	11/05/21	Margin	Sell - Securities Sold	GAMESTOP CORPORATION GME Jan 21 22 230.0 C TO CLOSE Commission/Fee 0.65 Regulatory Fee 0.03	-	1-	36.40	3,639.32	7,564.56
11/04/21	11/08/21	Margin	Buy - Securities Purchased	META MATERIALS INC PFD Commission/Fee 6.95	MMTLP	1,000	1.54	(1,546.95)	6,017.61
11/04/21	11/08/21	Margin	Buy - Securities Purchased	META MATERIALS INC PFD Commission/Fee 6.95	MMTLP	332	1.53	(514.91)	5,502.70
11/05/21	11/08/21	Margin	- Funds Disbursed	ACH OUT - 11/05/2021 06:43PM	-	-	0.00	(4,000.00)	1,502.70
11/05/21	11/09/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	100	4.9799	(497.99)	1,004.71
11/10/21	11/12/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 5.0 C TO OPEN Commission/Fee 5.85 Regulatory Fee 0.08	-	9	1.00	(905.93)	98.78

## Statement for Account # 425-007235

11/01/21 - 11/30/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/24/21	11/26/21	Margin	- Funds Deposited	ACH IN	-	-	0.00	4,000.00	4,098.78
11/24/21	11/26/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 7.0 C TO CLOSE Commission/Fee 59.80 Regulatory Fee 0.87	-	92	0.24	(2,268.67)	1,830.11
11/24/21	11/26/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Dec 17 21 10.0 C TO CLOSE Regulatory Fee 0.08	-	9	0.05	(45.08)	1,785.03
11/24/21	11/26/21	Margin	Sell - Securities Sold	AMC ENTERTAINMENT HOLDINGS INC AMC Dec 03 21 43.0 C TO OPEN Commission/Fee 0.65 Regulatory Fee 0.01	-	1-	1.04	103.34	1,888.37
11/24/21	11/26/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Dec 17 21 10.0 C TO CLOSE Regulatory Fee 0.01	-	1	0.05	(5.01)	1,883.36
11/24/21	11/26/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 21 22 7.0 C TO CLOSE Commission/Fee 5.20 Regulatory Fee 0.08	-	8	0.25	(205.28)	1,678.08
11/24/21	11/26/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Dec 17 21 9.0 C TO CLOSE Regulatory Fee 0.80	-	85	0.05	(425.80)	1,252.28
11/24/21	11/26/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Dec 17 21 9.0 C TO CLOSE Regulatory Fee 0.52	-	55	0.05	(275.52)	976.76
11/24/21	11/29/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.08	MMAT	555-	4.0001	2,219.98	3,196.74
11/24/21	11/29/21	Margin	Buy - Securities Purchased	AMC ENTERTAINMENT HOLDINGS INC AMC COM CL A	AMC	100	38.99	(3,899.00)	(702.26)
11/24/21	11/29/21	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	10-	4.0505	40.51	(661.75)
11/24/21	11/29/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.01	MMAT	100-	4.0501	405.00	(256.75)

## Statement for Account # 425-007235

11/01/21 - 11/30/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
11/24/21	11/29/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.01	MMAT	65-	4.0701	264.55	7.80
11/29/21	11/30/21	Margin	- Funds Deposited	ACH IN	-	-	0.00	85,000.00	85,007.80
11/30/21	11/30/21	Margin	Div/Int - Income	INTEREST CREDIT Payable: 11/30/2021	-	-	0.00	0.03	85,007.83
<b>Closing Balance</b>									<b>\$85,007.83</b>

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest/Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
11/05/21	\$ -	\$ 7,564.56	3	0.01	\$ -	\$ 0.01
11/30/21	-	85,007.80	1	0.01	-	0.02
<b>Total Interest Income/(Expense)</b>					<b>\$ 0.00</b>	<b>\$ 0.03</b>

Trades Pending Settlement								
Investment Description		Account Type	Symbol/CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY	META MATERIALS INC COM	Margin	MMAT	18,800	\$ 4.02	11/29/21	12/01/21	\$ (75,576.00)
BUY	META MATERIALS INC COM	Margin	MMAT	700	4.0198	11/29/21	12/01/21	(2,813.86)
BUY	META MATERIALS INC COM	Margin	MMAT	500	4.015	11/29/21	12/01/21	(2,007.50)
BUY	META MATERIALS INC COM	Margin	MMAT	1,159	3.8499	11/29/21	12/01/21	(4,462.03)

## Important Information

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issuances/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.



12/2024

JAE JIN LEE  
4660 NE 3RD CT UNIT J1  
RENTON, WA 98059-5277

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost Basis, last lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. CommissionFee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI")**, also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically liquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

**△ Distributions on Direct Participation Programs and/or REITs:** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state law, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of aper share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the markability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/priips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



## Statement Reporting Period:

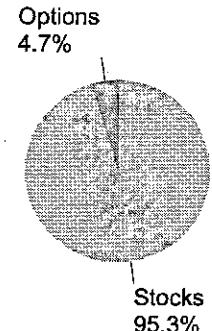
12/01/21 - 12/31/21

800-669-3900  
 TD AMERITRADE  
 DIVISION OF TD AMERITRADE INC  
 PO BOX 2209  
 OMAHA, NE 68103-2209  
 TD Ameritrade Clearing, Inc., Member SIPC

## Statement for Account # 425-007235

JAE JIN LEE  
 4660 NE 3RD CT UNIT J1  
 RENTON, WA 98059-5277

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$6.62	\$85,007.83	(\$85,001.21)	-	\$ -	-	
Insr'd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	295,487.31	359,778.99	(64,291.68)	(17.9)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	14,460.18	55,787.66	(41,327.48)	(74.1)%	-	-	
Short Options	-	(12.00)	12.00	100.0%	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$309,954.11</b>	<b>\$500,562.48</b>	<b>(\$190,608.37)</b>	<b>(38.1)%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary		
		Current	YTD		Reportable	Non Reportable	YTD
<b>Opening Balance</b>	\$85,007.83	\$1,106.10		<b>Income</b>			
Securities Purchased	(278,989.54)	(1,761,841.41)		Dividends	\$ -	\$ -	\$ -
Securities Sold	193,988.32	1,601,324.79		Interest	0.01	-	1.70
Funds Deposited	-	240,000.00		Other	-	-	-
Funds Disbursed	-	(80,500.00)		<b>Expense</b>			
Income	0.01	1.70		Interest	-	-	(21.56)
Expense	-	(84.56)		Fees	-	-	(63.00)
Other	-	-		Other	-	-	-
<b>Closing Balance</b>	<b>\$6.62</b>	<b>\$6.62</b>		<b>Net</b>	<b>\$0.01</b>	<b>\$0.00</b>	<b>(\$82.86)</b>

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

## Statement for Account # 425-007235

12/01/21 - 12/31/21

Online Cash Services Summary		
Description	Current	Year To Date
<b>CREDITS</b>		
Electronic Transfer	\$ -	\$ 240,000.00
<b><i>Subtotal</i></b>	0.00	240,000.00
<b>DEBITS</b>		
Electronic Transfer	\$ -	\$ (80,500.00)
<b><i>Subtotal</i></b>	0.00	(80,500.00)
<b>TOTAL</b>	<b>0.00</b>	<b>159,500.00</b>

Income Summary Detail		
Description	Current	Year to Date
Interest Income Credit Balance	\$ 0.01	\$ 1.70
Margin Interest Charged	0.00	(21.56)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
<b>Stocks - Margin</b>										
META MATERIALS INC COM	MMAT	112,274	\$ 2.46	\$ 276,194.04	07/13/21	\$ 433,666.97	\$ 3.86	\$ (157,472.93)	\$ -	-
META MATERIALS INC PFD	MMTLP	12,777	1.51	19,293.27	-	-	-	-	-	-
<b>Total Stocks</b>				<b>\$295,487.31</b>		<b>\$433,666.97</b>		<b>\$(157,472.93)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 21 22 5.0 C	-	182	\$ 0.0491	\$ 893.62	09/22/21	\$ 26,553.10	\$ 1.46	\$ (25,659.48)	\$ -	-
META MATERIALS INC MMAT Jan 21 22 6.0 C	-	83	0.0229	190.07	09/24/21	10,409.88	1.25	(10,219.81)	-	-
META MATERIALS INC MMAT Jan 21 22 13.0 C	-	50	0.0002	1.00	07/30/21	2,033.19	0.41	(2,032.19)	-	-

## Statement for Account # 425-007235

12/01/21 - 12/31/21

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 21 22 15.0 C	-	49	0.0001	0.49	07/29/21	1,747.53	0.36	(1,747.04)	-	-
META MATERIALS INC MMAT Apr 14 22 4.0 C	-	200	0.40	8,000.00	12/09/21	15,931.88	0.80	(7,931.88)	-	-
META MATERIALS INC MMAT Apr 14 22 6.0 C	-	215	0.25	5,375.00	12/09/21	9,816.77	0.46	(4,441.77)	-	-
<b>Total Options</b>				<b>\$14,460.18</b>		<b>\$66,492.35</b>		<b>\$(52,032.17)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$309,947.49</b>		<b>\$500,159.32</b>		<b>\$(209,505.10)</b>	<b>\$0.00</b>	<b>0.0%</b>

Account Activity										
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance	
<b>Opening Balance</b>										
11/29/21	12/01/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	18,800	\$ 4.02	\$ (75,576.00)	\$85,007.83	9,431.83
11/29/21	12/01/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	700	4.0198	(2,813.86)	6,617.97	
11/29/21	12/01/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	500	4.015	(2,007.50)	4,610.47	
11/29/21	12/01/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	1,159	3.8499	(4,462.03)	148.44	
12/01/21	12/03/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.28	MMAT	2,000-	3.7701	7,539.92	7,688.36	
12/06/21	12/06/21	Margin	Received - Other	AMC ENTERTAINMENT HOLDINGS INC AMC Dec 03 21 43.0 C EXPIRATION	-	1	0.00	-	7,688.36	
12/03/21	12/07/21	Margin	Buy - Securities Purchased	META MATERIALS INC PFD Commission/Fee 6.95	MMTLP	3,800	1.93	(7,340.95)	347.41	

## Statement for Account # 425-007235

12/01/21 - 12/31/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/06/21	12/08/21	Margin	Buy - Securities Purchased	META MATERIALS INC PFD Commission/Fee 6.95	MMTLP	200	1.44	(294.95)	52.46
12/09/21	12/10/21	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 21 22 3.0 C TO CLOSE Commission/Fee 172.90 Regulatory Fee 3.12	-	266-	0.65	17,113.98	17,166.44
12/09/21	12/10/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Apr 14 22 4.0 C TO OPEN Commission/Fee 130.00 Regulatory Fee 1.88	-	200	0.79	(15,931.88)	1,234.56
12/09/21	12/10/21	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 21 22 5.0 C TO CLOSE Commission/Fee 130.00 Regulatory Fee 2.30	-	200-	0.15	2,867.70	4,102.26
12/09/21	12/10/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Apr 14 22 6.0 C TO OPEN Commission/Fee 52.00 Regulatory Fee 0.75	-	80	0.45	(3,652.75)	449.51
12/09/21	12/10/21	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 21 22 4.0 C TO CLOSE Commission/Fee 128.05 Regulatory Fee 2.27	-	197-	0.30	5,779.68	6,229.19
12/09/21	12/10/21	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Apr 14 22 6.0 C TO OPEN Commission/Fee 87.75 Regulatory Fee 1.27	-	135	0.45	(6,164.02)	65.17
12/09/21	12/10/21	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 21 22 4.0 C TO CLOSE Commission/Fee 1.95 Regulatory Fee 0.04	-	3-	0.35	103.01	168.18
12/17/21	12/21/21	Margin	Sell - Securities Sold	AMC ENTERTAINMENT HOLDINGS INC COM CL A Regulatory Fee 0.03	AMC	100-	29.42	2,941.97	3,110.15
12/17/21	12/21/21	Margin	Buy - Securities Purchased	META MATERIALS INC PFD Commission/Fee 6.95	MMTLP	2,180	1.42	(3,102.55)	7.60

## Statement for Account # 425-007235

12/01/21 - 12/31/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/20/21	12/22/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 3.98	MMAT	30,000-	2.69	80,696.02	80,703.62
12/20/21	12/22/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	30,000	2.69	(80,700.00)	3.62
12/23/21	12/28/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 3.96	MMAT	30,000-	2.565	76,946.04	76,949.66
12/23/21	12/28/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	29,300	2.62	(76,766.00)	183.66
12/27/21	12/29/21	Margin	Buy - Securities Purchased	META MATERIALS INC PFD Commission/Fee 6.95	MMTLP	135	1.26	(177.05)	6.61
12/31/21	12/31/21	Margin	Div/Int - Income	INTEREST CREDIT Payable: 12/31/2021		-	0.00	0.01	6.62
<b>Closing Balance</b>									<b>\$6.62</b>

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest/Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
12/03/21	\$ -	\$ 7,688.36	4	0.01	\$ -	\$ 0.01
<b>Total Interest Income/(Expense)</b>						<b>\$ 0.00</b>

## Important Information

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issues/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.

**CALIFORNIA RESIDENTS**

If your total payments of interest and interest-dividends on Federally Tax-Exempt non-California municipal bonds were \$10 or greater and you or your Partnership had a California address then TD Ameritrade will report this information to the California Franchise Tax Board each tax year per state statute.

**ANNUAL MARGIN DISCLOSURE**

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm may take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

**Statement for Account # 425-007235**

12/01/21 - 12/31/21

**Important Information**

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: **You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.**



01/2022

JAE JIN LEE  
4660 NE 3RD CT UNIT J1  
RENTON, WA 98059-5277

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month? The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>2</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London Insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI"), also called Non Standard Assets ("NSA"),** are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically liquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. All transaction fees are applicable to purchases, capital call processing, and redemptions.

**△ Distributions on Direct Participation Programs and/or REITs:** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed, (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/priips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

(1) Tell us your name and account number.  
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.  
(3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



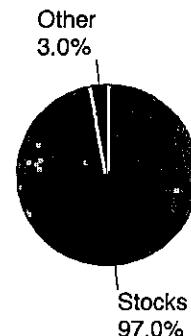
Statement Reporting Period:  
01/01/22 - 01/31/22

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

## Statement for Account # 425-007235

JAE JIN LEE  
4660 NE 3RD CT UNIT J1  
RENTON, WA 98059-5277

Investment	Current Value	Prior Value	Portfolio Summary				Portfolio Allocation
			Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$620.61	\$6.62	\$613.99	-	\$ -	-	
Insrd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	168,544.10	295,487.31	(126,943.21)	(43.0)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	4,450.20	14,460.18	(10,009.98)	(69.2)%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$173,614.91</b>	<b>\$309,954.11</b>	<b>(\$136,339.20)</b>	<b>(44.0)%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary	
	Current	YTD	Reportable	Non Reportable	YTD	
<b>Opening Balance</b>	\$6.62	\$6.62	<b>Income</b>			<b>Cost Basis As Of - 01/31/22 **</b>
Securities Purchased	(15,361.99)	(15,361.99)	Dividends	\$ -	\$ -	\$325,111.29
Securities Sold	47,984.27	47,984.27	Interest	-	-	Unrealized Gains
Funds Deposited	-	-	Other	-	-	Unrealized Losses
Funds Disbursed	(32,000.00)	(32,000.00)	<b>Expense</b>			Funds Deposited/(Disbursed) <sup>YTD</sup>
Income	-	-	Interest	(8.29)	-	(32,000.00)
Expense	(8.29)	(8.29)	Fees	-	(8.29)	Income/(Expense) <sup>YTD</sup>
Other	-	-	Other	-	-	Securities Received/(Delivered) <sup>YTD</sup>
<b>Closing Balance</b>	<b>\$620.61</b>	<b>\$620.61</b>	<b>Net</b>	<b>(\$8.29)</b>	<b>\$0.00</b>	<b>0.00</b>

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

## Statement for Account # 425-007235

01/01/22 - 01/31/22

Description	Online Cash Services Summary		Year To Date
	Current		
<b>DEBITS</b>			
Electronic Transfer	\$ (32,000.00)		\$ (32,000.00)
<b>Subtotal</b>	(32,000.00)		(32,000.00)
<b>TOTAL</b>	<b>(32,000.00)</b>		<b>(32,000.00)</b>

Description	Income Summary Detail*		Year to Date
	Current		
Margin Interest Charged	\$ (8.29)		\$ (8.29)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
<b>Stocks - Margin</b>										
META MATERIALS INC COM	MMAT	85,000	\$ 1.67	\$ 141,950.00	07/13/21	\$ 294,596.70	\$ 3.47	\$ (152,646.70)	\$ -	-
META MATERIALS INC PFD SER A *CLBL	MMTLP	20,457	1.30	26,594.10	-	-	-	-	-	-
<b>Total Stocks</b>				<b>\$168,544.10</b>		<b>\$294,596.70</b>		<b>\$(152,646.70)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
META MATERIALS INC MMAT Apr 14 22 3.0 C	-	100	\$ 0.14	\$ 1,400.00	01/12/22	\$ 4,765.94	\$ 0.48	\$ (3,365.94)	\$ -	-
META MATERIALS INC MMAT Apr 14 22 4.0 C	-	200	0.085	1,700.00	12/09/21	15,931.88	0.80	(14,231.88)	-	-
META MATERIALS INC MMAT Apr 14 22 6.0 C	-	215	0.0628	1,350.20	12/09/21	9,816.77	0.46	(8,466.57)	-	-
<b>Total Options</b>				<b>\$4,450.20</b>		<b>\$30,514.59</b>		<b>\$(26,064.39)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$172,994.30</b>		<b>\$325,111.29</b>		<b>\$(178,711.09)</b>	<b>\$0.00</b>	<b>0.0%</b>

## Statement for Account # 425-007235

01/01/22 - 01/31/22

Category	Online Cash Services Transaction Detail			Amount
	Transaction Date	Description		
<b>DEBITS</b>				
Electronic Transfer	01/25/2022	ACH OUT - 01/24/2022 05:45PM		\$ (32,000.00)
<b>Subtotal</b>				(32,000.00)
<b>TOTAL</b>				(32,000.00)

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									
01/12/22	01/13/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Apr 14 22 3.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 0.94	-	100	\$ 0.47	\$ (4,765.94)	\$6.62 (4,759.32)
01/12/22	01/14/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.30	MMAT	2,274-	2.2813	5,187.38	428.06
01/12/22	01/14/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	280	1.39	(396.15)	31.91
01/19/22	01/21/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	7,200	1.38	(9,942.95)	(9,911.04)
01/19/22	01/21/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.12	MMAT	887-	2.0401	1,809.45	(8,101.59)
01/19/22	01/21/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.53	MMAT	4,113-	2.04	8,389.99	288.40
01/24/22	01/24/22	Margin	Delivered - Other	META MATERIALS INC MMAT Jan 21 22 6.0 C EXPIRATION	-	83-	0.00	-	288.40
01/24/22	01/24/22	Margin	Delivered - Other	META MATERIALS INC MMAT Jan 21 22 13.0 C EXPIRATION	-	50-	0.00	-	288.40
01/24/22	01/24/22	Margin	Delivered - Other	META MATERIALS INC MMAT Jan 21 22 15.0 C EXPIRATION	-	49-	0.00	-	288.40

## Statement for Account # 425-007235

01/01/22 - 01/31/22

Account Activity										
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance	
01/24/22	01/24/22	Margin	Delivered - Other	META MATERIALS INC MMAT Jan 21 22 5.0 C EXPIRATION	-	182-	0.00	-	288.40	
01/21/22	01/25/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	200	1.25	(256.95)	31.45	
01/24/22	01/25/22	Margin	- Funds Disbursed	ACH OUT - 01/24/2022 05:45PM	-	-	0.00	(32,000.00)	(31,968.55)	
01/24/22	01/26/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 2.55	MMAT	20,000-	1.63	32,597.45	628.90	
01/31/22	01/31/22	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 01/31/2022	-	-	0.00	(8.29)	620.61	

## Closing Balance

\$620.61

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
01/13/22	\$ (4,759.32)	\$ -	1	9.00	\$ 1.19	\$ -
01/25/22	(31,968.55)	-	1	8.00	7.10	-
Total Interest Income/(Expense)					\$8.29	\$ 0.00

## Important Information

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issuances/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.



02/2022

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-Insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements, Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI")**, also called Non Standard Assets ("NSA"), are typically investments in direct participation programs securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the value may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. All transaction fees are applicable to purchases, capital call processing, and redemptions.

**Distributions on Direct Participation Programs and/or REITs:** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the conditions, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state law, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and, (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Material of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/priips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

(1) Tell us your name and account number.  
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.

(3) Tell us the dollar amount of the suspected error.  
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



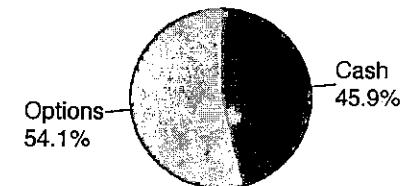
Statement Reporting Period:  
02/01/23 - 02/28/23

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 425-007235

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$42.40	\$155.58	(\$113.18)	-	\$ -	-	
Insrd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	-	-	-	-	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	50.00	-	50.00	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$92.40</b>	<b>\$155.58</b>	<b>(\$63.18)</b>	<b>(40.6)%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary		
	Current	YTD	Reportable	Non Reportable	YTD		
<b>Opening Balance</b>	\$155.58	\$155.53	<b>Income</b>			<b>Cost Basis As Of - 02/28/23 **</b>	\$373,042.68
Securities Purchased	(113.20)	(113.20)	Dividends	\$ -	\$ -	Unrealized Gains	-
Securities Sold	-	-	Interest	0.02	-	Unrealized Losses	(372,992.68)
Funds Deposited	-	-	Other	-	-	Funds Deposited/(Disbursed) <sup>YTD</sup>	-
Funds Disbursed	-	-	<b>Expense</b>			Income/(Expense) <sup>YTD</sup>	0.07
Income	0.02	0.07	Interest	-	-	Securities Received/(Delivered) <sup>YTD</sup>	0.00
Expense	-	-	Fees	-	-	**To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Cost Basis.	
Other	-	-	Other	-	-		
<b>Closing Balance</b>	<b>\$42.40</b>	<b>\$42.40</b>	<b>Net</b>	<b>\$0.02</b>	<b>\$0.00</b>	<b>\$0.07</b>	

## Statement for Account # 425-007235

02/01/23 - 02/28/23

Description	Income Summary Detail*		Year to Date
	Current		
Interest Income Credit Balance	\$ 0.02		\$ 0.07

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Investment Description	Symbol/ CUSIP	Quantity	Account Positions						Estimated Income	Estimated Yield	
			Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)			
<b>Stocks - Cash</b>											
NEXT BRIDGE HYDROCARBONS COM	6DA993019	65,728	\$ NA	\$ NA	02/09/22	\$ 372,929.48	\$ 5.67	\$ (372,929.48)	\$ -	-	
<b>Total Stocks</b>				<b>\$0.00</b>		<b>\$372,929.48</b>		<b>\$(372,929.48)</b>	<b>\$0.00</b>	<b>0.0%</b>	
<b>Options - Margin</b>											
META MATERIALS INC MMAT Apr 21 23 1.5 C	-	20	\$ 0.025	\$ 50.00	02/16/23	\$ 113.20	\$ 0.06	\$ (63.20)	\$ -	-	
<b>Total Options</b>				<b>\$50.00</b>		<b>\$113.20</b>		<b>\$(63.20)</b>	<b>\$0.00</b>	<b>0.0%</b>	
<b>Total Cash Account</b>				<b>\$0.00</b>		<b>\$372,929.48</b>		<b>\$(372,929.48)</b>	<b>\$0.00</b>	<b>0.0%</b>	
<b>Total Margin Account</b>				<b>\$50.00</b>		<b>\$113.20</b>		<b>\$(63.20)</b>	<b>\$0.00</b>	<b>0.0%</b>	
<b>Total Positions</b>				<b>\$50.00</b>		<b>\$373,042.68</b>		<b>\$(372,992.68)</b>			

## Statement for Account # 425-007235

02/01/23 - 02/28/23

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									
02/16/23	02/17/23	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Apr 21 23 1.5 C TO OPEN Commission/Fee 13.00 Regulatory Fee 0.20	-	20	\$ 0.05	\$ (113.20)	\$155.58 42.38
02/28/23	02/28/23	Margin	Div/Int - Income	INTEREST CREDIT Payable: 02/28/2023	-	-	0.00	0.02	42.40
<b>Closing Balance</b>									\$42.40

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
02/03/23	\$ -	\$ 155.58	14	0.35	\$ -	\$ 0.02
<b>Total Interest Income/(Expense)</b>					<b>\$ 0.00</b>	<b>\$0.02</b>

## Important Information

BREAKPOINTS

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issues/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.

IDA FEATURE DURING TRANSITION

If your TD Ameritrade account was recently transitioned to a Charles Schwab & Co., Inc. ("Schwab") account, this account statement reflects cash in your account being redeemed from the TD Ameritrade Insured Deposit Account (IDA) feature. In fact, the cash in your IDA feature remained at the Program Bank(s) during this time period and remained eligible for FDIC insurance coverage up to the applicable limits throughout the transition process.



03/22

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginal Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>2</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI"):** also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. All transaction fees are applicable to purchases, capital call processing, and redemptions.

**Distributions on Direct Participation Programs and/or REITs:** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/prips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



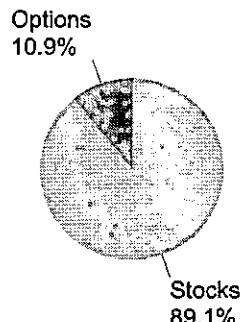
Statement Reporting Period:  
03/01/22 - 03/31/22

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

**Statement for Account # 425-007235**

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

Investment	Portfolio Summary						
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$30.70	\$436.21	(\$405.51)	-	\$ -	-	
Insrd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	135,994.80	217,506.58	(81,511.78)	(37.5)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	16,572.82	14,311.56	2,261.26	15.8%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$152,598.32</b>	<b>\$232,254.35</b>	<b>(\$79,656.03)</b>	<b>(34.3)%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary		
	Current	YTD	Reportable	Non Reportable	YTD		
<b>Opening Balance</b>	\$436.21	\$6.62	<b>Income</b>			<b>Cost Basis As Of - 03/31/22 **</b>	<b>\$252,925.04</b>
Securities Purchased	(29,406.84)	(58,041.69)	Dividends	\$ -	\$ -	Unrealized Gains	-
Securities Sold	39,508.66	100,585.57	Interest	-	-	Unrealized Losses	(136,152.22)
Funds Deposited	-	-	Other	-	-	Funds Deposited/(Disbursed) <sup>YTD</sup>	(42,500.00)
Funds Disbursed	(10,500.00)	(42,500.00)	<b>Expense</b>			Income/(Expense) <sup>YTD</sup>	(19.80)
Income	-	-	Interest	(7.33)	-	Securities Received/(Delivered) <sup>YTD</sup>	0.00
Expense	(7.33)	(19.80)	Fees	-	-		
Other	-	-	Other	-	-		
<b>Closing Balance</b>	<b>\$30.70</b>	<b>\$30.70</b>	<b>Net</b>	<b>(\$7.33)</b>	<b>\$0.00</b>	<b>(\$19.80)</b>	

**\*\***To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

## Statement for Account # 425-007235

03/01/22 - 03/31/22

Online Cash Services Summary		
Description	Current	Year To Date
<b>DEBITS</b>		
Electronic Transfer	\$ (10,500.00)	\$ (42,500.00)
<b>Subtotal</b>	(10,500.00)	(42,500.00)
<b>TOTAL</b>	<b>(10,500.00)</b>	<b>(42,500.00)</b>

Income Summary Detail		
Description	Current	Year to Date
Margin Interest Charged	\$ (7.33)	\$ (19.80)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Stocks - Margin</b>										
META MATERIALS INC COM	MMAT	60,000	\$ 1.67	\$ 100,200.00	07/19/21	\$ 200,111.37	\$ 3.34	\$ (99,911.37)	\$ -	-
META MATERIALS INC PFD SER A *CLBL	MMTLP	29,340	1.22	35,794.80	-	-	-	-	-	-
<b>Total Stocks</b>				<b>\$135,994.80</b>		<b>\$200,111.37</b>		<b>\$(99,911.37)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 20 23 4.0 C	-	433	\$ 0.365	\$ 15,804.50	02/17/22	\$ 20,645.76	\$ 0.48	\$ (4,841.26)	\$ -	-
META MATERIALS INC MMAT Apr 14 22 3.0 C	-	216	0.025	540.00	01/12/22	6,921.51	0.32	(6,381.51)	-	-
META MATERIALS INC MMAT Apr 14 22 4.0 C	-	200	0.0106	212.00	12/09/21	15,931.88	0.80	(15,719.88)	-	-
META MATERIALS INC MMAT Apr 14 22 6.0 C	-	204	0.0008	16.32	12/09/21	9,314.52	0.46	(9,298.20)	-	-
<b>Total Options</b>				<b>\$16,572.82</b>		<b>\$52,813.67</b>		<b>\$(36,240.85)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$152,567.62</b>		<b>\$252,925.04</b>		<b>\$(136,152.22)</b>	<b>\$0.00</b>	<b>0.0%</b>

## Statement for Account # 425-007235

03/01/22 - 03/31/22

Online Cash Services Transaction Detail									
Category	Transaction Date	Description						Amount	
<b>DEBITS</b>									
Electronic Transfer	03/03/2022	ACH OUT - 03/02/2022 05:23PM						\$ (5,500.00)	
	03/28/2022	ACH OUT - 03/25/2022 05:28PM						(1,000.00)	
	03/29/2022	ACH OUT - 03/28/2022 05:39PM						(4,000.00)	
<b>Subtotal</b>								(10,500.00)	
<b>TOTAL</b>								(10,500.00)	
<b>Account Activity</b>									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									\$436.21
02/28/22	03/02/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	200	\$ 1.94	\$ (394.95)	41.26
03/02/22	03/03/22	Margin	- Funds Disbursed	ACH OUT - 03/02/2022 05:23PM	-	-	0.00	(5,500.00)	(5,458.74)
03/02/22	03/04/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.77	MMAT	6,000-	1.885	11,309.23	5,850.49
03/02/22	03/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	3,000	1.80	(5,406.95)	443.54
03/14/22	03/15/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 4.0 C TO OPEN Commission/Fee 55.90 Regulatory Fee 0.86	-	86	0.36	(3,152.76)	(2,709.22)
03/14/22	03/16/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.21	MMTLP	1,657-	1.695	2,801.46	92.24
03/17/22	03/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 4.0 C TO OPEN Commission/Fee 91.00 Regulatory Fee 1.39	-	140	0.49	(6,952.39)	(6,860.15)
03/17/22	03/21/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.95	MMAT	14,000-	1.8001	25,199.45	18,339.30

## Statement for Account # 425-007235

03/01/22 - 03/31/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
03/18/22	03/21/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 4.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 0.99	-	100	0.50	(5,065.99)	13,273.31
03/18/22	03/21/22	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Apr 14 22 3.0 C TO CLOSE Commission/Fee 18.85 Regulatory Fee 0.35	-	29-	0.07	183.80	13,457.11
03/18/22	03/22/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	2,000	1.53	(3,066.95)	10,390.16
03/18/22	03/22/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	1,000	1.44	(1,446.95)	8,943.21
03/22/22	03/23/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 4.0 C TO OPEN Commission/Fee 13.00 Regulatory Fee 0.20	-	20	0.47	(953.20)	7,990.01
03/23/22	03/24/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 4.0 C TO OPEN Commission/Fee 13.00 Regulatory Fee 0.20	-	20	0.49	(993.20)	6,996.81
03/23/22	03/25/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	1,000	1.47	(1,476.95)	5,519.86
03/25/22	03/28/22	Margin	- Funds Disbursed	ACH OUT - 03/25/2022 05:28PM	-	-	0.00	(1,000.00)	4,519.86
03/28/22	03/29/22	Margin	- Funds Disbursed	ACH OUT - 03/28/2022 05:39PM	-	-	0.00	(4,000.00)	519.86
03/29/22	03/31/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	340	1.44	(496.55)	23.31
03/30/22	03/31/22	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Apr 14 22 6.0 C TO CLOSE Commission/Fee 7.15 Regulatory Fee 0.13	-	11-	0.02	14.72	38.03
03/31/22	03/31/22	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 03/31/2022	-	-	0.00	(7.33)	30.70
<b>Closing Balance</b>									<b>\$30.70</b>

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

## Statement for Account # 425-007235

03/01/22 - 03/31/22

TD Ameritrade Cash Interest/Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
03/03/22	\$ (5,458.74)	\$ -	1	9.00	\$ 1.36	\$ -
03/15/22	(2,709.22)	-	1	9.00	0.68	-
03/18/22	(6,860.15)	-	3	9.25	5.29	-
<b>Total Interest Income/(Expense)</b>					<b>\$7.33</b>	<b>\$ 0.00</b>

## Important Information

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issues/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.

**FINRA INFORMATION**

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit [brokercheck.finra.org](http://brokercheck.finra.org). Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site [www.finra.org](http://www.finra.org) or by calling (800) 289-9999, the BrokerCheck Hotline Number.

**STATEMENT OF FINANCIAL CONDITION**

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at [www.tdameritrade.com/financialstatement](http://www.tdameritrade.com/financialstatement) or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of December 31, 2021, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$5.3 billion and \$1 billion, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of TD Ameritrade Clearing, Inc. in Omaha Nebraska.



04/2022

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginal Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out ("FIFO") method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPIC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPIC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipic.org](http://www.sipic.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London Insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPIC. Supplemental coverage is paid out after the trustee and SIPIC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPIC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup> Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup> Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreement. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI")**, also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPIC. Transaction fees are applicable to purchases, capital call processing, and redemptions.

**A** Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rates applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/priips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

(1) Tell us your name and account number.  
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.  
(3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



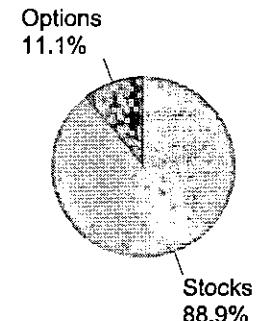
Statement Reporting Period:  
04/01/22 - 04/30/22

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 425-007235

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$0.91	\$30.70	(\$29.79)	-	\$ -	-	
Insrd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	106,620.00	135,994.80	(29,374.80)	(21.6)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	13,363.84	16,572.82	(3,208.98)	(19.4)%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$119,984.75</b>	<b>\$152,598.32</b>	<b>(\$32,613.57)</b>	<b>(21.4)%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary		
		Reportable	Non Reportable	YTD			
<b>Opening Balance</b>	\$30.70	\$6.62	<b>Income</b>				
Securities Purchased	(13,908.79)	(71,950.48)	Dividends	\$ -	\$ -	\$ -	
Securities Sold	15,879.83	116,465.40	Interest	-	-	-	
Funds Deposited	-	-	Other	-	-	-	
Funds Disbursed	(2,000.00)	(44,500.00)	<b>Expense</b>				
Income	-	-	Interest	(0.83)	-	(20.63)	
Expense	(0.83)	(20.63)	Fees	-	-	-	
Other	-	-	Other	-	-	-	
<b>Closing Balance</b>	<b>\$0.91</b>	<b>\$0.91</b>	<b>Net</b>	<b>(\$0.83)</b>	<b>\$0.00</b>	<b>(\$20.63)</b>	

<b>Cost Basis As Of - 04/30/22 **</b>	<b>\$189,672.62</b>
Unrealized Gains	-
Unrealized Losses	(116,308.78)
Funds Deposited/(Disbursed) <sup>YTD</sup>	(44,500.00)
Income/(Expense) <sup>YTD</sup>	(20.63)
Securities Received/(Delivered) <sup>YTD</sup>	0.00

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

## Statement for Account # 425-007235

04/01/22 - 04/30/22

Online Cash Services Summary		
Description	Current	Year To Date
<b>DEBITS</b>		
Electronic Transfer	\$ (2,000.00)	\$ (44,500.00)
<b>Subtotal</b>	(2,000.00)	(44,500.00)
<b>TOTAL</b>	<b>(2,000.00)</b>	<b>(44,500.00)</b>

Income Summary Detail		
Description	Current	Year to Date
Margin Interest Charged	\$ (0.83)	\$ (20.63)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
<b>Stocks - Margin</b>										
META MATERIALS INC COM	MMAT	50,000	\$ 1.20	\$ 60,000.00	07/19/21	\$ 164,311.37	\$ 3.29	\$ (104,311.37)	\$ -	-
META MATERIALS INC PFD SER A *CLBL	MMTLP	37,000	1.26	46,620.00	-	-	-	-	-	-
<b>Total Stocks</b>				<b>\$106,620.00</b>		<b>\$164,311.37</b>		<b>\$(104,311.37)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 20 23 4.0 C	-	608	\$ 0.2198	\$ 13,363.84	02/17/22	\$ 25,361.25	\$ 0.42	\$ (11,997.41)	\$ -	-
<b>Total Options</b>				<b>\$13,363.84</b>		<b>\$25,361.25</b>		<b>\$(11,997.41)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$119,983.84</b>		<b>\$189,672.62</b>		<b>\$(116,308.78)</b>	<b>\$0.00</b>	<b>0.0%</b>

## Statement for Account # 425-007235

04/01/22 - 04/30/22

Online Cash Services Transaction Detail									
Category	Transaction Date		Description			Amount			
<b>DEBITS</b>									
Electronic Transfer		04/13/2022		ACH OUT - 04/12/2022 05:26PM			\$ (2,000.00)		
<b><i>Subtotal</i></b>							(2,000.00)		
<b>TOTAL</b>							<b>(2,000.00)</b>		
Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									
04/01/22	04/05/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.55	MMAT	4,000-	\$ 1.65	\$ 6,599.45	6,630.15
04/05/22	04/06/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 4.0 C TO OPEN Commission/Fee 19.50 Regulatory Fee 0.30	-	30	0.37	(1,129.80)	5,500.35
04/05/22	04/07/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	2,000	1.19	(2,386.95)	3,113.40
04/08/22	04/11/22	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Apr 14 22 3.0 C TO CLOSE Commission/Fee 140.40 Regulatory Fee 2.62	-	216-	0.01	72.98	3,186.38
04/08/22	04/11/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 4.0 C TO OPEN Commission/Fee 3.90 Regulatory Fee 0.06	-	6	0.35	(213.96)	2,972.42
04/12/22	04/13/22	Margin	- Funds Disbursed	ACH OUT - 04/12/2022 05:26PM	-	-	0.00	(2,000.00)	972.42
04/12/22	04/14/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	800	1.13	(910.95)	61.47
04/18/22	04/18/22	Margin	Delivered - Other	META MATERIALS INC MMAT Apr 14 22 6.0 C EXPIRATION	-	204-	0.00	-	61.47
04/18/22	04/18/22	Margin	Delivered - Other	META MATERIALS INC MMAT Apr 14 22 4.0 C EXPIRATION	-	200-	0.00	-	61.47

## Statement for Account # 425-007235

04/01/22 - 04/30/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
04/19/22	04/20/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 4.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 0.99	-	100	0.25	(2,565.99)	(2,504.52)
04/19/22	04/21/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	45	1.10	(56.45)	(2,560.97)
04/19/22	04/21/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.82	MMAT	6,000-	1.4101	8,459.78	5,898.81
04/19/22	04/21/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	5,400	1.08	(5,838.95)	59.86
04/27/22	04/28/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 4.0 C TO OPEN Commission/Fee 25.35 Regulatory Fee 0.39	-	39	0.20	(805.74)	(745.88)
04/27/22	04/29/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.08	MMTLP	585-	1.29	747.62	1.74
04/29/22	04/29/22	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 04/29/2022	-	-	0.00	(0.83)	0.91
<b>Closing Balance</b>									<b>\$0.91</b>

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest/Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
04/20/22	\$ (2,504.52)	\$ -	1	9.25	\$ 0.64	\$ -
04/28/22	(745.88)	-	1	9.25	0.19	-
<b>Total Interest Income/(Expense)</b>					<b>\$0.83</b>	<b>\$ 0.00</b>

## Important Information

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issues/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.



5/2022

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

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**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPIC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPIC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipic.org](http://www.sipic.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London Insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPIC. Supplemental coverage is paid out after the trustee and SIPIC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPIC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI")**, also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. All are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPIC. All transaction fees are applicable to purchases, capital call processing, and redemptions.

**Distributions on Direct Participation Programs and/or REITs:** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state law, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate for applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/priips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

(1) Tell us your name and account number.  
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.  
(3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



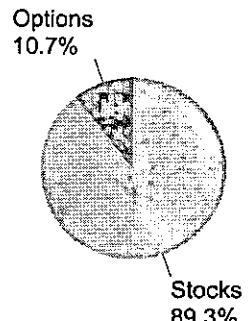
Statement Reporting Period:  
05/01/22 - 05/31/22

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 425-007235

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

Investment	Current Value	Prior Value	Portfolio Summary		Estimated Income	Estimated Yield	Portfolio Allocation
			Period Change	% Change			
Cash	\$62.16	\$0.91	\$61.25	-	\$ -	-	
Insrd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	191,331.06	106,620.00	84,711.06	79.5%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	22,939.84	13,363.84	9,576.00	71.7%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$214,333.06</b>	<b>\$119,984.75</b>	<b>\$94,348.31</b>	<b>78.6%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary		
	Current	YTD	Reportable	Non Reportable	YTD		
<b>Opening Balance</b>	\$0.91	\$6.62	<b>Income</b>			<b>Cost Basis As Of - 05/31/22 **</b>	\$188,877.73
Securities Purchased	(99,007.78)	(170,958.26)	Dividends	\$ -	\$ -	Unrealized Gains	-
Securities Sold	49,068.98	165,534.38	Interest	0.05	-	Unrealized Losses	(67,678.19)
Funds Deposited	50,000.00	50,000.00	Other	-	-	Funds Deposited/(Disbursed) <sup>YTD</sup>	5,500.00
Funds Disbursed	-	(44,500.00)	<b>Expense</b>			Income/(Expense) <sup>YTD</sup>	(20.58)
Income	0.05	0.05	Interest	-	(20.63)	Securities Received/(Delivered) <sup>YTD</sup>	0.00
Expense	-	(20.63)	Fees	-	-	**To view realized gains and losses for your account, login at <a href="http://www.tdameritrade.com">www.tdameritrade.com</a> and visit My Account > Cost Basis.	
Other	-	-	Other	-	-		
<b>Closing Balance</b>	<b>\$62.16</b>	<b>\$62.16</b>	<b>Net</b>	<b>\$0.05</b>	<b>\$0.00</b>	<b>(20.58)</b>	

## Statement for Account # 425-007235

05/01/22 - 05/31/22

Online Cash Services Summary		
Description	Current	Year To Date
<b>CREDITS</b>		
Electronic Transfer	\$ 50,000.00	\$ 50,000.00
<i>Subtotal</i>	50,000.00	50,000.00
<b>DEBITS</b>		
Electronic Transfer	\$ -	\$ (44,500.00)
<i>Subtotal</i>	0.00	(44,500.00)
<b>TOTAL</b>	<b>50,000.00</b>	5,500.00

Income Summary Detail		
Description	Current	Year to Date
Interest Income Credit Balance	\$ 0.05	\$ 0.05
Margin Interest Charged	0.00	(20.63)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Stocks - Margin</b>										
CAMBER ENERGY INC COM	CEI	3,100	\$ 0.667	\$ 2,067.70	05/16/22	\$ 2,230.45	\$ 0.72	\$ (162.75)	\$ -	-
META MATERIALS INC COM	MMAT	50,100	1.92	96,192.00	07/19/21	161,286.03	3.22	(65,094.03)	-	-
META MATERIALS INC PFD SER A *CLBL	MMTLP	72,712	1.28	93,071.36	-	-	-	-	-	-
<b>Total Stocks</b>				<b>\$191,331.06</b>		<b>\$163,516.48</b>		<b>\$(65,256.78)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 20 23 4.0 C	-	608	\$ 0.3773	\$ 22,939.84	02/17/22	\$ 25,361.25	\$ 0.42	\$ (2,421.41)	\$ -	-
<b>Total Options</b>				<b>\$22,939.84</b>		<b>\$25,361.25</b>		<b>\$(2,421.41)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$214,270.90</b>		<b>\$188,877.73</b>		<b>\$(67,678.19)</b>	<b>\$0.00</b>	<b>0.0%</b>

## Statement for Account # 425-007235

05/01/22 - 05/31/22

Online Cash Services Transaction Detail									
Category	Transaction Date		Description			Amount			
<b>CREDITS</b>									
Electronic Transfer		05/27/2022	ACH IN						\$ 50,000.00
<b>Subtotal</b>									50,000.00
<b>TOTAL</b>									<b>50,000.00</b>
Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									
05/16/22	05/18/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.33	MMAT	2,000-	\$ 1.5201	\$ 3,039.87	\$ 0.91 3,040.78
05/16/22	05/18/22	Margin	Buy - Securities Purchased	CAMBER ENERGY INC COM	CEI	4,100	0.7195	(2,949.95)	90.83
05/20/22	05/24/22	Margin	Sell - Securities Sold	CAMBER ENERGY INC COM Regulatory Fee 0.15	CEI	1,000-	0.8417	841.55	932.38
05/20/22	05/24/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	600	1.27	(768.95)	163.43
05/20/22	05/24/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	100	1.26	(132.95)	30.48
05/26/22	05/27/22	Margin	- Funds Deposited	ACH IN	-	-	0.00	50,000.00	50,030.48
05/26/22	05/31/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.68	MMAT	10,000-	1.66	16,598.32	66,628.80
05/26/22	05/31/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	10,000	1.28	(12,806.95)	53,821.85
05/26/22	05/31/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.68	MMAT	10,000-	1.6801	16,799.32	70,621.17
05/26/22	05/31/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.51	MMAT	3,000-	1.6901	5,069.79	75,690.96

## Statement for Account # 425-007235

05/01/22 - 05/31/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
05/26/22	05/31/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	10,000	1.28	(12,806.95)	62,884.01
05/26/22	05/31/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	10,000	1.28	(12,806.95)	50,077.06
05/26/22	05/31/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.67	MMAT	4,000-	1.6802	6,720.13	56,797.19
05/26/22	05/31/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	1,153	1.30	(1,505.85)	55,291.34
05/26/22	05/31/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	10,000	1.7299	(17,299.00)	37,992.34
05/26/22	05/31/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	9,365	1.7299	(16,200.51)	21,791.83
05/26/22	05/31/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	9,100	1.7193	(15,645.63)	6,146.20
05/26/22	05/31/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	635	1.72	(1,092.20)	5,054.00
05/26/22	05/31/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	3,847	1.29	(4,969.58)	84.42
05/26/22	05/31/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	12	1.28	(22.31)	62.11
05/31/22	05/31/22	Margin	Div/Int - Income	INTEREST CREDIT Payable: 05/31/2022	-	-	0.00	0.05	62.16

## Closing Balance

\$62.16

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest/Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
05/27/22	\$ -	\$ 50,030.48	4	0.01	\$ -	\$ 0.05
Total Interest Income/(Expense)					\$ 0.00	\$ 0.05

## Statement for Account # 425-007235

05/01/22 - 05/31/22

Trades Pending Settlement							
Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL CAMBER ENERGY INC COM	Margin	CEI	3,100-	\$ 0.6471	05/27/22	06/01/22	\$ 2,005.56

## Important Information

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issuances/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.



6/2022

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginal Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

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TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London Insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI"):** also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically liquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

**A Distributions on Direct Participation Programs and/or REITs:** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the price is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state law, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market center to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail Investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/prlips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

(1) Tell us your name and account number.  
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.  
(3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



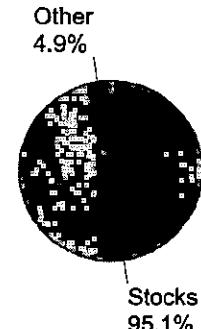
Statement Reporting Period:  
06/01/22 - 06/30/22

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 425-007235

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

Investment	Portfolio Summary						
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$131.69	\$62.16	\$69.53	-	\$ -	-	
Insrd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	166,367.15	191,331.06	(24,963.91)	(13.0)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	8,374.82	22,939.84	(14,565.02)	(63.5)%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$174,873.66</b>	<b>\$214,333.06</b>	<b>(\$39,459.40)</b>	<b>(18.4)%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary		
	Current	YTD	Reportable	Non Reportable	YTD		
<b>Opening Balance</b>	\$62.16	\$6.62	<b>Income</b>			<b>Cost Basis As Of - 06/30/22 **</b>	\$188,311.67
Securities Purchased	(6,915.71)	(177,873.97)	Dividends	\$ -	\$ -	Unrealized Gains	-
Securities Sold	4,485.24	170,019.62	Interest	-	-	Unrealized Losses	(128,333.85)
Funds Deposited	2,500.00	52,500.00	Other	-	-	Funds Deposited/(Disbursed) <sup>YTD</sup>	8,000.00
Funds Disbursed	-	(44,500.00)	<b>Expense</b>			Income/(Expense) <sup>YTD</sup>	(20.58)
Income	-	0.05	Interest	-	(20.63)	Securities Received/(Delivered) <sup>YTD</sup>	0.00
Expense	-	(20.63)	Fees	-	-	**To view realized gains and losses for your account, login at <a href="http://www.tdameritrade.com">www.tdameritrade.com</a> and visit My Account > Cost Basis.	
Other	-	-	Other	-	-		
<b>Closing Balance</b>	<b>\$131.69</b>	<b>\$131.69</b>	<b>Net</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$20.58)</b>	

## Statement for Account # 425-007235

06/01/22 - 06/30/22

Online Cash Services Summary		
Description	Current	Year To Date
<b>CREDITS</b>		
Electronic Transfer	\$ 2,500.00	\$ 52,500.00
<b>Subtotal</b>	<b>2,500.00</b>	<b>52,500.00</b>
<b>DEBITS</b>		
Electronic Transfer	\$ -	\$ (44,500.00)
<b>Subtotal</b>	<b>0.00</b>	<b>(44,500.00)</b>
<b>TOTAL</b>	<b>2,500.00</b>	<b>8,000.00</b>

Income Summary Detail		
Description	Current	Year to Date
Interest Income Credit Balance	\$ 0.00	\$ 0.05
Margin Interest Charged	0.00	(20.63)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Stocks - Margin</b>										
META MATERIALS INC COM	MMAT	50,100	\$ 1.03	\$ 51,603.00	07/19/21	\$ 160,512.73	\$ 3.20	\$ (108,909.73)	\$ -	-
META MATERIALS INC PFD SER A *CLBL	MMTLP	74,281	1.545	114,764.15	-	-	-	-	-	-
<b>Total Stocks</b>				<b>\$166,367.15</b>		<b>\$160,512.73</b>		<b>\$(108,909.73)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 20 23 2.0 C	-	95	\$ 0.175	\$ 1,662.50	06/24/22	\$ 2,437.69	\$ 0.26	\$ (775.19)	\$ -	-
META MATERIALS INC MMAT Jan 20 23 4.0 C	-	608	0.1104	6,712.32	02/17/22	25,361.25	0.42	(18,648.93)	-	-
<b>Total Options</b>				<b>\$8,374.82</b>		<b>\$27,798.94</b>		<b>\$(19,424.12)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$174,741.97</b>		<b>\$188,311.67</b>		<b>\$(128,333.85)</b>	<b>\$0.00</b>	<b>0.0%</b>

## Statement for Account # 425-007235

06/01/22 - 06/30/22

Online Cash Services Transaction Detail								
Category	Transaction Date		Description			Amount		
<b>CREDITS</b>								
Electronic Transfer		06/27/2022	ACH IN				\$ 2,500.00	
<b>Subtotal</b>							2,500.00	
<b>TOTAL</b>							<b>2,500.00</b>	
Account Activity								
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount
<b>Opening Balance</b>								
05/27/22	06/01/22	Margin	Sell - Securities Sold	CAMBER ENERGY INC COM Regulatory Fee 0.45	CEI	3,100-	\$ 0.6471	\$ 2,005.56
06/06/22	06/08/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	1,169	1.28	(1,503.27)
06/06/22	06/08/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	400	1.27	(514.95)
06/24/22	06/27/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 2.0 C TO OPEN Commission/Fee 61.75 Regulatory Fee 0.94	-	95	0.25	(2,437.69)
06/24/22	06/27/22	Margin	- Funds Deposited	ACH IN	-	-	0.00	2,500.00
06/24/22	06/28/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.32	MMAT	2,000-	1.24	2,479.68
06/24/22	06/28/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	2,000	1.2299	(2,459.80)
<b>Closing Balance</b>								
\$131.69								

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

## Important Information

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issuances/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.

**Statement for Account # 425-007235**

06/01/22 - 06/30/22

**Important Information**

**FINRA INFORMATION**

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit [brokercheck.finra.org](http://brokercheck.finra.org). Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site [www.finra.org](http://www.finra.org) or by calling (800) 289-9999, the BrokerCheck Hotline Number.



7/2022

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category "Other" in the pie chart. Margin Equity = Total Account Equity (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

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**Distributions on Direct Participation Programs and/or REITs:** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

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**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



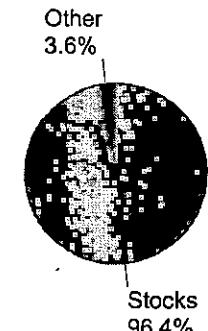
Statement Reporting Period:  
07/01/22 - 07/31/22

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 425-007235

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$131.70	\$131.69	\$0.01	-	\$ -	-	
Insr'd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	147,597.78	166,367.15	(18,769.37)	(11.3)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	5,393.15	8,374.82	(2,981.67)	(35.6)%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$153,122.63</b>	<b>\$174,873.66</b>	<b>(\$21,751.03)</b>	<b>(12.4)%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



	Cash Activity Summary			Income & Expense Summary		
	Current	YTD	Reportable	Non Reportable	YTD	
Opening Balance	\$131.69	\$6.62	Income			Cost Basis As Of - 07/31/22 **
Securities Purchased	-	(177,873.97)	Dividends	\$ -	\$ -	\$ 188,311.67
Securities Sold	-	170,019.62	Interest	0.01		Unrealized Gains
Funds Deposited	-	52,500.00	Other	-	0.06	Unrealized Losses
Funds Disbursed	-	(44,500.00)	Expense			(137,828.52)
Income	0.01	0.06	Interest	-	(20.63)	Funds Deposited/(Disbursed) YTD
Expense	-	(20.63)	Fees	-		8,000.00
Other	-	-	Other	-		Income/(Expense) YTD
<b>Closing Balance</b>	<b>\$131.70</b>	<b>\$131.70</b>	<b>Net</b>	<b>\$0.01</b>	<b>\$0.00</b>	<b>(20.57)</b>

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

## Statement for Account # 425-007235

07/01/22 - 07/31/22

Online Cash Services Summary		
Description	Current	Year To Date
<b>CREDITS</b>		
Electronic Transfer	\$ -	\$ 52,500.00
<i>Subtotal</i>	0.00	52,500.00
<b>DEBITS</b>		
Electronic Transfer	\$ -	\$ (44,500.00)
<i>Subtotal</i>	0.00	(44,500.00)
<b>TOTAL</b>	<b>0.00</b>	<b>8,000.00</b>

Income Summary Detail		
Description	Current	Year to Date
Interest Income Credit Balance	\$ 0.01	\$ 0.06
Margin Interest Charged	0.00	(20.63)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Stocks - Margin</b>										
META MATERIALS INC COM	MMAT	50,100	\$ 0.90	\$ 45,090.00	07/19/21	\$ 160,512.73	\$ 3.20	\$ (115,422.73)	\$ -	-
META MATERIALS INC PFD SER A *CLBL	MMTLP	74,281	1.38	102,507.78	-	-	-	-	-	-
<b>Total Stocks</b>				<b>\$147,597.78</b>		<b>\$160,512.73</b>		<b>\$(115,422.73)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 20 23 2.0 C	-	95	\$ 0.1101	\$ 1,045.95	06/24/22	\$ 2,437.69	\$ 0.26	\$ (1,391.74)	\$ -	-
META MATERIALS INC MMAT Jan 20 23 4.0 C	-	608	0.0715	4,347.20	02/17/22	25,361.25	0.42	(21,014.05)	-	-
<b>Total Options</b>				<b>\$5,393.15</b>		<b>\$27,798.94</b>		<b>\$(22,405.79)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$152,990.93</b>		<b>\$188,311.67</b>		<b>\$(137,828.52)</b>	<b>\$0.00</b>	<b>0.0%</b>

## Statement for Account # 425-007235

07/01/22 - 07/31/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									<b>\$131.69</b>
07/29/22	07/29/22	Margin	Div/Int - Income	INTEREST CREDIT Payable: 07/29/2022	-	-	\$ 0.00	\$ 0.01	131.70

**Closing Balance**

\$131.70

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest/Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
07/01/22	\$ -	\$ 131.69	28	0.10	\$ -	\$ 0.01
<b>Total Interest Income/(Expense)</b>					<b>\$ 0.00</b>	<b>\$ 0.01</b>

## Important Information

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issuances/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.



8/2022

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits and per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London Insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London Insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$600 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

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**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

(1) Tell us your name and account number.  
 (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.  
 (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



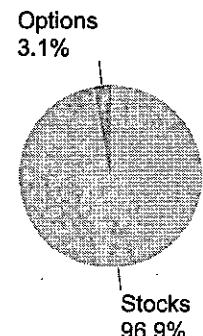
Statement Reporting Period:  
08/01/22 - 08/31/22

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 425-007235

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$63.38	\$131.70	(\$68.32)	-	\$ -	-	
Insr'd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	152,014.45	147,597.78	4,416.67	3.0%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	4,826.54	5,393.15	(566.61)	(10.5)%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$156,904.37</b>	<b>\$153,122.63</b>	<b>\$3,781.74</b>	<b>2.5%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary		
	Current	YTD	Reportable	Non Reportable	YTD		
<b>Opening Balance</b>	\$131.70	\$6.62	<b>Income</b>			<b>Cost Basis As Of - 08/31/22 **</b>	\$189,427.73
Securities Purchased	(3,851.41)	(181,725.38)	Dividends	\$ -	\$ -	Unrealized Gains	-
Securities Sold	1,809.90	171,829.52	Interest	-	-	Unrealized Losses	(141,091.69)
Funds Deposited	2,000.00	54,500.00	Other	-	-	Funds Deposited/(Disbursed) <sup>YTD</sup>	10,000.00
Funds Disbursed	-	(44,500.00)	<b>Expense</b>			Income/(Expense) <sup>YTD</sup>	(47.38)
Income	-	0.06	Interest	(1.81)	-	Securities Received/(Delivered) <sup>YTD</sup>	0.00
Expense	(26.81)	(47.44)	Fees	-	(25.00)		
Other	-	-	Other	-	(25.00)		
<b>Closing Balance</b>	<b>\$63.38</b>	<b>\$63.38</b>	<b>Net</b>	<b>(\$1.81)</b>	<b>(\$25.00)</b>	<b>(\$47.38)</b>	

**\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.**

## Statement for Account # 425-007235

08/01/22 - 08/31/22

Online Cash Services Summary		
Description	Current	Year To Date
<b>CREDITS</b>		
Electronic Transfer	\$ 2,000.00	\$ 54,500.00
<b>Subtotal</b>	2,000.00	54,500.00
<b>DEBITS</b>		
Electronic Transfer	\$ -	\$ (44,500.00)
<b>Subtotal</b>	0.00	(44,500.00)
<b>TOTAL</b>	<b>2,000.00</b>	<b>10,000.00</b>

Income Summary/Detail		
Description	Current	Year to Date
Interest Income Credit Balance	\$ 0.00	\$ 0.06
Margin Interest Charged	(1.81)	(22.44)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Stocks - Margin</b>										
META MATERIALS INC COM	MMAT	50,300	\$ 0.865	\$ 43,509.50	07/19/21	\$ 160,695.99	\$ 3.19	\$ (117,186.49)	\$ -	-
META MATERIALS INC PFD SER A *CLBL	MMTLP	74,831	1.45	108,504.95	-	-	-	-	-	-
<b>Total Stocks</b>				<b>\$152,014.45</b>		<b>\$160,695.99</b>		<b>\$ (117,186.49)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 20 23 2.0 C	-	175	\$ 0.0802	\$ 1,403.50	06/24/22	\$ 3,370.49	\$ 0.19	\$ (1,966.99)	\$ -	-
META MATERIALS INC MMAT Jan 20 23 4.0 C	-	608	0.0563	3,423.04	02/17/22	25,361.25	0.42	(21,938.21)	-	-
<b>Total Options</b>				<b>\$4,826.54</b>		<b>\$28,731.74</b>		<b>\$ (23,905.20)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$156,840.99</b>		<b>\$189,427.73</b>		<b>\$ (141,091.69)</b>	<b>\$0.00</b>	<b>0.0%</b>

## Statement for Account # 425-007235

08/01/22 - 08/31/22

Online Cash Services Transaction Detail									
Category	Transaction Date	Description						Amount	
<b>CREDITS</b>									
Electronic Transfer	08/10/2022	ACH IN						\$ 2,000.00	
	08/12/2022	ACH IN-RETURN-Insufficient Funds						(2,000.00)	
	08/15/2022	ACH IN						2,000.00	
<i><b>Subtotal</b></i>									
<b>TOTAL</b>									
<b>Account Activity</b>									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									\$131.70
08/09/22	08/10/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 2.0 C TO OPEN Commission/Fee 52.00 Regulatory Fee 0.80	-	80	\$ 0.11	\$ (932.80)	(801.10)
08/09/22	08/10/22	Margin	- Funds Deposited	ACH IN	-	-	0.00	2,000.00	1,198.90
08/09/22	08/11/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.30	MMAT	2,000-	0.9051	1,809.90	3,008.80
08/09/22	08/11/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	550	1.67	(925.45)	2,083.35
08/09/22	08/11/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	2,100	0.906	(1,902.60)	180.75
08/09/22	08/11/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	100	0.9056	(90.56)	90.19
08/12/22	08/12/22	Margin	- Funds Deposited	ACH IN-RETURN-Insufficient Funds	-	-	0.00	(2,000.00)	(1,909.81)
08/12/22	08/12/22	Margin	Journal - Expense	ACH RETURN CHARGE (Insufficient Funds)	-	-	0.00	(25.00)	(1,934.81)
08/12/22	08/15/22	Margin	- Funds Deposited	ACH IN	-	-	0.00	2,000.00	65.19
08/31/22	08/31/22	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 08/31/2022	-	-	0.00	(1.81)	63.38
<b>Closing Balance</b>									\$63.38

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

## Statement for Account # 425-007235

08/01/22 - 08/31/22

TD Ameritrade Cash Interest/Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
08/12/22	\$ (1,934.81)	\$ -	3	11.25	\$ 1.81	\$ -
<b>Total Interest Income/(Expense)</b>					<b>\$1.81</b>	<b>\$ 0.00</b>

## Important Information

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issuances/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.



9/2022

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London Insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London Insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI")**, also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

**△ Distributions on Direct Participation Programs and/or REITs:** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

**△ Transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.**

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE 4-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

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(1) Tell us your name and account number.

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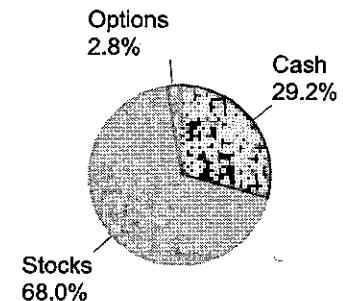
Statement Reporting Period:  
09/01/22 - 09/30/22

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

**Statement for Account # 425-007235**

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$43,667.05	\$63.38	\$43,603.67	-	\$ -	-	
Insr'd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	101,494.74	152,014.45	(50,519.71)	(33.2)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	4,150.73	4,826.54	(675.81)	(14.0)%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$149,312.52</b>	<b>\$156,904.37</b>	<b>(\$7,591.85)</b>	<b>(4.8)%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary		
	Current	YTD		Reportable	Non Reportable	YTD	
<b>Opening Balance</b>	\$63.38	\$6.62	<b>Income</b>				<b>Cost Basis As Of - 09/30/22 **</b>
Securities Purchased	(35,326.96)	(217,052.34)	Dividends	\$ -	\$ -	\$ -	\$246,703.11
Securities Sold	78,930.10	250,759.62	Interest	0.73	-	0.79	Unrealized Gains 13,243.57
Funds Deposited	-	54,500.00	Other	-	-	-	Unrealized Losses (154,301.21)
Funds Disbursed	-	(44,500.00)	<b>Expense</b>				Funds Deposited/(Disbursed) <sup>YTD</sup> 10,000.00
Income	0.73	0.79	Interest	(0.20)	-	(22.64)	Income/(Expense) <sup>YTD</sup> (46.85)
Expense	(0.20)	(47.64)	Fees	-	-	(25.00)	Securities Received/(Delivered) <sup>YTD</sup> 0.00
Other	-	-	Other	-	-	-	
<b>Closing Balance</b>	<b>\$43,667.05</b>	<b>\$43,667.05</b>	<b>Net</b>	<b>\$0.53</b>	<b>\$0.00</b>	<b>(\$46.85)</b>	

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

## Statement for Account # 425-007235

09/01/22 - 09/30/22

Description	Online Cash Services Summary		Year To Date
	Current		
<b>CREDITS</b>			
Electronic Transfer	\$ -		\$ 54,500.00
<b>Subtotal</b>	0.00		54,500.00
<b>DEBITS</b>			
Electronic Transfer	\$ -		\$ (44,500.00)
<b>Subtotal</b>	0.00		(44,500.00)
<b>TOTAL</b>	<b>0.00</b>		10,000.00

Description	Income Summary Detail		Year to Date
	Current		
Interest Income Credit Balance	\$ 0.73		\$ 0.79
Margin Interest Charged	(0.20)		(22.64)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Investment Description	Symbol/ CUSIP	Account Positions									Estimated Income	Yield
		Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)				
<b>Stocks - Margin</b>												
META MATERIALS INC COM	MMAT	50,000	\$ 0.6491	\$ 32,455.00	07/19/21	\$ 160,343.22	\$ 3.21	\$ (127,888.22)			\$ -	-
META MATERIALS INC PFD SER A *CLBL	MMTLP	44,831	1.54	69,039.74	10/08/21	55,796.17	1.24	13,243.57			-	-
<b>Total Stocks</b>				<b>\$101,494.74</b>		<b>\$216,139.39</b>		<b>\$(114,644.65)</b>			<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>												
META MATERIALS INC MMAT Jan 20 23 1.0 C	-	100	\$ 0.105	\$ 1,050.00	09/26/22	\$ 1,165.99	\$ 0.12	\$ (115.99)			\$ -	-
META MATERIALS INC MMAT Jan 20 23 2.0 C	-	275	0.0451	1,240.25	06/24/22	4,036.48	0.15	(2,796.23)			-	-

## Statement for Account # 425-007235

09/01/22 - 09/30/22

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 20 23 4.0 C	-	608	0.0306	1,860.48	02/17/22	25,361.25	0.42	(23,500.77)	-	-
<b>Total Options</b>				<b>\$4,150.73</b>		<b>\$30,563.72</b>		<b>\$(26,412.99)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$105,645.47</b>		<b>\$246,703.11</b>		<b>\$(141,057.64)</b>	<b>\$0.00</b>	<b>0.0%</b>
Account Activity										
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance	
<b>Opening Balance</b>										
09/23/22	09/26/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 2.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 0.99	-	100	\$ 0.06	\$ (665.99)	\$63.38	
09/23/22	09/27/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.82	MMTLP	5,000-	1.51	7,542.23	6,939.62	
09/23/22	09/27/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.82	MMTLP	5,000-	1.50	7,492.23	14,431.85	
09/23/22	09/27/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.92	MMTLP	5,605-	1.50	8,399.63	22,831.48	
09/23/22	09/27/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.82	MMTLP	5,000-	1.52	7,592.23	30,423.71	
09/23/22	09/27/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.81	MMTLP	4,899-	1.52	7,438.72	37,862.43	

## Statement for Account # 425-007235

09/01/22 - 09/30/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
09/23/22	09/27/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.01	MMTLP	101-	1.53	147.57	38,010.00
09/23/22	09/27/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.72	MMTLP	4,395-	1.51	6,628.78	44,638.78
09/26/22	09/27/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 1.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 0.99	-	100	0.11	(1,165.99)	43,472.79
09/26/22	09/28/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 7.26	MMAT	50,300-	0.6699	33,688.71	77,161.50
09/26/22	09/28/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	49,800	0.6699	(33,361.02)	43,800.48
09/26/22	09/28/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	200	0.6698	(133.96)	43,666.52
09/30/22	09/30/22	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 09/30/2022	-	-	0.00	(0.20)	43,666.32
09/30/22	09/30/22	Margin	Div/Int - Income	INTEREST CREDIT Payable: 09/30/2022	-	-	0.00	0.73	43,667.05
<b>Closing Balance</b>									<b>\$43,667.05</b>

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
09/01/22	\$ -	\$ 63.38	22	0.15	\$ -	\$ 0.01
09/26/22	(602.61)	-	1	12.00	0.20	-
09/27/22	-	43,472.79	1	0.15	-	0.18
09/28/22	-	43,666.52	3	0.15	-	0.54
<b>Total Interest Income/(Expense)</b>						<b>\$0.20</b>
						<b>\$0.73</b>

**Statement for Account # 425-007235**

09/01/22 - 09/30/22

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issu.../breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.

**STATEMENT OF FINANCIAL CONDITION**

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of June 30, 2022, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$5.1 billion and \$759 million, respectively. As of July 31, 2022, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$5.0 billion and \$739 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of TD Ameritrade Clearing, Inc. in Omaha Nebraska.



10/22

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London Insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI")**, also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically liquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. All transaction fees are applicable to purchases, capital call processing, and redemptions.

**△ Distributions on Direct Participation Programs and/or REITs:** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/prips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

(1) Tell us your name and account number.  
(2) Describe the error or transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.  
(3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



## Statement Reporting Period:

10/01/22 - 10/31/22

800-669-3900  
 TD AMERITRADE  
 DIVISION OF TD AMERITRADE INC  
 PO BOX 2209  
 OMAHA, NE 68103-2209  
*TD Ameritrade Clearing, Inc., Member SIPC*

## Statement for Account # 425-007235

JAE JIN LEE  
 15834 SE 46TH WAY  
 BELLEVUE, WA 98006-3239

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$45.69	\$43,667.05	(\$43,621.36)	-	\$ -	-	
Insrd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	427,344.10	101,494.74	325,849.36	321.1%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	4,048.00	4,150.73	(102.73)	(2.5)%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$431,437.79</b>	<b>\$149,312.52</b>	<b>\$282,125.27</b>	<b>188.9%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						

Options 0.9%

Stocks 99.1%

Cash Activity Summary		Income & Expense Summary			Performance Summary		
	Current	YTD		Reportable	Non Reportable	YTD	
<b>Opening Balance</b>	\$43,667.05	\$6.62	<b>Income</b>				<b>Cost Basis As Of - 10/31/22 **</b>
Securities Purchased	(119,646.01)	(336,698.35)	Dividends	\$ -	\$ -	\$ -	\$158,841.14
Securities Sold	88,019.79	338,779.41	Interest	5.91	-	6.70	Unrealized Gains 290,809.93
Funds Deposited	60,000.00	114,500.00	Other	-	-	-	Unrealized Losses (18,258.97)
Funds Disbursed	(72,000.00)	(116,500.00)	<b>Expense</b>				Funds Deposited/(Disbursed) <sup>YTD</sup> (2,000.00)
Income	5.91	6.70	Interest	(1.05)	-	(23.69)	Income/(Expense) <sup>YTD</sup> (41.99)
Expense	(1.05)	(48.69)	Fees	-	-	(25.00)	Securities Received/(Delivered) <sup>YTD</sup> 0.00
Other	-	-	Other	-	-	-	
<b>Closing Balance</b>	<b>\$45.69</b>	<b>\$45.69</b>	<b>Net</b>	<b>\$4.86</b>	<b>\$0.00</b>	<b>(\$41.99)</b>	

**\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.**

## Statement for Account # 425-007235

10/01/22 - 10/31/22

Online Cash Services Summary		
Description	Current	Year To Date
<b>CREDITS</b>		
Electronic Transfer	\$ 60,000.00	\$ 114,500.00
<b>Subtotal</b>	60,000.00	114,500.00
<b>DEBITS</b>		
Electronic Transfer	\$ (72,000.00)	\$ (116,500.00)
<b>Subtotal</b>	(72,000.00)	(116,500.00)
<b>TOTAL</b>	<b>(12,000.00)</b>	<b>(2,000.00)</b>

Income Summary Detail*		
Description	Current	Year to Date
Interest Income Credit Balance	\$ 5.91	\$ 6.70
Margin Interest Charged	(1.05)	(23.69)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Stocks - Margin</b>										
META MATERIALS INC	MMTLP	62,386	\$ 6.85	\$ 427,344.10	10/08/21	\$ 136,534.17	\$ 2.19	\$ 290,809.93	\$ -	-
PFD SER A *CLBL										
<b>Total Stocks</b>				<b>\$427,344.10</b>		<b>\$136,534.17</b>		<b>\$290,809.93</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
META MATERIALS INC	-	550	\$ 0.0736	\$ 4,048.00	02/17/22	\$ 22,306.97	\$ 0.41	\$ (18,258.97)	\$ -	-
MMAT Jan 20 23 4.0 C										
<b>Total Options</b>				<b>\$4,048.00</b>		<b>\$22,306.97</b>		<b>\$(18,258.97)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$431,392.10</b>		<b>\$158,841.14</b>		<b>\$272,550.96</b>	<b>\$0.00</b>	<b>0.0%</b>

## Statement for Account # 425-007235

10/01/22 - 10/31/22

Online Cash Services Transaction Details				
Category	Transaction Date	Description	Amount	
<b>CREDITS</b>				
Electronic Transfer	10/14/2022	ACH IN	\$ 10,000.00	
	10/17/2022	ACH IN	50,000.00	
<b>Subtotal</b>				60,000.00
<b>DEBITS</b>				
Electronic Transfer	10/12/2022	ACH OUT - 10/11/2022 05:57PM	\$ (72,000.00)	
<b>Subtotal</b>				(72,000.00)
<b>TOTAL</b>				(12,000.00)

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									\$43,667.05
10/05/22	10/06/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 1.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 0.99	-	100	\$ 0.10	\$ (1,065.99)	42,601.06
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.22	MMAT	8,300-	0.7349	6,098.45	48,699.51
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.01	MMAT	100-	0.7401	74.00	48,773.51
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.32	MMAT	8,985-	0.74	6,647.58	55,421.09
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	20-	0.7445	14.89	55,435.98
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.04	MMAT	300-	0.7442	223.22	55,659.20
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.12	MMAT	800-	0.7439	595.00	56,254.20

## Statement for Account # 425-007235

10/01/22 - 10/31/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.76	MMAT	5,109-	0.7438	3,799.31	60,053.51
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.13	MMAT	900-	0.7435	669.02	60,722.53
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.89	MMAT	12,871-	0.7415	9,541.96	70,264.49
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.07	MMAT	500-	0.7431	371.48	70,635.97
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.04	MMAT	300-	0.7429	222.83	70,858.80
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.03	MMAT	115-	0.7428	85.39	70,944.19
10/10/22	10/12/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.25	MMAT	1,700-	0.735	1,249.25	72,193.44
10/11/22	10/12/22	Margin	- Funds Disbursed	ACH OUT - 10/11/2022 05:57PM	-	-	0.00	(72,000.00)	193.44
10/12/22	10/13/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 2.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 0.99	-	100	0.10	(1,065.99)	(872.55)
10/12/22	10/13/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 1.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 0.99	-	100	0.22	(2,265.99)	(3,138.54)
10/12/22	10/14/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	1,000	4.02	(4,026.95)	(7,165.49)
10/12/22	10/14/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.47	MMAT	9,900-	0.804	7,958.13	792.64

## Statement for Account # 425-007235

10/01/22 - 10/31/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/12/22	10/14/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.01	MMAT	100-	0.806	80.59	873.23
10/13/22	10/14/22	Margin	- Funds Deposited	ACH IN	-	-	0.00	10,000.00	10,873.23
10/13/22	10/17/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	100	6.40	(646.95)	10,226.28
10/14/22	10/17/22	Margin	- Funds Deposited	ACH IN	-	-	0.00	50,000.00	60,226.28
10/14/22	10/17/22	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 20 23 2.0 C TO CLOSE Commission/Fee 243.75 Regulatory Fee 4.66	-	375-	0.13	4,626.59	64,852.87
10/14/22	10/17/22	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 20 23 1.0 C TO CLOSE Commission/Fee 144.30 Regulatory Fee 2.83	-	222-	0.28	6,068.87	70,921.74
10/14/22	10/17/22	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 20 23 4.0 C TO CLOSE Commission/Fee 33.80 Regulatory Fee 0.64	-	52-	0.07	329.56	71,251.30
10/14/22	10/17/22	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 20 23 1.0 C TO CLOSE Commission/Fee 50.70 Regulatory Fee 1.00	-	78-	0.28	2,132.30	73,383.60
10/14/22	10/17/22	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 20 23 4.0 C TO CLOSE Commission/Fee 3.90 Regulatory Fee 0.07	-	6-	0.08	44.03	73,427.63
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	2,200	3.85	(8,476.95)	64,950.68
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	14,262	0.9366	(13,357.79)	51,592.89
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	5,500	0.9347	(5,140.85)	46,452.04
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	100	0.93	(93.00)	46,359.04

## Statement for Account # 425-007235

10/01/22 - 10/31/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	10,000	0.912	(9,120.00)	37,239.04
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	1,074	3.60	(3,873.35)	33,365.69
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	131	3.64	(483.79)	32,881.90
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	174	0.9245	(160.86)	32,721.04
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	897	0.9244	(829.19)	31,891.85
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	200	0.9243	(184.86)	31,706.99
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	5,160	0.9241	(4,768.36)	26,938.63
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	2,900	0.924	(2,679.60)	24,259.03
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	807	0.9239	(745.59)	23,513.44
10/14/22	10/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	50	3.70	(191.95)	23,321.49
10/20/22	10/24/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	500	4.12	(2,066.95)	21,254.54
10/20/22	10/24/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	1,900	4.15	(7,891.95)	13,362.59
10/20/22	10/24/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL	MMTLP	100	4.10	(410.00)	12,952.59
10/20/22	10/24/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	3,000	4.18	(12,546.95)	405.64
10/21/22	10/25/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	900	4.95	(4,461.95)	(4,056.31)

## Statement for Account # 425-007235

10/01/22 - 10/31/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/21/22	10/25/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.01	MMAT	100-	0.8888	88.87	(3,967.44)
10/21/22	10/25/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.49	MMAT	9,900-	0.8887	8,796.64	4,829.20
10/21/22	10/25/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.50	MMAT	10,000-	0.8902	8,900.50	13,729.70
10/21/22	10/25/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	2,535	4.76	(12,073.55)	1,656.15
10/21/22	10/25/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL	MMTLP	1,000	4.72	(4,720.00)	(3,063.85)
10/21/22	10/25/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL	MMTLP	215	4.70	(1,010.50)	(4,074.35)
10/21/22	10/25/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.64	MMAT	4,200-	0.9151	3,842.78	(231.57)
10/21/22	10/25/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.06	MMAT	379-	0.915	346.73	115.16
10/25/22	10/27/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.76	MMAT	5,000-	0.9629	4,813.74	4,928.90
10/25/22	10/27/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	940	5.17	(4,866.75)	62.15
10/25/22	10/27/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.76	MMAT	5,000-	0.9631	4,814.74	4,876.89
10/25/22	10/27/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	960	5.05	(4,854.95)	21.94
10/27/22	10/31/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.83	MMAT	5,421-	1.0301	5,583.34	5,605.28

## Statement for Account # 425-007235

10/01/22 - 10/31/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/27/22	10/31/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	950	5.85	(5,564.45)	40.83
10/31/22	10/31/22	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 10/31/2022	-	-	0.00	(1.05)	39.78
10/31/22	10/31/22	Margin	Div/Int - Income	INTEREST CREDIT Payable: 10/31/2022	-	-	0.00	5.91	45.69
<b>Closing Balance</b>									<b>\$45.69</b>

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest (Credit/Expense)						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
10/01/22	\$ -	\$ 43,667.05	5	0.30	\$ -	\$ 1.79
10/06/22	-	42,601.06	6	0.30	-	2.10
10/13/22	(3,138.54)	-	1	12.00	1.05	-
10/14/22	-	10,873.23	3	0.30	-	0.27
10/17/22	-	73,427.63	1	0.30	-	0.60
10/18/22	-	23,321.49	6	0.30	-	1.15
<b>Total Interest Income/(Expense)</b>						<b>\$1.05</b>
<b>\$5.91</b>						

Trades Pending Settlement							
Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL META MATERIALS INC PFD SER A *CLBL	Margin	MMTLP	4,000-	\$ 6.84	10/31/22	11/02/22	\$ 27,351.90

Important Information							
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**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issues/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.



11/2022

JAE JIN LEE  
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## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$162 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses.

Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI")**, also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically liquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. All transaction fees are applicable to purchases, capital call processing, and redemptions.

**△ Distributions on Direct Participation Programs and/or REITs** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account and on an annual basis thereafter: (i) its policies regarding receipt of payment for order flow, including a statement as to what any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of a per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/priips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

(1) Tell us your name and account number.  
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.  
(3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



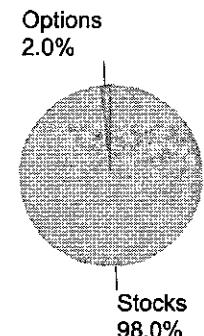
Statement Reporting Period:  
11/01/22 - 11/30/22

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

**Statement for Account # 425-007235**

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$97.10	\$45.69	\$51.41	-	\$ -	-	
Insr'd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	541,384.86	427,344.10	114,040.76	26.7%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	11,295.50	4,048.00	7,247.50	179.0%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$552,777.46</b>	<b>\$431,437.79</b>	<b>\$121,339.67</b>	<b>28.1%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash Activity Summary		Income & Expense Summary			Performance Summary		
	Current	YTD		Reportable	Non Reportable	YTD	
<b>Opening Balance</b>	\$45.69	\$6.62	<b>Income</b>				<b>Cost Basis As Of - 11/30/22 **</b>
Securities Purchased	(691,708.84)	(1,028,407.19)	Dividends	\$ -	\$ -	\$ -	\$425,145.34
Securities Sold	631,761.39	970,540.80	Interest	1.61	-	8.31	Unrealized Gains
Funds Deposited	60,000.00	174,500.00	Other	-	-	-	164,595.23
Funds Disbursed	-	(116,500.00)	<b>Expense</b>				Unrealized Losses
Income	1.61	8.31	Interest	(2.75)	-	(26.44)	(37,060.21)
Expense	(2.75)	(51.44)	Fees	-	-	(25.00)	Funds Deposited/(Disbursed) <sup>YTD</sup>
Other	-	-	Other	-	-	-	Income/(Expense) <sup>YTD</sup>
<b>Closing Balance</b>	<b>\$97.10</b>	<b>\$97.10</b>	<b>Net</b>	<b>(\$1.14)</b>	<b>\$0.00</b>	<b>(\$43.13)</b>	<b>Securities Received/((Delivered)<sup>YTD</sup></b>

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

## Statement for Account # 425-007235

11/01/22 - 11/30/22

Online Cash Services Summary		
Description	Current	Year To Date
<b>CREDITS</b>		
Electronic Transfer	\$ 60,000.00	\$ 174,500.00
<i>Subtotal</i>	60,000.00	174,500.00
<b>DEBITS</b>		
Electronic Transfer	\$ -	\$ (116,500.00)
<i>Subtotal</i>	0.00	(116,500.00)
<b>TOTAL</b>	<b>60,000.00</b>	<b>58,000.00</b>

Income Summary Detail		
Description	Current	Year to Date
Interest Income Credit Balance	\$ 1.61	\$ 8.31
Margin Interest Charged	(2.75)	(26.44)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Stocks - Margin</b>										
META MATERIALS INC COM	MMAT	10,909	\$ 1.90	\$ 20,727.10	11/28/22	\$ 42,303.26	\$ 3.88	\$ (21,576.16)	\$ -	-
META MATERIALS INC PFD SER A *CLBL	MMTLP	63,728	8.17	520,657.76	02/09/22	356,062.53	5.59	164,595.23	-	-
<b>Total Stocks</b>				<b>\$541,384.86</b>		<b>\$398,365.79</b>		<b>\$143,019.07</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Options - Margin</b>										
META MATERIALS INC MMAT Jan 20 23 2.0 C	-	100	\$ 0.385	\$ 3,850.00	11/28/22	\$ 4,365.98	\$ 0.44	\$ (515.98)	\$ -	-
META MATERIALS INC MMAT Jan 20 23 4.0 C	-	550	0.1351	7,430.50	02/17/22	22,306.97	0.41	(14,876.47)	-	-

## Statement for Account # 425-007235

11/01/22 - 11/30/22

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Options - Margin</b>										
META MATERIALS INC MMAT Dec 02 22 2.5 C	-	10	0.015	15.00	11/17/22	106.60	0.11	(91.60)	-	-
<b>Total Options</b>				<b>\$11,295.50</b>		<b>\$26,779.55</b>		<b>\$(15,484.05)</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$552,680.36</b>		<b>\$425,145.34</b>		<b>\$127,535.02</b>	<b>\$0.00</b>	<b>0.0%</b>

Online Cash Services Transaction Detail				
Category	Transaction Date	Description	Amount	
<b>CREDITS</b>				
Electronic Transfer	11/10/2022	ACH IN	\$ 20,000.00	
	11/22/2022	ACH IN	20,000.00	
	11/29/2022	ACH IN	20,000.00	
<b>Subtotal</b>			60,000.00	
<b>TOTAL</b>				<b>60,000.00</b>

Account Activity										
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance	
<b>Opening Balance</b>									<b>\$45.69</b>	
10/31/22	11/02/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 1.15	MMTLP	4,000-	\$ 6.84	\$ 27,351.90	27,397.59	
11/01/22	11/02/22	Margin	Sell - Securities Sold	GAMESTOP CORPORATION GME Nov 04 22 30.0 C TO OPEN Commission/Fee 6.50 Regulatory Fee 0.13	-	10-	0.57	563.37	27,960.96	
11/01/22	11/02/22	Margin	Sell - Securities Sold	GAMESTOP CORPORATION GME Nov 11 22 30.0 C TO OPEN Commission/Fee 6.50 Regulatory Fee 0.15	-	10-	1.35	1,343.35	29,304.31	

## Statement for Account # 425-007235

11/01/22 - 11/30/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.14	MMTLP	500-	6.99	3,487.91	32,792.22
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Regulatory Fee 0.06	MMTLP	200-	6.97	1,393.94	34,186.16
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Regulatory Fee 0.11	MMTLP	355-	6.95	2,467.14	36,653.30
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL	MMTLP	30-	6.94	208.20	36,861.50
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Regulatory Fee 0.29	MMTLP	1,000-	6.93	6,929.71	43,791.21
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Regulatory Fee 0.56	MMTLP	1,915-	6.92	13,251.24	57,042.45
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 1.12	MMTLP	4,000-	6.60	26,391.93	83,434.38
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.04	MMTLP	155-	6.63	1,020.66	84,455.04
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Regulatory Fee 0.11	MMTLP	345-	6.61	2,280.34	86,735.38
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Regulatory Fee 0.89	MMTLP	3,185-	6.60	21,020.11	107,755.49
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.05	MMTLP	200-	6.71	1,335.00	109,090.49
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Regulatory Fee 0.10	MMTLP	347-	6.70	2,324.80	111,415.29

## Statement for Account # 425-007235

11/01/22 - 11/30/22

Trade Date		Settle Date		Acct Type		Transaction/Cash Activity*		Account Activity		Balances	
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance		
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Regulatory Fee 0.98	MMTLP	3,453-	6.64	22,926.94	134,342.23		
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 1.41	MMTLP	5,000-	6.64	33,191.64	167,533.87		
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.01	MMTLP	25-	6.67	159.79	167,693.66		
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Regulatory Fee 0.05	MMTLP	185-	6.66	1,232.05	168,925.71		
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Regulatory Fee 1.35	MMTLP	4,790-	6.65	31,852.15	200,777.86		
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	14-	6.79	88.11	200,865.97		
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Regulatory Fee 0.16	MMTLP	538-	6.75	3,631.34	204,497.31		
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Regulatory Fee 1.26	MMTLP	4,448-	6.74	29,978.26	234,475.57		
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 1.15	MMTLP	4,000-	6.85	27,391.90	261,867.47		
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.98	MMTLP	3,386-	6.90	23,355.47	285,222.94		
11/01/22	11/03/22	Margin	Buy - Securities Purchased	GAMESTOP CORPORATION COM CL A	GME	2,000	28.60	(57,200.00)	228,022.94		
11/01/22	11/03/22	Margin	Buy - Securities Purchased	GAMESTOP CORPORATION COM CL A	GME	2,000	28.52	(57,040.00)	170,982.94		

## Statement for Account # 425-007235

11/01/22 - 11/30/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
11/01/22	11/03/22	Margin	Buy - Securities Purchased	JP MORGAN ETF TRUST EQUITY PREMIUM INCOME ETF	JEPI	2,000	53.8999	(107,799.80)	63,183.14
11/01/22	11/03/22	Margin	Buy - Securities Purchased	AMAZON COM INC COM	AMZN	640	97.2289	(62,226.50)	956.64
11/01/22	11/03/22	Margin	Sell - Securities Sold	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95 Regulatory Fee 0.09	MMTLP	315-	6.85	2,150.71	3,107.35
11/02/22	11/03/22	Margin	Buy - Securities Purchased	GAMESTOP CORPORATION GME Nov 04 22 30.0 C TO CLOSE Commission/Fee 6.50 Regulatory Fee 0.10	-	10	0.55	(556.60)	2,550.75
11/02/22	11/03/22	Margin	Buy - Securities Purchased	GAMESTOP CORPORATION GME Nov 11 22 30.0 C TO CLOSE Commission/Fee 6.50 Regulatory Fee 0.10	-	10	1.33	(1,336.60)	1,214.15
11/02/22	11/03/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 2.0 C TO OPEN Commission/Fee 260.00 Regulatory Fee 3.95	-	400	0.23	(9,463.95)	(8,249.80)
11/02/22	11/04/22	Margin	Sell - Securities Sold	GAMESTOP CORPORATION COM CL A Regulatory Fee 3.12	GME	4,000-	28.42	113,676.88	105,427.08
11/02/22	11/04/22	Margin	Sell - Securities Sold	AMAZON COM INC COM Regulatory Fee 0.71	AMZN	300-	96.925	29,076.79	134,503.87
11/02/22	11/04/22	Margin	Sell - Securities Sold	AMAZON COM INC COM Regulatory Fee 0.79	AMZN	340-	96.9201	32,952.04	167,455.91
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	5,000	7.25	(36,256.95)	131,198.96
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	5,000	7.25	(36,256.95)	94,942.01
11/02/22	11/04/22	Margin	Sell - Securities Sold	JP MORGAN ETF TRUST EQUITY PREMIUM INCOME ETF Regulatory Fee 2.72	JEPI	2,000-	53.7608	107,518.88	202,460.89

## Statement for Account # 425-007235

11/01/22 - 11/30/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	5,000	7.25	(36,256.95)	166,203.94
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	4,800	7.24	(34,758.95)	131,444.99
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL	MMTLP	200	7.22	(1,444.00)	130,000.99
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	5,000	7.25	(36,256.95)	93,744.04
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	4,350	7.32	(31,848.95)	61,895.09
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	4,064	7.40	(30,080.55)	31,814.54
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL	MMTLP	436	7.39	(3,222.04)	28,592.50
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL	MMTLP	500	7.36	(3,680.00)	24,912.50
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	1,472	7.15	(10,531.75)	14,380.75
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL	MMTLP	1,308	7.10	(9,286.80)	5,093.95
11/02/22	11/04/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	650	7.25	(4,719.45)	374.50
11/04/22	11/08/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	48	7.54	(368.87)	5.63
11/09/22	11/10/22	Margin	- Funds Deposited	ACH IN		-	0.00	20,000.00	20,005.63
11/09/22	11/14/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	14,300	1.3699	(19,589.57)	416.06
11/16/22	11/18/22	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	43-	1.895	81.49	497.55

## Statement for Account # 425-007235

11/01/22 - 11/30/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/16/22	11/18/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 2.43	MMAT	13,957-	1.89	26,376.30	26,873.85
11/16/22	11/18/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.05	MMAT	300-	1.87	560.95	27,434.80
11/16/22	11/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	146	9.90	(1,452.35)	25,982.45
11/16/22	11/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL	MMTLP	510	9.88	(5,038.80)	20,943.65
11/16/22	11/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL	MMTLP	1,263	9.85	(12,440.55)	8,503.10
11/16/22	11/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL	MMTLP	500	9.80	(4,900.00)	3,603.10
11/16/22	11/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL	MMTLP	331	9.76	(3,230.56)	372.54
11/17/22	11/18/22	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 20 23 2.0 C TO CLOSE Commission/Fee 260.00 Regulatory Fee 5.20	-	400-	0.41	16,134.80	16,507.34
11/17/22	11/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Dec 02 22 2.5 C TO OPEN Commission/Fee 6.50 Regulatory Fee 0.10	-	10	0.10	(106.60)	16,400.74
11/17/22	11/21/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	1,850	8.85	(16,379.45)	21.29
11/21/22	11/22/22	Margin	- Funds Deposited	ACH IN	-	-	0.00	20,000.00	20,021.29
11/21/22	11/23/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	4,760	2.065	(9,829.40)	10,191.89
11/21/22	11/23/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	4,650	2.04	(9,486.00)	705.89
11/21/22	11/23/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	350	2.00	(700.00)	5.89

## Statement for Account # 425-007235

11/01/22 - 11/30/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
11/28/22	11/29/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 2.0 C TO OPEN Commission/Fee 32.50 Regulatory Fee 0.49	-	50	0.43	(2,182.99)	(2,177.10)
11/28/22	11/29/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 2.0 C TO OPEN Commission/Fee 32.50 Regulatory Fee 0.49	-	50	0.43	(2,182.99)	(4,360.09)
11/28/22	11/29/22	Margin	- Funds Deposited	ACH IN	-	-	0.00	20,000.00	15,639.91
11/28/22	11/30/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.68	MMAT	9,760-	1.8501	18,055.30	33,695.21
11/28/22	11/30/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	1,300	10.44	(13,578.95)	20,116.26
11/28/22	11/30/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	10,909	1.835	(20,018.02)	98.24
11/30/22	11/30/22	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 11/30/2022	-	-	0.00	(2.75)	95.49
11/30/22	11/30/22	Margin	Div/Int - Income	INTEREST CREDIT Payable: 11/30/2022	-	-	0.00	1.61	97.10
<b>Closing Balance</b>									<b>\$97.10</b>
*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.									
TD Ameritrade Cash Interest Credit/Expense									
Begin Date	Margin Balance	Credit Balance	Number of Days		Interest Rate		Interest Debited		Interest Credited
11/02/22	\$ -	\$ 29,304.31	1		0.30		\$ -		\$ 0.24
11/03/22	(8,249.80)	-	1		12.00		2.75		-
11/04/22	-	374.50	4		0.30		-		0.01
11/10/22	-	20,005.63	4		0.30		-		0.66
11/14/22	-	416.06	4		0.30		-		0.01
11/18/22	-	16,400.74	3		0.30		-		0.40
11/22/22	-	20,021.29	1		0.30		-		0.16
11/29/22	-	15,639.91	1		0.30		-		0.13
<b>Total Interest Income/(Expense)</b>							<b>\$2.75</b>		<b>\$1.61</b>

**Statement for Account # 425-007235**

11/01/22 - 11/30/22

**Important Information**

**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issuес/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.



12/2022

JAE JIN LEE  
15834 SE 46TH WAY  
BELLEVUE, WA 98006-3239

## Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.<sup>1</sup>

**Portfolio Summary:** Tracks the current value of your portfolio as of the report date and compares it to the prior month.<sup>2</sup> The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

**Activity Summary:** The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

**Income & Expense Summary (non-IRAs only):** This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

**Retirement Account Summary (IRAs only):** Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

**Performance Summary:** Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

**Account Positions:** View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income<sup>3</sup> and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

**Account Activity:** All account activity is listed in date order, and reflected in the closing cash balance.

**Trades Pending Settlement:** Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

**Online Cash Services Transaction Detail:** Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

**Online Cash Services Summary:** Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

**Accuracy of Reports:** Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact TD Ameritrade, Inc. and TD Ameritrade Clearing at 800-669-3900 within ten (10) days of your receipt of this statement. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), any oral statements that you have made should be confirmed to TD Ameritrade and TD Ameritrade Clearing, Inc. in writing. The statement will otherwise be considered conclusive.

**Account Protection:** TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to [www.fdic.gov](http://www.fdic.gov). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at [www.sipc.org](http://www.sipc.org).

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London Insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London Insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

<sup>1</sup>Due to rounding adjustments, the statement details may not equal the statement totals.

<sup>2</sup>Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

**Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

**Cost Basis:** Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

**Margin and Options Account Agreements:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request. 2) Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

**Current Market Prices:** The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

**Bonds Quantity:** The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

**Alternative Investments ("AI")**, also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically liquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. All transaction fees are applicable to purchases, capital call processing, and redemptions.

**△ Distributions on Direct Participation Programs and/or REITs** are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

**Auction Rate Securities ("ARS") Pricing:** The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

**MSRB RULE G-10:** TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

**Financial Statement Notification:** The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html>.

**Option Assignment:** All short equity option positions and some Index option positions are available for assignment. Exercise assignment notices for equity or Index options are randomly allocated among all clients' short positions.

**Free Credit Balances (Rule 15c3-2 & 3):** Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

**Margin Accounts (Regulation T):** If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

**Payment for Order Flow Disclosure (Rules 606 and 607):** Rule 607 of Regulation NMS requires broker-dealers to disclose, upon opening a new customer account, and on an annual basis thereafter, (i) its policies regarding receipt of payment for order flow, including a statement as to whether any payment for order flow is received for routing customer orders and a detailed description of the nature of the compensation received; and (ii) its policies for determining where to route customer orders that are the subject of payment for order flow absent specific instructions.

The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker dealers, exchanges and alternative trading systems for execution). Compensation generally is in the form of per share or per contract cash payment. The potential for receipt of order flow payment is not a factor in the routing determination. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. In accordance with SEC Rule 606, TD Ameritrade posts quarterly reports that detail the material market centers to which TD Ameritrade routes orders in NMS Securities and TD Ameritrade's material relationships with those market centers. This report is made available at [www.tdameritrade.com/historical-606-disclosure.page](http://www.tdameritrade.com/historical-606-disclosure.page) or in written form upon request. As required under SEC Rule 606(b)(1), on request, TD Ameritrade will provide the identity of the market center to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the executions, if any, that resulted from such orders. This applies to both held and not held order flow.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement occurs when an order is executed at a price that is more favorable than the displayed national best bid or offer.

**Trade Confirmations (Rule 10b-10):** All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

**Futures/Options Disclosures for EU Clients:** In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/priips-regulation.page>

**Taxes:** Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

**Privacy Policy Notification:** A copy of the TD Ameritrade privacy policy is available at [www.tdameritrade.com](http://www.tdameritrade.com)

**Callable Securities:** The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at [clientservices@tdameritrade.com](mailto:clientservices@tdameritrade.com). The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



## Statement Reporting Period:

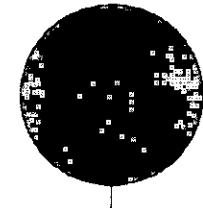
12/01/22 - 12/31/22

800-669-3900  
 TD AMERITRADE  
 DIVISION OF TD AMERITRADE INC  
 PO BOX 2209  
 OMAHA, NE 68103-2209  
*TD Ameritrade Clearing, Inc., Member SIPC*

## Statement for Account # 425-007235

JAE JIN LEE  
 15834 SE 46TH WAY  
 BELLEVUE, WA 98006-3239

Investment	Portfolio Summary						Portfolio Allocation
	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$155.53	\$97.10	\$58.43	-	\$ -	-	
Insrd Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	-	541,384.86	(541,384.86)	(100.0)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	11,295.50	(11,295.50)	(100.0)%	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$155.53</b>	<b>\$552,777.46</b>	<b>(\$552,621.93)</b>	<b>(100.0)%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						



Cash  
100.0%

Cash Activity Summary		Income & Expense Summary			Performance Summary		
		Reportable	Non Reportable	YTD			
<b>Opening Balance</b>	\$97.10	\$6.62	<b>Income</b>				
Securities Purchased	(20,172.96)	(1,048,580.15)	Dividends	\$ -	\$ -	\$ -	\$ -
Securities Sold	24,532.10	995,072.90	Interest	0.32	-	8.63	-
Funds Deposited	-	174,500.00	Other	-	-	-	
Funds Disbursed	(4,300.00)	(120,800.00)	<b>Expense</b>				
Income	0.32	8.63	Interest	(1.03)	-	(27.47)	
Expense	(1.03)	(52.47)	Fees	-	-	(25.00)	
Other	-	-	Other	-	-	-	
<b>Closing Balance</b>	<b>\$155.53</b>	<b>\$155.53</b>	<b>Net</b>	<b>(\$0.71)</b>	<b>\$0.00</b>	<b>(\$43.84)</b>	

**Cost Basis As Of - 12/31/22 \*\*** \$ -  
 Unrealized Gains -  
 Unrealized Losses -  
 Funds Deposited/(Disbursed)<sup>YTD</sup> 53,700.00  
 Income/(Expense)<sup>YTD</sup> (43.84)  
 Securities Received/(Delivered)<sup>YTD</sup> 0.00

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

## Statement for Account # 425-007235

12/01/22 - 12/31/22

Online Cash Services Summary			Current	Year To Date
Description				
<b>CREDITS</b>				
Electronic Transfer		\$ -		\$ 174,500.00
<i>Subtotal</i>		0.00		174,500.00
<b>DEBITS</b>				
Electronic Transfer		\$ (4,300.00)		\$ (120,800.00)
<i>Subtotal</i>		(4,300.00)		(120,800.00)
<b>TOTAL</b>		<b>(4,300.00)</b>		<b>53,700.00</b>

Income Summary Detail			Current	Year to Date
Description				
Interest Income Credit Balance		\$ 0.32		\$ 8.63
Margin Interest Charged		(1.03)		(27.47)

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
<b>Stocks - Margin</b>										
NEXT BRIDGE HYDROCARBONS COM	6DA993019	65,728	\$ NA	\$ NA	-	\$ -	\$ -	\$ -	\$ -	-
<b>Total Stocks</b>				<b>\$0.00</b>		<b>\$0.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Margin Account</b>				<b>\$0.00</b>		<b>\$0.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>0.0%</b>

Online Cash Services Transaction Detail				Amount
Category	Transaction Date	Description		Amount
<b>DEBITS</b>				
Electronic Transfer	12/20/2022	ACH OUT - 12/19/2022 05:32PM		\$ (4,300.00)
<i>Subtotal</i>				(4,300.00)
<b>TOTAL</b>				<b>(4,300.00)</b>

## Statement for Account # 425-007235

12/01/22 - 12/31/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
<b>Opening Balance</b>									<b>\$97.10</b>
12/01/22	12/05/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.03	MMAT	150-	\$ 1.88	\$ 281.97	379.07
12/02/22	12/05/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 2.0 C TO OPEN Commission/Fee 59.80 Regulatory Fee 0.91	-	92	0.34	(3,188.71)	(2,809.64)
12/02/22	12/05/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 2.0 C TO OPEN Commission/Fee 1.95 Regulatory Fee 0.03	-	3	0.32	(97.98)	(2,907.62)
12/05/22	12/05/22	Margin	Delivered - Other	META MATERIALS INC MMAT Dec 02 22 2.5 C EXPIRATION	-	10-	0.00	-	(2,907.62)
12/02/22	12/06/22	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 1.85	MMAT	10,759-	1.8401	19,795.79	16,888.17
12/02/22	12/06/22	Margin	Buy - Securities Purchased	META MATERIALS INC 1:1 EXC 12/13/22 RESEARCHING Commission/Fee 6.95	MMTLP	2,000	8.43	(16,866.95)	21.22
12/06/22	12/07/22	Margin	Buy - Securities Purchased	META MATERIALS INC MMAT Jan 20 23 4.0 C TO OPEN Commission/Fee 1.30 Regulatory Fee 0.02	-	2	0.09	(19.32)	1.90
12/09/22	12/12/22	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 20 23 2.0 C TO CLOSE Commission/Fee 119.60 Regulatory Fee 2.27	-	184-	0.11	1,902.13	1,904.03
12/09/22	12/12/22	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 20 23 4.0 C TO CLOSE Commission/Fee 358.80 Regulatory Fee 6.71	-	552-	0.05	2,394.49	4,298.52
12/09/22	12/12/22	Margin	Sell - Securities Sold	META MATERIALS INC MMAT Jan 20 23 2.0 C TO CLOSE Commission/Fee 7.15 Regulatory Fee 0.13	-	11-	0.15	157.72	4,456.24

## Statement for Account # 425-007235

12/01/22 - 12/31/22

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/Cash Activity*	Description	Symbol/CUSIP	Quantity	Price	Amount	Balance
12/13/22	12/13/22	Margin	Delivered - Other	META MATERIALS INC 1:1 EXC 12/13/22 RESEARCHING 1:1 EXCHANGE TO META MATERIALS INC 5CT999019 Auto Reorg#621668 STOCK PAYMENT	MMTLP	65,728-	0.00	-	4,456.24
12/13/22	12/13/22	Margin	Received - Other	META MATERIALS INC CONTRA DO NOT SELL 1:1 EXCHANGE TO META MATERIALS INC 5CT999019 Auto Reorg#621668 STOCK PAYMENT	5CT999019	65,728	0.00	-	4,456.24
12/19/22	12/20/22	Margin	- Funds Disbursed	ACH OUT - 12/19/2022 05:32PM	-	-	0.00	(4,300.00)	156.24
12/30/22	12/30/22	Margin	Delivered - Other	META MATERIALS INC CONTRA DO NOT SELL 1:1 EXCHANGE TO NEXT BRIDGE HYDROCARBONS 6DA993019 Auto Reorg#623270 STOCK PAYMENT	5CT999019	65,728-	0.00	-	156.24
12/30/22	12/30/22	Margin	Received - Other	NEXT BRIDGE HYDROCARBONS COM 1:1 EXCHANGE TO NEXT BRIDGE HYDROCARBONS 6DA993019 Auto Reorg#623270 STOCK PAYMENT	6DA993019	65,728	0.00	-	156.24
12/30/22	12/30/22	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 12/30/2022	-	-	0.00	(1.03)	155.21
12/30/22	12/30/22	Margin	Div/Int - Income	INTEREST CREDIT Payable: 12/30/2022	-	-	0.00	0.32	155.53
<b>Closing Balance</b>									<b>\$155.53</b>

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

		TD/Ameritrade		Cash	Interest	Credit/Expense			
Begin Date	Margin Balance	Credit Balance	Number of Days		Interest Rate		Interest Debited		Interest Credited
12/05/22	\$ (2,907.62)	\$ -	1		12.75		\$ 1.03		\$ -
12/12/22	-	4,456.24	4		0.30		-		0.15
12/16/22	-	4,456.24	4		0.30		-		0.15

## Statement for Account # 425-007235

12/01/22 - 12/31/22

		TD Ameritrade Cash Interest Credit/Expense					
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited	
12/20/22	-	156.24	12	0.30	-	0.02	
<b>Total Interest Income/(Expense)</b>					<b>\$1.03</b>	<b>\$0.32</b>	

**Important Information****BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issuances/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.

**UPDATE TO THE BANK SWEEP PROGRAM**

We wanted to let you know about a change relating to the Bank Sweep Program, as called for by your TD Ameritrade Client Agreement.

The following will be removed from the list of Bank Sweep Program Banks: US Bank N.A; Citibank, N.A.; Wells Fargo Bank, N.A.; Synchrony Bank; Bank of America N.A. Uninvested cash balances will no longer be deposited with any of these banks after April 1, 2023. No action is required from you for this change to take effect.

A complete list of the Program Banks can be found at: [www.tdameritrade.com/idaprogrambanks](http://www.tdameritrade.com/idaprogrambanks). Program Banks may receive uninvested cash balances in the Bank Sweep Program.

Current information on the terms of the Sweep Program can be found in the latest version of the Client Agreement. You can review it by logging in to your account and navigating to Client Services > Forms and Agreements. Your continued use of your account constitutes your consent to this material update.

**ANNUAL MARGIN DISCLOSURE**

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: **You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.**

**CALIFORNIA RESIDENTS**

If your total payments of interest and interest-dividends on Federally Tax-Exempt non-California municipal bonds were \$10 or greater and you or your Partnership had a California address then TD Ameritrade will report this information to the California Franchise Tax Board each tax year per state statute.